Commercializing Intellectual Property in Universities

> Olivier Charbonneau Librarian, Concordia University o.charbonneau@concordia.ca www.culturelibre.ca

## Why is IP important?

Money Control Ownership **\***Respect Access to information \* Financing

\* Monopoly

- Ethics
- Learning
- Market
- Seeking permission
- \* Creations

\*

**\***Collaborating \* Knowledge **Helping \***Using \* Reading **\***Ideas \* Sharing



### **Presentation Outline**

- Patents
  - Quick primer
  - Financing
    - Governments
    - Private enterprises
  - Ownership and labour relations
  - Not a patent (software, math)

Copyright

- Quick Primer
- The digital question
- Open access / tragedy of the commons
- Fair dealings and seeking permission (chilling effect)
- Theses Canada

Commerce, the University mission, some thoughts for the CFS-GSC

# Other Types of IP

Plant varieties

Trade-mark: a word, symbol or design (or any combination of these features), used to distinguish the wares or services of one person or organization from those of others in the marketplace; Industrial designs: the visual features of shape, configuration, pattern or ornament (or any combination of these features), applied to a finished article of manufacture;

Integrated circuit topographies refer to the threedimensional configuration of the electronic circuits embodied in integrated circuit products or layout designs

http://strategis.gc.ca/sc\_mrksv/cipo/patents/pat\_gd\_protect-e.html#sec1

#### Patents - Primer

- Monopoly on making, using or selling an invention
- Covers new inventions, or any new and useful improvement of an existing invention
  - process, machine, manufacture, composition of matter
- Lasts for 20 years after filing
- You must disclose your invention in the patent application (alternative is secrecy)

This allows others to innovate, but not use

You must file for patent in all countries

http://strategis.gc.ca/sc\_mrksv/cipo/patents/pat\_gd\_protect-e.html#sec1

### Patents - Financing

#### Governments

- If gvmt agencies control allocation of research grants, is that equivalent to telling what researchers can do or not?
- What of academic freedom?
- And the social watchdog function of universities?

#### Private Enterprises

- More and more, researchers are asked to compose with private research grants
- Will they ask for a stake in the IP?
- Is this research independent and unbiased?

## Patents - Ownership

- Research centers with faculty from multiple organizations
- Who owns the research results ?
  - Those that have financed the research?
  - The institution? The Faculty?
  - Do grads get a stake in the results?
- Look at:
  - Faculty union/work contract
  - Research grant contract (private or public)
  - In all institutions involved!

If a researcher uses private money, will she be able to "give away" the results?

## This is neither a patent, nor ©

- Some "works of the mind" do not fair well with intellectual property
- Math is a great example (think formulas)
  - Facts may not be protected by copyright
  - Patents cover processes, not ideas

 Computer software is protected by © but scientists would prefer patents
 Better for tenure

## Copyright © - Primer

- Protection for literary, artistic, dramatic or musical works (including computer programs) as well as performances, sound recordings and communication signals
- Monopoly on exploitation if in fixed format and original
- May be assigned

- All rights reserved, except...
- 50 years after the death of creator
- Automatic application in most countries
- Goal is to protect
   markets rather than
   anything else
- The Internet does not exist in Canada

http://strategis.gc.ca/sc\_mrksv/cipo/patents/pat\_gd\_protect-e.html#sec1

## © - The Digital Question

- In 1996, WIPO established 2 "Internet treaties" (WCT, WPPT)
  - Intense lobbying from rights holders
  - If you can't change the laws locally (pesky democracies), go International

In Canada, "studying" the issue
 But we have yet to change our law
 Difficulty of rolling out digital classrooms

Tragedy of the commons

"That which is common to the greatest number has the least care bestowed upon it" Aristotle How many times do you pay for you own work?

- Creative Commons
- Universities and their libraries are creating "institutional repositories"

http://en.wikipedia.org/wiki/Tragedy\_of\_the\_commons

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http://www.sherpa.ac.uk/

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http://www.arl.org/sparc/

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http://oaister.umdl.umich.edu/o/oaister/

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Seeking
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### Commercialization

Client university or citizen university?

What is your university's mission?

Are its policies and practices in line with the mission?

What could be your role in addressing this?

# Finding Olivier

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