

# OPTIMAL BROADCASTING IN TREELIKE GRAPHS

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# Abstract

## Optimal Broadcasting in Treelike Graphs

Edward Maraachlian, Ph.D.

Concordia University, 2010

*Broadcasting* is an information dissemination problem in a connected network, in which one node, called the *originator*, disseminates a message to all other nodes by placing a series of calls along the communication lines of the network. Once informed, the nodes aid the originator in distributing the message. Finding the broadcast time of a vertex in an arbitrary graph is NP-complete. The problem is solved polynomially only for a few classes of graphs. In this thesis we study the broadcast problem in different classes of graphs which have various similarities to trees. The unicyclic graph is the simplest graph family after trees, it is a connected graph with only one cycle in it. We provide a linear time solution for the broadcast problem in unicyclic graphs. We also studied graphs with increasing number of cycles and complexity and provide again polynomial time solutions. These graph families are: tree of cycles, necklace graphs, and 2-restricted cactus graphs. We also define the fully connected tree graphs and provide a polynomial solution and use these results to obtain polynomial solution for the broadcast problem in tree of cliques and a constant approximation algorithm for the hierarchical tree cluster networks.

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# Chapter 1

## Introduction

Even though modern day CPUs are getting faster every day, they are still unable to solve a plethora of problems that scientists face. One of the shortcomings of single CPU systems is the long amount of time needed to solve the problem serially. A second shortcoming is that sometimes problems would not even fit in the memory of a single CPU system. The answer to these shortcomings is parallelism. Multiple CPUs working on the same problem often can solve the problems more quickly. Parallel computing is the term used to describe the usage of multiple CPUs to solve a single problem. Parallel computing, once only used by scientists and engineers in expensive computer labs, is becoming the default processing environment used by common people. The current generation of processors are all multi-core having several processing units on the same board. These systems, however, share the same memory and hence might face the second problem mentioned above: inability to fit the problem in the memory.

There are different models for parallel computing. One of the most common models is

the MIMD (Multiple Instruction and Multiple Data) which is sometimes referred to multicomputers or multiprocessors. The different processors, working in parallel, will most probably need to exchange data among each other. This is done either through a shared memory or an interconnection network. Shared memory multicomputers have a limitation on the number of processors that can be connected together. Hence, it is not practical if a very large number of processors is to be connected. A more realistic way of designing multicomputers is to make each processor have its own main memory. Communication between the processors will be accomplished by passing messages using an interconnection network. It turns out that the performance of these multicomputers not only depends on the processing power of the processors but also on the performance of the interconnection network in disseminating data among the processor. Research has shown that the structural properties of a network determine many of its characteristics such as the minimum communication time, ease of routing, and fault tolerance.

One of the fundamental information dissemination problems is broadcasting. Broadcasting is a process in which a single message is sent from one member of a network to all other members. Inefficient broadcasting could degrade the performance of a network seriously. Therefore, it is of a major interest to improve the performance of a network by using efficient broadcasting algorithms.

*Broadcasting* is an information dissemination problem in a connected network, in which one node, called the *originator*, must distribute a message to all other nodes by placing a series of calls along the communication lines of the network. Once informed, the informed nodes aid the originator in distributing the message. This is assumed to take place

in discrete time units. The broadcasting is to be completed as quickly as possible, subject to the following constraints:

- Each call involves only one informed node and one of its uninformed neighbors.
- Each call requires one unit of time.
- A node can participate in only one call per unit of time.
- In one unit of time, many calls can be performed in parallel.

A *broadcast scheme* of an originator  $u$  is a set of calls that, starting at vertex  $u$ , completes the broadcasting in the network.

Formally, any network can be modelled as a connected graph  $G = (V, E)$ , where  $V$  is the set of vertices (or nodes) and  $E$  is the set of edges (or communication lines) between the vertices in graph  $G$ .

Given a connected graph  $G = (V, E)$  and a message originator, vertex  $u$ , the *broadcast time* of vertex  $u$ ,  $b(u, G)$  or  $b(u)$ , is the minimum number of time units required to complete broadcasting from the vertex  $u$ . Note that for any vertex  $u$  in a connected graph  $G$  on  $n$  vertices,  $b(u) \geq \lceil \log n \rceil$  since during each time unit the number of informed vertices can at most be doubled. On the other hand in a connected graph there should be at least one new informed vertex at every new round which implies that  $b(u) \leq n - 1$ . The broadcast time  $b(G)$  of the graph  $G$  is defined as  $\max\{b(u) | u \in V\}$ . The edges that get used during a broadcast process form a spanning tree of the graph. This spanning tree is called a broadcast tree. For surveys of results on broadcasting and related problems, see Hedetniemi, Hedetniemi, and Liestman [78], Fraigniaud and Lazard [43], Hromkovic, Klasing,

Monien, and Peine [81], Hromkovic, Klasing, Pelc, Ruzicka, and Unger [84].

Determination of  $b(u, G)$  or  $b(u)$  for a vertex  $u$  in an arbitrary graph  $G$  is  $NP$ -complete [87]. The proof of  $NP$ -completeness is presented in [112]. Therefore, many papers have presented approximation or heuristic algorithms to determine the broadcast time of a vertex  $u$  in  $G$ ,  $b(u, G)$  (see [5, 32, 33, 38, 44, 46, 98, 110, 10, 45, 113, 75]).

Since the problem is  $NP$ -complete in general, another direction for research is to design polynomial algorithms that determine the broadcast time of any vertex for a class of graphs. The broadcast problem remains  $NP$ -complete even in some restricted classes of graphs namely, planar graphs and bounded degree graphs [85]. The same paper also states that graphs with certain decomposition properties have a polynomial solution. The first class of graphs to be studied and found to have a linear solution is the trees [112]. The authors propose an algorithm that finds the broadcast time of a given vertex in an arbitrary tree. They also find a broadcast scheme of a given tree  $T = (V, E)$  in linear time  $O(|V|)$ . Other graph classes where the the broadcast problem was studied are the hypercube, cube connected cycles, butterfly graph, shuffle exchange and the de Bruijn networks.

The rest of this thesis is organized as follows. In the next chapter we present a literature review of some of the important results on the broadcast problem in general and the different network classes that have been considered. In the third chapter we will present our results on unicyclic graphs which are connected graphs with  $n$  edges and on  $n$  vertices. In Chapter 4 we study the broadcast problem in graphs which are subfamilies of the cactus graphs. In Chapter 5 we introduce a class of graphs which we call fully connected trees and study the broadcast problem in these graphs. In Chapter 6 we define a graph family which

we call hierarchical tree cluster networks. We present an exact broadcast algorithm for the cases where the clusters are cliques and present an approximation algorithm for more general structures of the clusters. Finally, Chapter 7 is the conclusion and a short note on future work.

## Chapter 2

### Literature Review

In this chapter we review the major results of the broadcast problem. In this thesis we will consider the classical model of broadcasting known as the telephone model. In this model a node in the network can communicate with only one of its neighbors and both vertices can exchange all the information they have, this is sometimes called full-duplex communication mode. Sometimes broadcast models are categorized based on the number of neighbors a processor can communicate with simultaneously. In the 1-port communication model, a processor can communicate with only one neighbor at a time. This model is sometimes referred to as the processor bound model. The other extreme, the link bound model, is the case where a processor can send an information to all of its neighbors at the same time. The  $k$ -port broadcast [57, 58, 62] model is something in the middle of the two previous models where a processor can communicate with at most  $k$  of its neighbours at a time.

Another differentiator between broadcast models is the assumption about the time needed to send a message between two nodes on the network. The time needed is actually the total

time which includes the time to prepare a message for sending, the time needed by the message to propagate from one node to another, and the time needed by the receiver to physically receive the message. There are 2 widely different models that are actually used.

1. The constant model, where the time needed to send a message from one node to another is constant regardless of the size of the message.
2. The linear model, where the time needed to communicate a message between two neighboring nodes is a linear function of the size of the message.

Most models in the literature use the constant model however, there are some results which deal with the linear model [109, 9, 115].

In the line broadcast model a vertex can send a message to vertices that are not its immediate neighbor. In every round a set of paths will be used to inform destination vertices. Intermediate vertices falling on the path between a source and destination vertices can either learn the message or just help in the message transmission without actually reading it. Variations of this model include the vertex disjoint path mode where the set of paths in a certain round do not have a common vertex [35, 39, 42, 82], and the edge disjoint path mode where the paths do not have a common edge [35, 39, 83, 81].

In the universal list broadcast model [26, 88] every vertex has an ordered list of neighbors that it informs in the prescribed order everytime it gets informed. This can be contrasted with the classical model where every vertex chooses the ordered list of vertices that it has to forward the message to depending on the source vertex. So in the classical model,

every vertex has many lists corresponding to the different possible sources. In [60] a polynomial algorithm to determine the universal list broadcast time of a tree is presented. The universal list model implies that every node needs to have a small memory and computing power because the message forwarding schedule is unique for all originators. Note that this model is sometimes referred to as orderly broadcasting as in [76].

Another variant of broadcast models is the messy broadcasting. This model was introduced in [2]. In messy broadcasting each vertex sends the message randomly to its neighbors without knowing who the originator is or the time at which the message was sent. The vertices do not know the network topology apart from their immediate neighbors. Usually in messy broadcasting the worst case performance of a broadcast protocol is considered [55, 23, 61]. A study for average case time of messy broadcasting is done in [103].

When there is the need to communicate large amounts of data, some systems break up the information into smaller pieces which are sent individually over the network. This motivates the study of multiple message broadcasting. In this model, the originator has  $m$  messages which need to be communicated with all the  $n$  vertices of the graph. There are numerous papers dedicated to studying how to efficiently complete the broadcasting of multiple messages [7, 8, 20, 21, 36, 54, 53, 105, 25, 97, 94].

There are more generalized models too which are more applicable. In [5, 6] the basic broadcast model is generalized to obtain a model in which each node has a different switching time between messages. Good approximation algorithms are presented in [92, 93, 96].

A similar model is studied in [74, 73, 72]. Another model is the fault tolerant broadcasting where it is assumed that some links in the network can be faulty. A  $k$  fault-tolerant broadcasting scheme is a broadcast protocol that assures that any node in the network will receive the message from the originator in presence of up to  $k$  edge failures [1, 48, 47, 108].

There are numerous other broadcast models different than what was mentioned above. However, for the purpose of this thesis we will consider the classical broadcast model. In this model, the problem of finding the broadcast time of an arbitrary vertex in an arbitrary graph was proved to be NP complete. The proof was done by reducing the the well known 3-dimensional matching (3DM) problem into the broadcast problem [112]. The problem stays *NP*-complete even in more restricted classes of graphs such as planar graphs [85, 86] and bounded degree graphs [15, 28, 107].

Since finding an optimal broadcast scheme for general graphs was proved to be computationally very expensive, research in this area focused on the following main problems:

1. Finding the broadcast time and scheme for different classes of graphs.
2. Finding good approximation algorithms and efficient broadcast heuristics for general graphs.
3. Constructing graphs whose broadcast time is  $\lceil \log n \rceil$ . These graphs are called broadcast graphs, *bg*. This is not necessarily a difficult task if there were no cost concerns. For example a complete graph has broadcast time of  $\lceil \log n \rceil$  but has more edges than what is necessary. The research in this area is directed towards constructing graphs on  $n$  vertices with broadcast time of  $\lceil \log n \rceil$  and minimum number of edges. These

graphs are called minimum broadcast graphs, *mbg*.

## 2.1 Broadcast Time of Different Topologies

In this section we will present some of the well known graph topologies and their broadcast times. We will start with the path topology, arguably the simplest graph topology, and gradually consider more and more complex structures.

### 2.1.1 Path $P_n$

A path  $P_n$  on  $n$  vertices numbered  $v_1$  to  $v_n$  has  $n - 1$  edges and every vertex  $v_i$ ,  $2 \leq i \leq n - 1$ , has two incident edges connecting it to the vertices  $v_{i-1}$  and  $v_{i+1}$ . The broadcast time of  $P_n$  is  $n - 1$  and this is because the end vertices have the maximum broadcast time in a path which is equal to  $n - 1$ .

### 2.1.2 Cycle $C_n$

The cycle (ring)  $C_n$  on  $n$  vertices is a path  $P_n$  where the end vertices  $v_1$  and  $v_n$  are also connected by an edge.  $b(C_n) = \lceil \frac{n}{2} \rceil$

### 2.1.3 Tree $T$

The tree  $T$  on  $n$  vertices is a connected graph with  $n$  vertices and  $n - 1$  edges. There is exactly one unique path between every two vertices of a tree. The broadcast problem in general trees has been solved in [112] where a linear algorithm was presented which can

find the optimal broadcast scheme of any vertex in an arbitrary tree.

#### 2.1.4 Complete Graph $K_n$

The complete graph (clique)  $K_n$  on  $n$  vertices is a graph where every vertex has an edge to each of the remaining  $n - 1$  vertices. Hence, the number of edges of a  $K_n$  is  $\frac{n(n-1)}{2}$ . It can be easily seen that  $b(K_n) = \lceil \log n \rceil$  because at every round, except the last one, the number of informed vertices can double.

#### 2.1.5 Hypercube $H_n$

The  $n$ -dimensional hypercube,  $H_n$ , is defined to be a graph on  $2^n$  vertices. Each vertex corresponds to an  $n$ -bit binary string, and two vertices are linked with an edge if and only if their binary strings differ in precisely one bit. For example the vertices  $v_2$  and  $v_6$  in  $H_3$  are neighbours because the binary representations 010 and 110, of 2 and 6 respectively, differ only in the third position. The hypercube is one of the few infinite family of graphs where the broadcast time is equal to  $\log n$ , i.e.  $b(H_n) = \log n$ .

#### 2.1.6 Cube-Connected Cycles $CCC_m$

The  $m$ -dimensional cube-connected cycles,  $CCC_m$ , is a graph  $G = (V_m, E)$  where  $V_m = \{0, 1, \dots, m-1\} \times \{0, 1\}^m$  and  $\{0, 1\}^m$  denotes the set of binary strings of length  $m$ . The set of edges,  $E$ , is defined as follows: a vertex  $v = (i, \alpha)$  has an edge with vertex  $u = (j, \beta)$  iff one of the following two conditions is satisfied:

1.  $i = j$  and  $\alpha$  differs from  $\beta$  only in the  $i^{\text{th}}$  bit.

2.  $|i - j| \bmod m = 1$  and  $\alpha = \beta$ .

From [104] we know that  $b(CCC_m) = \lceil \frac{5m}{2} \rceil - 1$ .

### 2.1.7 Butterfly Network $BF_m$

The  $m$ -dimensional butterfly network,  $BF_m$ , is a graph  $BF_m = (V_m, E)$  where  $V_m = \{0, 1, \dots, m-1\} \times \{0, 1\}^m$  and  $\{0, 1\}^m$  denotes the set of binary strings of length  $m$ . For any vertex  $v = (i, \alpha)$  we call  $i$  the level and  $\alpha$  the position within the level of  $v$ . The set of edges,  $E$ , is defined as follows: a vertex  $v = (i, \alpha)$  has an edge with vertex  $u = (j, \beta)$  iff one of the following four conditions is satisfied:

1.  $i = j - 1 \bmod m$  and  $\alpha = \beta$ .

2.  $i = j + 1 \bmod m$  and  $\alpha = \beta$ .

3.  $i = j + 1 \bmod m$  and  $\alpha$  and  $\beta$  differ only in the  $j^{\text{th}}$  bit from the left.

4.  $i = j - 1 \bmod m$  and  $\alpha$  and  $\beta$  differ only in the  $i^{\text{th}}$  bit from the left.

From [91] we know that  $1.7417m \leq b(BF_m) \leq 2m - 1$ .

### 2.1.8 Shuffle-Exchange Network $SE_m$

The  $SE_m$  is a graph on  $2^m$  vertices where the vertices are represented by binary strings of length  $m$ . Two vertices  $v$  and  $u$  are connected iff one of the following holds:

1.  $v$  and  $u$  differ only in the last bit.
2.  $u$  is obtained from  $v$  by a single left cyclic shift.
3.  $u$  is obtained from  $v$  by a single right cyclic shift.

From [80] we know that  $b(SE_m) \leq 2m - 1$ .

### 2.1.9 de Bruijn Network $DB_m$

The  $m$ -dimensional de Bruijn graph [79, 11, 91],  $DB_m$ , is a graph on  $2^m$  vertices which are denoted by binary strings of length  $m$ . A vertex  $v = a\alpha = \alpha'a'$ , where  $a, a' \in \{0, 1\}$  and  $\alpha$  and  $\alpha'$  are binary strings of length  $m - 1$ , is connected to vertices  $\alpha b$  and  $b'\alpha'$  where  $b, b' \in \{0, 1\}$ . From [91] we know that  $b(SE_m) \geq 1.3171m$  and from [11] we know that  $b(SE_m) \leq 1.5m + 1.5$ .

### 2.1.10 2d Grid Network $G_{m,n}$

The 2 dimensional grid network  $G_{m,n}$  is a network on  $mn$  vertices, having the topology of a mesh. A vertex  $v$  labeled by a the tuple  $(i, j)$  is connected to a maximum of 4 vertices, namely  $(i - 1, j)$ ,  $(i, j - 1)$ ,  $(i + 1, j)$ , and  $(i + 1, j + 1)$  for  $1 < i < m$  and  $1 < j < n$ . The corner vertices have only 2 neighbours, one on each dimension. The vertices on the sides have 3 neighbours, for example  $(0, j)$  is connected to  $(0, j - 1)$ ,  $(0, j + 1)$ ,  $(1, j)$ . From [78] we know that  $b(G_{m,n}) = m + n - 2$ . New results on the performance of various broadcast schemes in grids can be found in [75, 27].

### 2.1.11 Other Topologies

In addition to the topologies defined above there are other graph structures that have been studied. In [16] an optimal broadcast algorithms for star and pancake graphs is presented, and in [22] an optimal broadcast algorithm in directed graphs called the Manhattan street network is presented. In [24] broadcasting in generalized chordal rings is studied. In [69] the optimal bipartite double loop networks were considered. In [66] optimal triple loop graphs and multiloop graphs were considered and lower and upper bounds on the broadcast time were presented. In [95] a constant factor approximation algorithm is given for network of workstations. A series of papers have been devoted to the study of Knodel graphs and its broadcast time [13, 40, 41, 99, 70, 71, 54].

## 2.2 Approximation Algorithms and Heuristics

The broadcast problem is *NP*-complete so finding optimal solutions for general graph of considerable size is very inefficient. Therefore, like other *NP*-complete problems, the broadcast problem is sometimes addressed using approximation and heuristic algorithms.

Even though heuristic algorithms produce good results in practice, they cannot claim to have good bounds in general. Approximation algorithms are those which have a theoretical upper bound on the broadcast time in any graph. The first work of this kind is [98] where an  $O(\sqrt{|V|})$  additive approximation algorithm is presented. An algorithm  $A$  is considered an  $k$  - approximation scheme if the broadcast time calculated by  $A$  on a graph  $G$ ,  $b(G, A) \leq kb(G)$ . Similarly an approximation algorithm is  $k$  - additive if

$b(G, A) \leq b(G) + k$ . A randomized broadcast algorithm was presented in [110] which is an  $O(\frac{\log^2 |V|}{\log \log |V|})$  approximation. This algorithm is based on calculating the poise of a graph. The poise of a tree  $T$  is defined to be the maximum degree of  $T$  plus the diameter of  $T$ . The poise of a graph  $G$ ,  $P(G)$  is the minimum poise of all the spanning trees of  $G$ . Calculating the poise of a graph is  $NP$ -complete. Ravi in [110] presents a  $O(\log(n)P(G) + \log^2 n)$  algorithm and shows that the broadcast time of a graph  $G$  is related to the poise of  $G$  as follows:  $b(G) = O(P(G)\frac{\log n}{\log \log n})$ . The best theoretical upper bound is presented in [33]. Their approximation algorithm generates a broadcast algorithm with broadcast time  $O(\frac{\log(|V|)}{\log \log(|V|)})b(G)$ . A multicast approximation algorithm was given in [5] which is a  $O(\log k)$ -approximation where  $k$  is the number of recipients in the graph  $G$ . This result can trivially be generalized to broadcasting obtaining an  $O(\log |V|)$  approximation.

On the other hand, [114] studies the approximability of the broadcast time and states that the broadcast time cannot be approximated within a factor of  $\frac{57}{56} - \epsilon$ . [32] improves the result and states that the problem is  $NP$  hard to approximate the broadcast time within a factor of  $3 - \epsilon$ .

The latest heuristic algorithms make use of matching-based methods. This is because each round of calls can be seen as a matching process between the sets of informed and the uninformed vertices. Extensive simulations show that the broadcasting heuristics presented in [10] and [75] have the best results. In [10] a matching based approach was utilized to derive a broadcast algorithm of time complexity  $O(Rnm \log n)$ , where  $R$  is the number of rounds needed to complete the broadcasting,  $n$  is the number of vertices and  $m$  is the

number of edges of the graph. The heuristic in [75], TBA, reduces the complexity of each round to  $O(m)$ . This algorithm performs as well as the one in [10] in most of the commonly used interconnection networks and produces better results in three graph models from the network simulator ns-2 ([3, 5, 31, 119])

## 2.3 Construction of Broadcast and Minimum Broadcast Graphs

The previous section presented the problem of determining the minimum time needed to complete broadcasting given a graph  $G$ . Another approach in the broadcast problem is the design of graphs which optimizes the number of edges needed under the constraint that broadcasting should be completed in a certain amount of time. A graph with a broadcast time of  $b(G) = \lceil \log n \rceil$  is called a broadcast graph. A minimum broadcast graph,  $mbg = (V, E)$ , on  $n$  vertices,  $|V| = n$ , is defined to be a broadcast graph with the minimum possible number of edges over all broadcast graphs on  $n$  vertices. In the literature the number of edges of an  $mbg$  on  $n$  vertices is represented by  $B(n)$ . There has been considerable amount of research in constructing minimum broadcast graphs [106, 100, 111, 118, 120, 116, 117, 51]. There is no known recipe for constructing graphs on  $n$  vertices for any integer value. The value of the function  $B(n)$  is known only for a very few values of  $n$ . Farley et al. [37] showed that hypercubes are  $mbg$ 's which implies that  $B(2^m) = m2^{m-1}$ . Khachatryan and Haroutunian [89] and Dineen et al. [29] independently showed that  $B(2^m - 2) = (m - 1)(2^{m-1} - 1)$  for  $m \geq 2$ . Other than these relatively

large ranges,  $B(n)$  is known only for small  $n$  and for some larger values, namely  $n \leq 63$  [120, 100],  $n = 127$ ,  $n = 1023$ , and  $n = 4095$ . Direct construction of minimum broadcast graphs has proved to be a very difficult task. As a result, researchers have resorted to techniques to interconnect smaller broadcast graphs together to construct broadcast graphs on a larger number of vertices [18, 49, 19, 29]. A popular method, called the compounding technique, has proven effective for graphs on  $n = n_1 n_2$  vertices since it forms the compound from two known broadcast graphs on  $n_1$  and  $n_2$  vertices [12, 30, 56, 89]. This approach has been quite efficient in designing graphs with even number of vertices. Another construction technique [77, 52, 14] involved the addition or deletion of a vertex in a known minimum broadcast graph.

So far we presented problems that either minimize the broadcast time in a given graph or minimize the number of edges provided that broadcasting can be completed within the theoretical minimum time. There are other optimization problems studied in the literature one of which is the problem of constructing graphs on  $n$  vertices and  $m$  edges which have the least broadcast time among all graphs on  $n$  vertices and  $m$  edges. We call this problem the  $(n, m)$  broadcast time optimization problem and  $(n, m)$  graph construction problem. To the best of our knowledge the only result in this direction is the solution of the  $(n, n - 1)$  problem which is the the problem of finding the minimum possible broadcast time in a tree on  $n$  vertices and the construction of such a tree. For the classical broadcast model, the results are due to Haroutunian and Khachatryan [89] and Labahn [101]. A solution for this problem in the  $k - broadcasting$  model and for the universal list model can be found in [58] and [60] respectively.

# Chapter 3

## Unicyclic Graphs

### 3.1 Definitions and Auxiliary Results

A unicyclic graph (Fig. 1) is a connected graph with only one cycle. Basically it is a tree with only one extra edge. It can also be seen as a cycle where every vertex on the cycle is the root of a tree. Denote the vertices of the cycle  $C$  by  $r_1, r_2, \dots, r_k$ , and the tree rooted at  $r_i$  by  $T_i$ , where  $1 \leq i \leq k$ . We will use the following definitions and results from [112].

**Definition 1** ([112]). *The minimum broadcast time,  $b_{min}(G)$ , of the graph  $G = (V, E)$  is defined to be the minimum of the broadcast times of all the vertices.  $b_{min}(G) = \min_{u \in V} \{b(u, G)\}$ .*

**Definition 2** ([112]). *The broadcast center of the graph  $G$ ,  $BC(G)$ , is defined to be the set of all vertices whose broadcast time is equal to the minimum broadcast time of the graph,  $BC(G) = \{u | b(u, G) = b_{min}(G), u \in V\}$ .*

**Theorem 1** ([112]). *Let  $v \notin BC(T)$  be a vertex in a tree  $T$  such that the shortest distance from  $v$  to a vertex  $x \in BC(T)$  is  $k$ . Then  $b(v, T) = k + b_{min}(T)$ .*

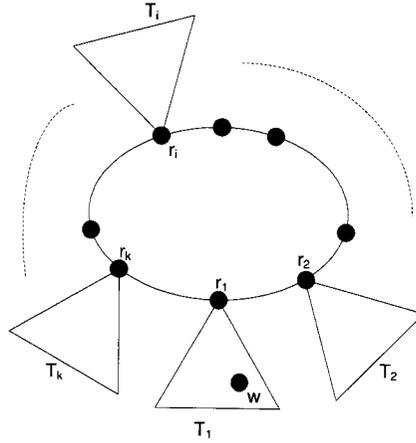


Figure 1: A unicyclic graph where the vertices  $r_i$ , belonging to the cycle  $C$ , are the roots of the trees  $T_i$  for  $1 \leq i \leq k$ .

**Corollary 1** ([112]). *For any tree  $T$ ,  $BC(T)$  consists of a star with at least two vertices.*

The unicyclic graph can be converted into a tree by cutting one of the edges of the cycle  $C$ . A simple algorithm to determine the broadcast time of a vertex  $w$  in an arbitrary unicyclic graph  $G = (V, E)$  would be the SBA (SimpleBroadcastAlgorithm) algorithm provided below:

**Algorithm 3.1:**  $SBA(w, G)$ :

1. Extract, from  $G$ , the cycle  $C$  and the trees  $T_i$  for  $1 \leq i \leq k$  which are rooted at a vertex on  $C$ .
2. Cut edge  $(r_i, r_{i+1})$  from the cycle  $C$ , for  $i = 1, 2, \dots, k$ . Denote the resulting tree by  $G_i$ .
3. Apply  $BROADCAST(w, G_i)$  for  $i = 1, 2, \dots, k$  from [112] and choose the tree  $G_i$  with the minimum broadcast time  $b(w, G_i)$ .

The complexity of step 1 of the algorithm is  $O(n)$ , where  $|V| = n$ . The complexity of steps 2 and 3 are  $O(k)$  and  $O(kn)$  respectively. Thus, the total complexity of the above algorithm will be  $O(kn)$ , which is  $O(n^2)$  in the worst case. However,  $\Omega(n)$  is an obvious lower bound. In this chapter we will show  $\Theta(n)$  bound by describing a linear algorithm that determines the broadcast time of any vertex  $w$  in an arbitrary unicyclic graph.

**Definition 3.** Given trees  $T_1 = (V_1, E_1)$ ,  $T_2 = (V_2, E_2)$ ,  $\dots$ ,  $T_i = (V_i, E_i)$  with roots  $r_1, r_2, \dots, r_i$  respectively, the tree  $T_{1,2,\dots,i} = (V, E) = T_1 \oplus T_2 \oplus \dots \oplus T_i$  is a tree where  $V = V_1 \cup V_2 \cup \dots \cup V_i$  and  $E = E_1 \cup E_2 \cup \dots \cup E_i \cup \{(r_1, r_2), (r_2, r_3), \dots, (r_{i-1}, r_i)\}$ .

In other words, the trees  $T_i$  are connected by adding the edges  $(r_1, r_2), (r_2, r_3), \dots, (r_{i-1}, r_i)$ .

### 3.1.1 The broadcast center of the sum of two trees

In this section we will describe how to find a broadcast center and calculate the minimum broadcast time of the sum of two trees.

**Lemma 1.** In any tree  $T$ , rooted at  $r$ , there exists a unique vertex  $u \in BC(T)$ , called the special broadcast center denoted as  $u = SBC(T)$ , such that the path joining  $u$  and  $r$  does not contain any other vertex  $v$  such that  $v \in BC(T)$ .

*Proof.* The existence of vertex  $u = SBC(T)$  follows from Corollary 1 and the uniqueness of  $u = SBC(T)$  immediately follows from the fact that  $T$  is a tree and no cycles are allowed in a tree. □

In the remaining part of this section it is assumed that there are two trees  $T_1$  and  $T_2$  with roots  $r_1$  and  $r_2$  respectively,  $T = T_1 \oplus T_2$ ,  $u_1 = SBC(T_1)$ , and  $u_2 = SBC(T_2)$ . We denote by  $t_1(x)$  the minimum time that is needed to inform all the vertices of  $T_1$  starting at the originator  $x$ . The vertex  $x$  does not necessarily have to be in  $T_1$ , it could be in  $T_2$ . Similarly, we denote by  $t_2(x)$  the minimum time that is needed to inform all the vertices of  $T_2$  starting at the originator  $x$ , where again  $x$  could be in  $T_1$  or  $T_2$ .

**Lemma 2.** *Let  $x$  be a vertex on the path joining  $u_1$  and  $u_2$ , then  $\max\{t_1(x), t_2(x)\} \leq b(x, T) \leq \max\{t_1(x), t_2(x)\} + 1$ .*

Lemma 2 can be refined to get a better understanding of the bounds on the broadcast time. For the purpose of proving the following theorems, we will present a more detailed lemma which for different conditions states the broadcast time of a vertex  $x$ , or the bounds on the broadcast time in case the exact broadcast time cannot be calculated.

**Lemma 3.** *The broadcast time of a vertex  $x$  which is on the path joining  $u_1$  and  $u_2$  satisfies the following:*

1.  $b(x, T) = \max\{t_1(x), t_2(x)\}$  if  $x \notin BC(T_1)$ ,  $x \notin BC(T_2)$ , and  $t_1(x) \neq t_2(x)$ .
2.  $b(x, T) = \max\{t_1(x), t_2(x)\} + 1$  if  $x \notin BC(T_1)$ ,  $x \notin BC(T_2)$ , and  $t_1(x) = t_2(x)$ .
3.  $\max\{t_1(x), t_2(x)\} \leq b(x, T) \leq \max\{t_1(x), t_2(x)\} + 1$  if  $x \in BC(T_1)$  or  $x \in BC(T_2)$ .

The third case of the lemma gives the bounds on the broadcast time. It states that if  $x$  is a broadcast center of  $T_1$  or  $T_2$  then it is possible to have  $t_1(x) \neq t_2(x)$  and  $b(x, T) =$

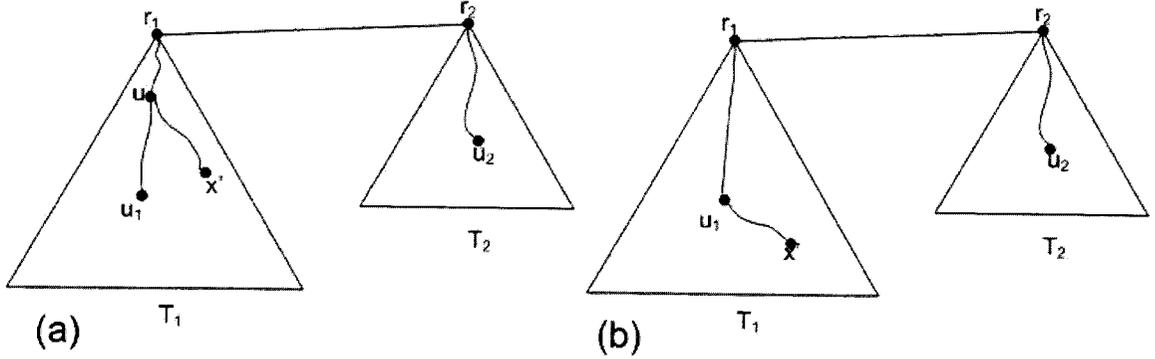


Figure 2: Sum of two trees.(a) shows the case where the hypothetical vertex  $x$  intersects the path from  $u_1$  to  $r_1$ . (b) shows the case where the path from vertex  $x$  to  $r_1$  goes through  $u_1$ .

$\max\{t_1(x), t_2(x)\} + 1$ . The broadcast time can only be calculated if the exact tree topology is known. Later in the chapter we will present an algorithm to calculate the broadcast time of  $x$  for the case where the third condition of the lemma is satisfied.

**Theorem 2.** *Given two trees  $T_1$  and  $T_2$ , there exists a vertex  $u$  such that  $u \in BC(T_1 \oplus T_2)$  and  $u$  is on the path joining  $u_1 = SBC(T_1)$  and  $u_2 = SBC(T_2)$ .*

*Proof.* We will prove this theorem by contradiction. Assume that there exists a vertex  $x'$  (Fig. 2) not on the path from  $u_1$  to  $u_2$  and such that  $b(x', T) < b(u, T)$  for all vertices  $u$  on the path joining  $u_1$  and  $u_2$ . Without loss of generality assume that  $x'$  is in  $T_1$ . Because  $T$  is a tree, there exists a unique path  $P$  that joins  $x'$  to  $r_1$ . Two cases may arise:

*Case 1:* The path  $P$  intersects the path from  $u_1$  to  $r_1$  at a vertex other than  $u_1$  (Fig. 2a). Let  $u \in P$  be this intersection vertex. Therefore,  $b(u, T_1) = t_1(u) = d(u, u_1) + b_{min}(T_1)$  and  $t_1(x') = b(x', T_1) = d(x', u) + (d(u, u_1) + b_{min}(T_1)) = d(x', u) + t_1(u)$ . Similarly, we have  $t_2(u) = d(u, r_1) + 1 + d(r_2, u_2) + b_{min}(T_2) = d(u, u_2) + b_{min}(T_2)$  and  $t_2(x') =$

$d(x', u) + d(u, u_2) + b_{min}(T_2)$ . Since  $x'$  does not fall on the path from  $u_1$  to  $u_2$ , then  $d(x', u) > 0$  which implies that  $t_1(x') > t_1(u)$  and  $t_2(x') > t_2(u)$  which implies that  $\max\{t_1(x'), t_2(x')\} > \max\{t_1(u), t_2(u)\}$ . Therefore, using the above lemma, we conclude that  $\max\{t_1(x'), t_2(x')\} \leq b(x', T) \leq \max\{t_1(x'), t_2(x')\} + 1$  and  $\max\{t_1(u), t_2(u)\} \leq b(u, T) \leq \max\{t_1(u), t_2(u)\} + 1$  which implies that  $b(u, T) \leq b(x', T)$  which contradicts the assumption that  $b(x', T) < b(u, T)$ .

*Case 2:* The path  $P$ , joining  $x'$  and  $r_1$ , does not intersect the path joining  $u_1$  and  $r_1$ . In this case the path  $P$  will merge with the path joining  $u_1$  and  $r_1$  at vertex  $u_1$  (Fig. 2b). Consider  $t_1(x') = d(x', u_i) + t_1(u_1)$ , where  $u_i \in BC(T_1)$ . We are assuming that  $x' \notin BC(T_1)$ . The case when  $x' \in BC(T_1)$  needs more careful analysis. Note that the vertex  $u_i$  can be either  $u_1$  or at a distance of 1 or 2 from  $u_1$  since the broadcast center of a tree is a star [112]. So we can write  $t_1(x') = k + t_1(u_1)$  where  $k \geq 1$  is the distance  $d(x', u_i)$ . Similarly,  $t_2(x') = d(x', u_i) + d(u_i, u_2) + b_{min}(T_2)$ . It can also be written as  $t_2(x') = k + \delta + d(u_1, u_2) + b_{min}(T_2)$ , which is equal to  $t_2(x') = k + \delta + t_2(u_1)$  where  $\delta = 0$  if  $u_i = u_1$ ,  $\delta = 1$  if  $u_i$  is a neighbor of  $u_1$ , or  $\delta = 2$  if  $u_i$  is at distance 2 from  $u_1$ . Using the same argument as above we conclude that  $b(x', T) > b(u_1, T)$  which is a contradiction.

If  $x' \in BC(T_1)$  then  $d(x', u_i) = 0$  so the argument used above cannot be directly applied. In this case  $t_1(x') = t_1(u_1)$  and  $t_2(x') = \beta + t_2(u_1)$  where  $\beta = 1$  or  $\beta = 2$ . Therefore, we can conclude that:  $\max\{t_1(x'), t_2(x')\} \geq \max\{t_1(u_1), t_2(u_1)\}$ . Using the above lemma we get:  $\max\{t_1(x'), t_2(x')\} \leq b(x', T) \leq \max\{t_1(x'), t_2(x')\} + 1$  and  $\max\{t_1(u_1), t_2(u_1)\} \leq b(u_1, T) \leq \max\{t_1(u_1), t_2(u_1)\} + 1$ . Since  $b(x', T)$  can have 2

possible values and  $b(u_1, T)$  too can have 2 possible values, there are 4 combinations of the pair. We need to consider each combination and show that it leads to a contradiction.

Out of the four combinations three of them can be analysed easily to find out that they lead to a contradiction. One of those situations is when  $b(x', T) = \max\{t_1(x'), t_2(x')\} + 1$  and  $b(u_1, T) = \max\{t_1(u_1), t_2(u_1)\} + 1$ . In this case we conclude that  $b(x', T) \geq b(u_1, T)$ . The combination  $b(x', T) = \max\{t_1(x'), t_2(x')\} + 1$  and  $b(u_1, T) = \max\{t_1(u_1), t_2(u_1)\}$  leads to the conclusion that  $b(x', T) > b(u_1, T)$ . The third combination  $b(x', T) = \max\{t_1(x'), t_2(x')\} + 1$  and  $b(u_1, T) = \max\{t_1(u_1), t_2(u_1)\} + 1$  results in the inequality  $b(x', T) \geq b(u_1, T)$ . In all these 3 cases we see a contradiction because we assumed that there exists a vertex  $x'$  such that  $b(x', T) < b(u_1, T)$ .

The fourth possible combination is when  $b(x', T) = \max\{t_1(x'), t_2(x')\}$  and  $b(u_1, T) = \max\{t_1(u_1), t_2(u_1)\} + 1$ , then using  $\max\{t_1(x'), t_2(x')\} \geq \max\{t_1(u_1), t_2(u_1)\}$  we cannot conclude that  $b(x', T) \geq b(u_1, T)$ . Here we need to carefully analyze the broadcast scheme of  $x'$  to obtain a contradiction. Since  $b(x', T) = \max\{t_1(x'), t_2(x')\}$  then we conclude that  $b(x', T) = b_{min}(T) = b_{min}(T_1)$ . Both vertices  $x'$  and  $u_1$  are broadcast centers of  $T_1$ . There are 3 possibilities:  $x'$  is the center of the star that is formed by the broadcast centers of  $T_1$ ,  $u_1$  is the center of the star, or neither  $x'$  nor  $u_1$  is the center of the star. When neither  $x'$  and  $u_1$  is the center of the star, then if there is a broadcast scheme in  $T$  that when originating at  $x'$  gives a broadcast time of  $b_{min}(T_1)$  then one can construct a broadcast scheme that has a broadcast time of  $b_{min}(T_1)$  when originating at  $u_1$ . If  $x'$  is the center of the star that forms the broadcast center of  $T_1$  then again a broadcast scheme can be constructed for  $u_1$  using the optimal broadcast scheme of  $x'$ . In both cases, when  $u_1$  is the originator then  $u_1$  informs

the center of the star at time 1, which knows how to do broadcasting in the remaining of the tree. After the first time unit,  $u_1$  starts informing the subtree attached to it using the same scheme that it used when the originator was  $x'$ .

The third case is when  $u_1$  is the center of that star that forms the broadcast center of  $T_1$ , and  $x'$  is not the center. In this case, one can again modify the broadcast scheme for the originator  $x'$  to get a broadcast scheme of  $u_1$  such that  $b_{min}(u_1, T) = b(x', T) = b_{min}(T_1)$ . In the broadcast scheme for the originator  $x'$ ,  $x'$  informs  $u_1$  first since  $u_1$  is the center of the broadcast centers, then  $u_1$  forwards the message to all the other broadcast centers then informs the rest of the tree. Meanwhile, each broadcast center informs the subtree attached to it. In the broadcast scheme of  $u_1$ , the originator,  $u_1$ , informs all the broadcast centers in the order from the one the highest label to the one with the smallest label. Note that the neighbouring vertex of  $u_1$  that is on the path from  $u_1$  to  $r_2$  is not a broadcast center. So the time at which it is informed is still the same whether  $x'$  or  $u_1$  is the originator. Therefore,  $u_1$  uses the broadcast scheme that it used when the originator was  $x'$  to inform the tree attached to it. As a result, we obtain a scheme for the originator  $u_1$  with broadcast time  $b_{min}(T_1)$ . In conclusion, the case  $b(x', T) = \max\{t_1(x'), t_2(x')\}$  and  $b(u_1, T) = \max\{t_1(u_1), t_2(u_1)\} + 1$  always leads to a contradiction which implies that there is no case where  $b(x', T) < b(u_1, T)$ . □

Let  $u$  be a vertex such that  $u \in BC(T_1 \oplus T_2)$ . Theorem 2 confirms the existence of such a vertex on the path joining  $u_1$  and  $u_2$ . The position of  $u$  can be found as described in the following theorem.

**Theorem 3.** Let  $A = b_{min}(T_1) - b_{min}(T_2)$  and  $B = d(r_1, u_1) + d(r_2, u_2) + 1$ . Three cases may arise:

If  $B - A < 0$ , then  $d(u, u_1) = 0$  and  $u = u_1$ , i.e.  $u_1 \in BC(T_1 \oplus T_2)$ .

If  $A + B < 0$ , then  $d(u, u_1) = B$  and  $u = u_2$ , i.e.  $u_2 \in BC(T_1 \oplus T_2)$ .

If  $B - A \geq 0$  and  $A + B \geq 0$ , then  $d(u, u_1) = \lfloor \frac{B-A}{2} \rfloor$  or  $d(u, u_1) = \lceil \frac{B-A}{2} \rceil$ . Both positions of  $u$  have equal broadcast times in the tree  $T$ .

*Proof.* If  $B - A < 0$ , then we have:

$$b_{min}(T_1) > b_{min}(T_2) + d(u_1, r_1) + 1 + d(u_2, r_2). \quad (1)$$

We will prove that  $u = u_1 \in BC(T)$  by contradiction. Assume that there is another vertex  $u'$  on the path joining  $u_1$  and  $u_2$  such that  $b(u', T) < b(u, T)$ . Because of Theorem 2 we do not have to consider a vertex not belonging to the path joining  $u_1$  and  $u_2$ . Using the definitions of the functions  $t_1(x)$  and  $t_2(x)$  from above we get:  $t_1(u') = d(u', u_1) + b_{min}(T_1)$ ,  $t_2(u') = d(u', r_1) + 1 + d(u_2, r_2) + b_{min}(T_2)$ ,  $t_1(u) = b_{min}(T_1)$ , and  $t_2(u) = d(u_1, r_1) + 1 + d(u_2, r_2) + b_{min}(T_2)$ . Using the condition in equation 1 we get that  $t_1(u) = b_{min}(T_1) > t_2(u) = d(u_1, r_1) + 1 + d(u_2, r_2) + b_{min}(T_2)$  and conclude that  $t_1(u) \leq b(u, T) \leq t_1(u) + 1$ . Similarly,  $t_1(u') = d(u', u_1) + b_{min}(T_1) > d(u', u_1) + b_{min}(T_2) + d(u_1, r_1) + 1 + d(u_2, r_2)$  which implies that  $t_1(u') > d(u', u_1) + b_{min}(T_2) + d(u_1, u') + d(u', r_1) + 1 + d(u_2, r_2) = 2d(u', u_1) + b_{min}(T_2) + d(u', r_1) + 1 + d(u_2, r_2) = 2d(u', u_1) + t_2(u')$  which implies that  $t_1(u') > t_2(u')$  and hence  $t_1(u') \leq b(u', T) \leq t_1(u') + 1$ . On the other hand, we have  $t_1(u') = d(u', u_1) + b_{min}(T_1)$  and  $t_1(u) = b_{min}(T_1)$  which implies that  $t_1(u') > t_1(u)$ .

Therefore we conclude that  $b(u', T) \geq b(u, T)$  which contradicts the assumption. The case  $A + B < 0$  can be proved similarly.

Note that the two conditions  $A + B < 0$  and  $B - A < 0$  are mutually exclusive. If either one of them is satisfied the other will not be satisfied. The only remaining case is when both of them are not satisfied i.e.  $A + B \geq 0$  and  $B - A \geq 0$ . Assume the case where  $B - A$  is odd, the case if it is even can be dealt with similarly. Without loss of generality, assume that there exists a vertex  $u' \in T_1$ , on the path joining  $u_1$  and  $u_2$ , such that  $b(u', T) < b(u, T)$ . Two cases may arise:

*Case 1:*  $d(u', u_1) < d(u, u_1) = \lfloor \frac{B-A}{2} \rfloor$ . Since  $B - A$  is assumed to be odd,  $d(u, u_1) = \frac{B-A-1}{2}$ . Calculating  $t_1(u) = d(u, u_1) + b_{min}(T_1)$  and  $t_2(u) = d(u, r_1) + 1 + d(u_2, r_2) + b_{min}(T_2)$  we deduce that  $t_2(u) = (d(u_1, r_1) - d(u, u_1)) + 1 + d(u_2, r_2) + b_{min}(T_2)$ . Substituting the value of  $d(u, u_1)$ , and  $b_{min}(T_2) = b_{min}(T_1) - A$  we get:  $t_2(u) = [d(u_1, r_1) + 1 + d(u_2, r_2)] + b_{min}(T_2) - d(u, u_1) = B + (b_{min}(T_1) - A) - \frac{B-A-1}{2} = \frac{B-A+1}{2} + b_{min}(T_1) = t_1(u) + 1$ . Therefore,  $b(u, T) = t_2(u)$ . Now consider the vertex  $u'$ ,  $t_1(u') = d(u', u_1) + b_{min}(T_1)$  and  $t_2(u') = d(u', u) + d(u, r_1) + 1 + d(u_2, r_2) + b_{min}(T_2)$ . Since  $d(u', u_1) < d(u, u_1)$ , we get  $t_1(u') < t_1(u)$ . Moreover we conclude that  $t_2(u') = d(u', u) + t_2(u) > t_1(u')$  since  $t_2(u) > t_1(u) > t_1(u')$ . Finally we get  $b(u', T) = t_2(u') > b(u, T)$  if  $d(u', u) > 1$  and  $b(u', T) \geq b(u, T)$  if  $d(u', u) = 1$ , both of which contradict the assumption.

*Case 2:*  $d(u', u_1) > d(u, u_1)$ . As it was done in the previous case, we can deduce that  $t_2(u) = t_1(u) + 1$  and  $b(u, T) = t_2(u)$ . Now consider the vertex  $u'$ . Calculating  $t_1(u')$  and  $t_2(u')$  we get:  $t_1(u') = d(u', u) + d(u, u_1) + b_{min}(T_1)$  and  $t_2(u') = d(u', r_1) +$

$1 + d(r_2, u_2) + b_{min}(T_2)$ . Using that  $d(u, r_1) = d(u, u') + d(u', r_1)$  we get that  $t_2(u') = [d(u, r_1) - d(u, u')] + 1 + d(r_2, u_2) + b_{min}(T_2)$ . Hence,  $t_2(u') = t_2(u) - d(u, u') = t_1(u) + 1 - d(u, u')$ . On the other hand,  $t_1(u') = t_1(u) + d(u, u')$ . Since  $d(u', u_1) > d(u, u_1)$ , we conclude that  $d(u, u') \geq 1$ . Subtracting  $t_2(u')$  from  $t_1(u')$  we get:  $t_1(u') - t_2(u') = t_1(u) + d(u, u') - [t_1(u) + 1 - d(u, u')]$ . Therefore,  $t_1(u') - t_2(u') = 2d(u, u') - 1$ . Using  $d(u, u') \geq 1$ , we get that  $t_1(u') > t_2(u') + 1$ . Using the values of  $t_1(u')$  and  $t_2(u')$  we can calculate the bounds on the broadcast time  $b(u', T)$ :  $t_1(u') \leq b(u', T) \leq t_1(u') + 1$ . Using the following:  $t_2(u') = t_2(u) - d(u, u')$   $t_1(u') = t_2(u') + 2d(u, u') - 1$  We can deduce that:  $t_1(u') = t_2(u) + d(u, u') - 1$ . So,  $t_2(u) + d(u, u') - 1 \leq b(u', T) \leq t_2(u) + d(u, u')$ . Since  $d(u, u') \geq 1$  and  $b(u, T) = t_2(u)$  we deduce that  $b(u', T) \geq b(u, T)$  which is a contradiction. Finally, we want to note that according to the theorem there are 2 vertices on the path that are broadcast centers. One of them is  $u$  and the other one is its neighbor on the path from  $u$  to  $u_2$ . □

The above theorem (Theorem 3) gives an algorithm for calculating the minimum broadcast time of the sum of two trees when the conditions  $B - A \geq 0$  and  $A + B \geq 0$  are satisfied. Together with Theorem 2 one can conclude that the vertices calculated by the proposed formula are the only broadcast centers of the sum of two trees. However, in the case if  $B - A < 0$  or  $A + B < 0$  the theorem only finds one broadcast center of the graph which is either the  $SBC(T_1)$  or  $SBC(T_2)$ . In this case, finding the broadcast time of the sum of two trees is not straightforward. Lemma 3 states tight upper and lower bounds on the broadcast time. Before we present the broadcast algorithm for the unicyclic graphs in the next section, we need to present an algorithm to calculate the minimum broadcast time

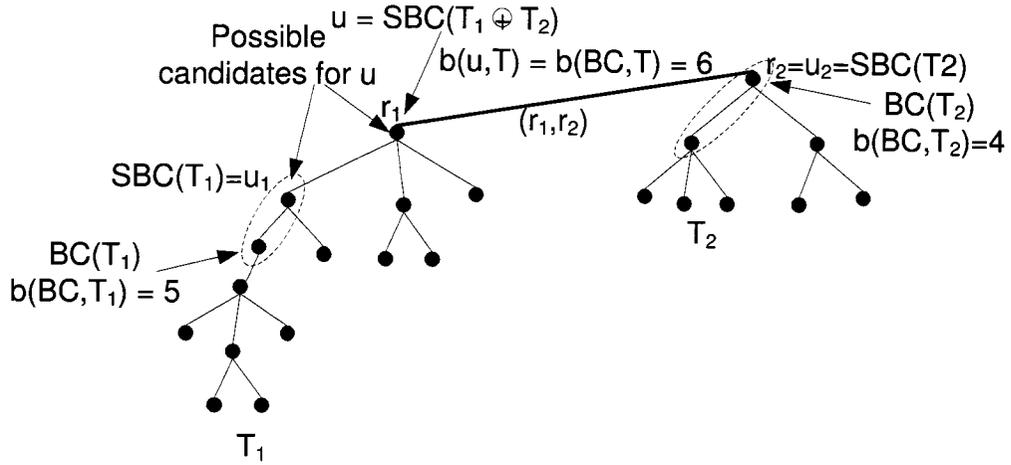


Figure 3: An example of the sum of two trees. It shows the vertices in the broadcast center and the special broadcast center of  $T_1$ ,  $T_2$ , and  $T_1 \oplus T_2$ . The minimum broadcast time of the three trees are calculated too.

for case 3 of Lemma 3.

In the tree broadcast algorithm of [112] every time a vertex,  $v$ , is informed it informs its children in order from the one with the highest label to the one with the smallest label. Assuming that the children of  $v$  are  $v_1, \dots, v_k$ , such that  $l(v_1) \geq \dots \geq l(v_k)$  where  $l(v_i)$  denotes the label of vertex  $v_i$ , the label of the vertex  $v$  is determined by that of the children as follows:  $l(v) = \max_{1, \dots, k} \{l(v_i) + i\}$ . When vertex  $v$  is informed it has to inform all the  $j$  vertices, such that  $l(v) = l(v_j) + j$ , during the first  $j$  time units otherwise the broadcast time of the vertex  $v$  in the subtree attached to it will not be equal to  $l(v)$ .

We will define two quantities, the first one which we call free time unit of a vertex  $v$ ,  $FTU(v)$ . This is the number of time units that the vertex  $v$  should spend informing its children in the order from the highest label to smaller ones, before it can spend one idle time unit and then continue the broadcast process. From the argument in the previous paragraph

one can conclude that  $FTU(v) = j$  where  $j$  is the largest integer such that  $l(v_j) + j = l(v)$ . The second quantity is called Turn Delay Time,  $TDT(v, v_i)$ , which indicates the time delay after which the parent  $v$  informs its child vertex  $v_i$  in the tree broadcast algorithm of [112]. Assuming that the children of  $v$  are denoted by  $v_1, \dots, v_k$ , such that  $l(v_1) \geq \dots \geq l(v_k)$ , then  $TDT(v, v_i) = i$ .

In order to be able to calculate the broadcast time of the sum of two trees we need to calculate the quantities  $FTU(v)$  and  $TDT(v, v_i)$  for some of the vertices while running the tree broadcast algorithm of [112]. Given a tree  $T_1$  rooted at  $r_1$ , the quantities  $FTU(v)$  and  $TDT(v, v_i)$  will be calculated for all the vertices on the path from vertex  $r_1$  to the closest broadcast center vertex,  $u_1$ , of  $T_1$ . In the bottom up algorithm of [112] the label of  $r_1$  is calculated when the labels of all the children of  $r_1$  have been calculated, in addition to that the following has to be done.

1. Calculate  $FTU(r_1)$ .  $FTU(r_1)$  is the number of time units that  $r_1$  should spend informing its children before it can take one time unit to inform the root of the attached tree while keeping the label of  $r_1$  in  $T$  unchanged.
2. Calculate the distance from  $r_1$  to  $r_2$ , the root of the tree  $T_2$  which will be attached to  $T_1$ . Since,  $r_1$  is the root of  $T_1$  then the distance  $d(r_1, r_2) = 1$ .

For all the other vertices  $v_i$  on the path from  $r_1$  to  $u_1$  the following has to be calculated. Note that for convenience, the vertex  $r_1$  will be denoted by  $v_1$ .

1. Calculate the distance from  $v_i$  to  $r_2$ ,  $d(v_i, r_2)$  as follows:  $d(v_i, r_2) = d(v_{i-1}, r_2) + 1$ .
2. The earliest time that  $r_2$  can be informed without changing the label of  $v_i$ .

We will call this time  $t(v_i, r_2)$ . The child of  $v_i$  that is on the path from  $v_i$  to  $r_2$  is  $v_{i-1}$ , therefore we need to calculate the time units that  $v_i$  should spend informing its children before it can take one time unit to inform its child  $v_{i-1}$  which is on the path to  $r_1$ . Two cases can arise:

1. If  $FTU(v_i) < TDT(v_i, v_{i-1})$ , then  $t(v_i, r_2) = FTU(v_i) + d(v_i, r_2)$ .
2. If  $FTU(v_i) \geq TDT(v_i, v_{i-1})$ , then  $t(v_i, r_2) = TDT(v_i, v_{i-1}) + t(v_{i-1}, r_2)$ .

This recursive equation can be solved by noting that the base case is  $t(v_1, r_2) = FTU(r_1) + 1$  where  $r_1 = v_1$ .

Given a tree  $T_1$  rooted at  $r_1$  which is connected to root  $r_2$  of another tree  $T_2$  we showed how to calculate the quantity  $t(SBC(T_1), r_2)$ . Now using this result we will show how to calculate in constant time the minimum broadcast time of the sum of the trees  $T_1$  and  $T_2$  for the cases where  $B - A < 0$  or  $A + B < 0$ . We will consider the case where  $B - A < 0$ , the other case  $A + B < 0$  is very similar to the first one.  $B - A < 0$  implies that  $b_{min}(T_1) > b_{min}(T_2) + d(r_1, u_1) + d(r_2, u_2) + 1$ . Assume that  $u_0$  is the center of the star that forms the broadcast centers of  $T_1$ . If  $SBC(T_1) = u_0$ , then two cases arise:

1.  $t(u_0, r_2) + d(r_2, u_2) + b_{min}(T_2) \leq b_{min}(T_1)$  then we can conclude that  $b_{min}(T) = b_{min}(T_1)$ .
2.  $t(u_0, r_2) + d(r_2, u_2) + b_{min}(T_2) > b_{min}(T_1)$  then we can conclude that  $b_{min}(T) = b_{min}(T_1) + 1$ .

If  $u_1 = SBC(T_1)$  is not the center of the star (i.e. it is a neighbor of  $u_0$ ), then we need

to first consider the relation between the label of  $u_0$  and  $u_1$ . If  $l(u_1) < l(u_0) - 1$ , then  $b_{min}(T) = b_{min}(T_1)$ . If  $l(v_1) = l(u_0) - 1$  then the following cases should be considered:

1.  $t(u_1, r_2) + d(r_2, u_2) + b_{min}(T_2) \leq b_{min}(T_1) - 1$  then we can conclude that  $b_{min}(T) = b_{min}(T_1)$ .
2.  $t(u_1, r_2) + d(r_2, u_2) + b_{min}(T_2) > b_{min}(T_1) - 1$  then we can conclude that  $b_{min}(T) = b_{min}(T_1) + 1$ .

**Theorem 4.** For  $T = T_1 \oplus T_2$ , if  $b_{min}(T) = b_{min}(T_1)$  then  $BC(T_1) = BC(T)$ .

In what follows we will denote the neighbor of  $u_1$  that is on the path from  $u_1$  to  $r_1$  by  $u'_1$ . It is necessary to calculate the label of  $u'_1$  when  $T_2$  is attached to  $r_1$ . The only scenario we are interested in is when  $b_{min}(T) = b_{min}(T_1) + 1$  because this is the only condition for which the broadcast centers of  $T_1$  can be different that those of  $T$ .

**Lemma 4.** If  $b_{min}(T) = b_{min}(T_1) + 1$  then  $l(u'_1, T) = \max\{l(u'_1, T_1) + 1, d(u'_1, r_2) + l(r_2, T_2)\}$  where  $l(v, T)$  denotes the label of a vertex  $v$  in the tree  $T$ .

The justification for this is due to the fact that the label of  $u'_1$  must be increased by at least 1 otherwise the broadcast time of  $u_1$  cannot increase by 1. Moreover, the label of  $u'_1$  should be large enough so that the vertex  $r_2$  can be informed and can have enough time to inform  $T_2$ . See Figure 3 for an example.

The labels of the neighbors of  $u_1$  remain unchanged when  $T_2$  is added except that of  $u'_1$ . Let the neighbors of  $u_1$  be denoted by  $w_1, \dots, w_k$  and labeled such that  $l(w_1, T) \geq \dots \geq l(w_k, T)$ .

**Theorem 5.** *If  $b_{min}(T) = b_{min}(T_1) + 1$  then the center of the star that forms the broadcast center of  $T$  is  $u_1 = SBC(T_1)$ . The other broadcast centers are those neighbors  $w_i$ ,  $1 \leq i \leq p$ , such that  $l(w_p, T) + p = b_{min}(T)$  for the smallest possible value of  $p$ .*

## 3.2 The Unicyclic Graph Broadcast Algorithm

The algorithm,  $UBA(w, G)$  (UnicyclicBroadcastAlgorithm), calculates the broadcast time,  $b(w, G)$ , of a given vertex  $w$  in any unicyclic graph  $G$ . For convenience we will assume that  $w$  belongs to tree  $T_1$ . We denote the shortest distance from a vertex  $v$  to a vertex belonging to the broadcast center of  $T$  by  $d(v, BC(T))$ .

### 3.2.1 Description of the Algorithm

INPUT: A unicyclic graph  $G$  on  $n$  vertices and the broadcast originator  $w$ .

OUTPUT: Broadcast time of the originator  $w$  in  $G$ ,  $b(w, G)$ , and a broadcast scheme.

**Algorithm 3.2:**  $UBA(w, G)$ :

1. Extract from  $G$  the cycle  $C$ , consisting of the vertices  $\{r_1, r_2, \dots, r_k\}$ , and the trees  $T_i$  rooted at the vertices  $r_i$  for  $1 \leq i \leq k$ .
2. For all trees  $T_i$  where  $1 \leq i \leq k$  calculate and save the positions of  $u_i = SBC(T_i)$  relative to  $r_i$ ,  $b_{min}(T_i)$ , as well as  $t(v_i, r_{i+1})$  for every vertex  $v_i$  on the path from  $r_i$  to  $SBC(T_i)$ .
3. Calculate and save the distance  $d(w, BC(T_1))$  and the path joining  $w$  and  $u_1$ .

4. Construct the trees  $T_{1,2,\dots,i}$ , where  $2 \leq i \leq k$ , and  $T_{k,k-1,\dots,i}$ , where  $1 \leq i \leq k-1$ .  
For each tree  $T$ , compute and store  $BC(T)$  and  $b_{min}(T)$ .
5. Construct the spanning trees  $T_{j,j+1,\dots,k,1,2,\dots,j-1}$ , where  $1 \leq j \leq k$ . For each tree  $T$  compute and store  $BC(T)$ ,  $b_{min}(T)$ ,  $d(w, BC(T))$ , and  $b(w, T)$ .
6. Out of the trees generated in the previous step, choose the spanning tree  $T$  with the minimum value of  $b(w, T)$ .
7. Run BROADCAST [112] to find a broadcast scheme for the originator  $w$ .

The algorithm first preprocesses the unicyclic graph and calculates the cycle  $C$  consisting of the vertices  $\{r_1, r_2, \dots, r_k\}$  and the trees  $T_i$  rooted at  $r_i$ , where  $1 \leq i \leq k$ . In step 3 the path joining  $w$  to  $u_1$  and  $d(w, BC(T_1))$  are calculated and saved. This information will be needed to calculate the broadcast time of  $w$ . Steps 4 and 5 construct a set of trees and calculate results that will be used in constructing the  $k$  spanning trees of the graph  $G$ . More specifically the trees,  $T_i, T_{i,i+1,\dots,k}$  for  $1 \leq i \leq k-1$ , and  $T_{1,2,\dots,i}$  for  $2 \leq i \leq k$  are constructed. For each tree  $T$  the  $BC(T)$  and  $b_{min}(T)$  are calculated and stored. These results will be useful to calculate the broadcast centers and the minimum broadcast times of the spanning trees. At the end of step 4 only one spanning tree will be constructed which is  $T_{1,2,\dots,k}$ . In step 5, the algorithm builds the remaining  $k-1$  spanning trees of the unicyclic graph. It also calculates the broadcast time of  $w$  for each one of them. Note that for each spanning tree  $T$ ,  $b(w, T) = d(w, BC(T)) + b_{min}(T)$  where the distance  $d(w, BC(T))$  can be easily calculated by using  $d(w, u_1)$  and position of  $SBC(T)$  calculated in steps 2 and 4 respectively. The spanning tree that has the minimum broadcast time for  $w$  is the required

result. Finally in order to obtain the optimal broadcast scheme for the originator  $w$  in the unicyclic graph  $G$ , the BROADCAST algorithm of [112] is run on the spanning tree  $T$  that had the minimum value of  $b(w, T)$ .

In step 5,  $k - 1$  spanning trees are constructed each in constant time. The construction of each tree can be done easily by observing that the spanning tree  $T_{i,i+1,\dots,k,1,2,\dots,i-1}$  is the sum of the two trees  $T_{i,i+1,\dots,k}$  and  $T_{1,2,\dots,i-1}$  rooted at  $r_k$  and  $r_1$  respectively. These two trees and all the information pertinent to the calculation of the  $b(w, T_{i,i+1,\dots,k,1,2,\dots,i-1})$  were calculated in step 4.

### 3.2.2 Proof of Correctness and Complexity Analysis

**Theorem 6.** *For a unicyclic graph  $G$ ,  $UBA(w, G)$  generates a broadcast scheme for the originator  $w$ , and calculates  $b(w, G)$ .*

*Proof.* Let  $C$ , consisting of the vertices  $\{r_1, r_2, \dots, r_k\}$ , represent the cycle in the unicyclic graph  $G$ . Removing the edge  $(r_k, r_1)$  results in a spanning tree of  $G$  which is  $T_{1,2,\dots,k}$ . The minimum broadcast time of  $T_{1,2,\dots,k}$  is calculated iteratively by tree summations  $T_{1,\dots,i-1} \oplus T_i$  rooted at  $r_{i-1}$  and  $r_i$  respectively, where  $2 \leq i \leq k$ . The remaining minimum spanning trees,  $T_{j,j+1,\dots,k,1,2,\dots,j-1}$  where  $2 \leq j \leq k$ , are calculated by performing the summations  $T_{j,\dots,k} \oplus T_{1,\dots,j-1}$ . Theorems 2 and 3 guarantee that a broadcast center and the minimum broadcast time of all the trees  $T_{j,j+1,\dots,k,1,2,\dots,j-1}$ , where  $1 \leq j \leq k$ , are calculated correctly. Since, the trees  $T_{j,j+1,\dots,k,1,2,\dots,j-1}$ , where  $1 \leq j \leq k$ , are the all

possible spanning trees of the unicyclic graph  $G$ , the algorithm correctly finds the spanning tree of the unicyclic graph  $G$  that has the minimum broadcast time of all the spanning trees. We will prove the correctness of the algorithm by contradiction. Assume that there exists a broadcast tree  $T'$  and a broadcast scheme in  $G$  that performs broadcasting in time  $t$  such that  $t = d(w, BC(T')) + b_{min}(T') < b(w, G)$ .  $T'$  should be one of the trees  $T_{j,j+1,\dots,k,1,2,\dots,j-1}$ , where  $1 \leq j \leq k$ . But the algorithm correctly calculated the minimum broadcast time of all the spanning trees and chose the spanning tree  $T$  with the minimum value of  $d(w, BC(T)) + b_{min}(T)$ . Therefore the existence of  $T'$  creates a contradiction.  $\square$

**Theorem 7.** *The complexity of the UBA algorithm running on a unicyclic graph  $G = (V, E)$  is  $O(|V|)$ .*

*Proof.* Steps 1 and 2 of the algorithm can be accomplished by a depth first search in  $O(|V|)$  time. In Step 3, BROADCAST [112] is applied on the trees  $T_i$  for  $1 \leq i \leq k$ . The complexity of this step is  $O(|V_1| + |V_2| + \dots + |V_k|) = O(|V|)$ . Step 4 is of complexity  $O(k)$  since there are  $k$  sums to be done, and the sum of two trees  $T_1$  and  $T_2$ ,  $T_1 \oplus T_2$ , can be done in constant time. Moreover, every time a tree  $T$  is constructed as  $T = T_1 \oplus T_2$ , calculating the distance between the root of  $T$  and  $SBC(T)$ , and  $b_{min}(T)$  can be done in constant time using the positions of  $SBC(T_1)$  and  $SBC(T_2)$ , and the broadcast times  $b_{min}(T_1)$  and  $b_{min}(T_2)$ . Step 5 involves the calculation of  $k - 1$  spanning trees. Each spanning tree is constructed by summing two trees, hence the complexity of this step is again  $O(k)$ . Step 6 chooses the spanning tree with the least minimum broadcast time hence its complexity is  $O(k)$ . Adding all the complexities we get that the complexity of the algorithm is  $O(|V| + k)$ . However,  $k \leq |V|$ , so this proves that the complexity of the

algorithm UBA is  $O(|V|)$ . □

### 3.3 The Broadcast Time of a Unicyclic Graph

So far, we showed how to calculate the minimum broadcast time of a unicyclic graph and how to calculate the broadcast time of a vertex in a unicyclic graph. Another problem which is usually studied in the literature is finding  $b(G)$ , the broadcast time of the given graph  $G$ . There is an obvious algorithm that will run in  $O(kn)$  time. In this section we will present an algorithm to calculate the broadcast time of an arbitrary unicyclic graph in  $O(n + k^2)$  time. This is always better than the  $O(kn)$  algorithm except when  $k = \Theta(|V|)$ . In particular, the time complexity of our algorithm is a linear function of  $|V|$  when  $k = O(\sqrt{|V|})$ .

For a vertex  $v$  in a graph  $G$  let us assume that  $u \in BC(G)$  is the closest vertex to  $v$ . In general, the broadcast tree of the optimum broadcast scheme of vertex  $v$  is not the same as the broadcast tree of the optimum broadcast scheme of  $u$ . Hence, calculating the broadcast center of the graph and then finding the furthest vertex from the broadcast center does not guarantee that the sum of that distance and the minimum broadcast time is the broadcast time of the graph  $G$ .

The major modification of the algorithm will involve calculating the broadcast time of each spanning tree  $T_{i, \dots, k, 1, \dots, i-1}$ . The modified UBA algorithm, MUBA (Modified Unicyclic Broadcast Algorithm), is presented below:

**Algorithm 3.3:** MUBA( $G$ ):

1. Extract from  $G$  the cycle  $C$ , consisting of the vertices  $\{r_1, r_2, \dots, r_k\}$ , and the trees

$T_i$  rooted at the vertices  $r_i$  for  $1 \leq i \leq k$ .

2. For all trees  $T_i$  where  $1 \leq i \leq k$  calculate save  $BC(T_i)$ , as well as  $b_{min}(T_i)$ . Also find the vertex  $v_i$  such the  $d(r_i, v_i)$  is maximum.
3. Construct the trees  $T_{1,2,\dots,i}$ , where  $2 \leq i \leq k$ , and  $T_{k,k-1,\dots,i}$ , where  $1 \leq i \leq k-1$ . For each tree  $T$ , compute and store  $BC(T)$  and  $b_{min}(T)$ .
4. Construct the spanning trees  $T_{j,j+1,\dots,k,1,2,\dots,j-1}$ , where  $1 \leq j \leq k$ . For each tree  $T$  compute and store:  $BC(T)$ ,  $b_{min}(T)$ , and the broadcast time of  $b(T)$
5. Out of the trees generated in the previous step, choose the spanning tree  $T$  with the minimum value of  $b(T)$ .

Step 4 of the above algorithm is the main place where the major modification was done.

The new quantity that is being calculated is the broadcast time,  $b(T)$ , of each spanning tree  $T$ . This calculation can be done in  $O(k)$  time as follows. Assume that the  $SBC(T)$  is in tree  $T_n$ . Calculate the quantities  $d(SBC(T), r_n) + d(r_n, r_j) + d(r_j, v_j)$  for  $1 \leq j \leq k$  and  $i \neq j$ . Also calculate the distance  $d(v, BC(T))$  such that  $v \in T_n$ . Choose the maximum of these values,  $d_{max}$ . This is the furthest distance from  $BC(T)$ , hence,  $b(T) = d_{max} + b_{min}(T)$ .

**Theorem 8.** *Given a unicyclic graph  $G = (V, E)$ , the algorithm MUBA( $G$ ) calculates  $b(G)$  in  $O(|V| + k^2)$  time.*

*Proof.* Step 1 of the algorithm needs  $O(|V|)$  time. Steps 2 and 3 take  $O(k)$  time as it was argued previously. The difference from the previous algorithm lies in step 4 which is not a constant time operation anymore. For each spanning tree  $T$ , calculating  $b(T)$  involves

calculating  $k$  distances and finding the minimum. This needs  $O(k)$  steps. Hence, the complexity of this step is  $O(k^2)$ . Step 5 has complexity  $O(k)$ . Therefore, we conclude that the complexity of the algorithm is  $O(n + k^2)$ .  $\square$

## 3.4 Lower and Upper Bounds on the Broadcast Time of Unicyclic Graph

A very important problem in this area is to find the relationship between the broadcast times of a connected graph  $G = (V, E)$  and one of its connected spanning subgraphs  $H = (V, E')$ . Such a result is very useful for designing good approximation algorithms to determine the broadcast time of an arbitrary vertex in an arbitrary graph. This will also be used for finding or evaluating the broadcast time of a given graph when the broadcast time of its subgraph is known. As the first step in this direction we will give tight lower and upper bounds on  $b(H)$  in terms of  $b(G)$ , where  $G$  is an arbitrary unicyclic graph.

### 3.4.1 Lower and Upper Bounds on the Minimum Broadcast Time

The result obtained in this section will be used in Section 5.2 to give tight lower and upper bounds on the broadcast time of a spanning tree of a unicyclic graph  $G = (V, E)$ . In this section we will study how much the minimum broadcast time (the broadcast time of the broadcast center) of a unicyclic graph varies when different edges of the cycle are removed (one at a time). First it is obvious that if the removed edge is not from the cycle then we will end up with two disconnected components one of which is a tree and the other one is

a unicyclic graph. Therefore, we will consider only the case where the removed edge was part of the cycle. Our interest is to see how high the minimum broadcast time of a spanning tree of a unicyclic graph can be compared to the minimum broadcast time of the unicyclic graph.

It is clear that deleting one or more edges from a graph will increase the minimum broadcast time of the initial graph (as well as the broadcast time). The bounds that we are looking at do not necessarily happen in all unicyclic graphs. For example when the trees attached to the cycle are isomorphic then it will not make any difference whichever edge was removed. We will give an upper bound on the minimum broadcast time of the worst spanning tree of the unicyclic graph.

Let  $G = (V, E)$  be a unicyclic graph. The  $k$  vertices of the cycle are denoted by  $r_i$  where  $1 \leq i \leq k$ . Without loss of generality, assume that removing the edge  $(r_1, r_k)$  yields the tree  $T = T_{1, \dots, k}$  with the minimum broadcast time  $t_{min}$ . In other words  $b_{min}(G) = b_{min}(T) = t_{min}$ . Now assume that the edge  $(r_1, r_k)$  is restored and another edge  $(r_i, r_{i+1})$  is removed. The broadcast time of the resulting tree can be calculated by noticing that the tree  $T' = T_{i+1, i+1, \dots, k, 1, 2, \dots, i}$  is the sum of the two trees  $T_A = T_{i+1, \dots, k}$  and  $T_B = T_{1, \dots, i}$ . One important factor that decides the broadcast time of  $T'$  is the distance between the special broadcast centers of  $T_1$  and  $T_2$ .

We use Theorem 3 to calculate the broadcast time of  $T_{1, \dots, k}$ ,  $b(T_{1, \dots, k}) = t_{min}$  by using the two trees  $T_A = T_{1, \dots, i}$  and  $T_B = T_{i+1, \dots, k}$ .

To apply Theorem 3, we need to consider that the tree  $T_A$  is rooted at  $r_i$  and  $T_B$  is rooted at  $r_{i+1}$ . Assume that  $SBC(T_A)$  is in the tree rooted at  $r_x$  and  $SBC(T_B)$  is in the

tree rooted at  $r_y$ . Let  $t_1$  and  $t_2$  be the broadcast times of  $SBC(T_A)$  in  $T_A$  and  $SBC(T_B)$  in  $T_B$  respectively. Let  $d_x$  be the distance between  $SBC(T_A)$  and  $r_x$  and  $d_y$  be the distance between  $SBC(T_B)$  and  $r_y$ . We directly apply Theorem 3 to find the broadcast centers of  $T$ . Direct substitution gives:

$$A = b_{min}(T_A) - b_{min}(T_B) \text{ and } B = d_x + d_y + y - x.$$

And the two vertices,  $u$  and  $u'$ , of the broadcast center of  $T$  are at the following distance from  $SBC(T_A)$ :

$$\lfloor \frac{B-A}{2} \rfloor \text{ and } \lceil \frac{B-A}{2} \rceil.$$

Note that Theorem 3 has three different cases, however the first two cases lead to the situation when the new broadcast center coincides with one of the broadcast centers of the initial trees. It is easy to verify (the details are omitted) that these two cases do not lead to the upper bound that we are looking for. So, we assume that case 3 of Theorem 3 holds.

Now we calculate the broadcast time of  $T' = T_{i,i+1,\dots,k,1,2,\dots,i}$  using the same trees  $T_A$  and  $T_B$  and the already known information about their broadcast centers. This will allow us to compare  $b_{min}(T)$  and  $b_{min}(T')$  because they will both be expressed in terms of the same variables. Using Theorem 3 we can find the vertices of the broadcast center. Here, we need to assume that  $T_A$  is rooted at vertex  $r_1$  and  $T_B$  is rooted at vertex  $r_k$ . However, this does not change the previous assumption that  $SBC(T_A)$  is in  $T_x$  and  $SBC(T_B)$  is in  $T_y$ . Direct application of Theorem 3 gives:

$$A' = b_{min}(T_A) - b_{min}(T_B) \text{ and } B' = d_x + d_y + k - (y - x).$$

The two possible vertices,  $u$  and  $u'$ , of the broadcast center of  $T'$  are at the following distance from  $SBC(T_A)$ :

$$\lfloor \frac{B'-A'}{2} \rfloor \text{ and } \lceil \frac{B'-A'}{2} \rceil.$$

After finding the vertices of the broadcast center one can easily calculate the minimum broadcast time. There are two cases that one has to consider. One case is when  $B - A$  is odd and the other when it is even. In the first case there will be two vertices in the broadcast center and in the latter case there will be one vertex only.

$$t_{min} = b_{min}(T) = \frac{B-A}{2} + t_1 + 1 \text{ when } B - A \text{ is even.}$$

$$t_{min} = b_{min}(T) = \lceil \frac{B-A}{2} \rceil + t_1 \text{ when } B - A \text{ is odd.}$$

Rewriting the two equations we get:

$$t_{min} = b_{min}(T) = \frac{t_1+t_2+d_x+d_y+y-x+2}{2} \text{ when } B - A \text{ is even.}$$

$$t_{min} = b_{min}(T) = \frac{t_1+t_2+d_x+d_y+y-x+1}{2} \text{ when } B - A \text{ is odd.}$$

Similarly the broadcast time of  $T'$  can be obtained:

$$b_{min}(T') = \frac{t_1+t_2+d_x+d_y+k-(y-x)+2}{2} \text{ when } B' - A' \text{ is even.}$$

$$b_{min}(T') = \frac{t_1+t_2+d_x+d_y+k-(y-x)+1}{2} \text{ when } B' - A' \text{ is odd.}$$

We have 4 combinations of the parity of  $B - A$  and  $B' - A'$ . To calculate the upper bound on minimum broadcast time each combination has to be treated separately. Assume the case where  $B - A$  is odd and  $B' - A'$  is even. All other cases can be handled similarly.

In this case we get the following:  $b_{min}(T') = \frac{2t_{min}+2(x-y)+k+1}{2}$ .

The broadcast time depends on two variables:  $(x - y)$  and  $k$ . To maximize  $b_{min}(T')$ , the minimum broadcast time of  $T'$  we need to maximize  $2(x - y) + k$ . By definition of  $x$  and  $y$  it follows that  $(x - y) \leq -1$ . An upper bound on  $k$  can be obtained by considering the cycle alone. We know that the broadcast time of a cycle of size  $k$  is  $\lceil \frac{k}{2} \rceil$ , hence  $t_{min} \geq \lceil \frac{k}{2} \rceil$ . In other words,  $k \leq 2t_{min}$  when  $k$  is even and  $k \leq 2t_{min} - 1$  when  $k$  is odd. We can

substitute these values in the equation  $b_{min}(T') = \frac{2t_{min}+2(x-y)+k+1}{2}$  and show that it indeed reduces to  $b_{min}(T) \leq 2b_{min}(T) - 1$ . Note that  $B - A$  is odd and  $B' - A'$  is even which implies that  $k$  must be odd. Therefore,  $t_{min} \geq \lceil \frac{k}{2} \rceil$  implies that  $t_{min} \geq \frac{k+1}{2}$ . Also, using the fact that  $x - y \leq -1$ , implies that  $b_{min}(T') \leq 2b_{min}(T) - 1$ .

Since the equality  $b_{min}(T') = 2b_{min}(T) - 1$  is obtained only when  $x - y = -1$  and  $t_{min} = \lceil \frac{k}{2} \rceil$  we will study this scenario in more details and will show that if  $b_{min}(G) = \lceil \frac{k}{2} \rceil$  and  $x - y = -1$  we obtain that  $b_{min}(T) \leq 2b_{min}(G) - 2$ .

Since  $x - y = -1$  we conclude that the broadcast centers of  $T_A$  and  $T_B$  fall in two neighboring trees  $T_i$  and  $T_{i+1}$ . Moreover, since the length of the cycle is  $k$  and its broadcast time is  $\lceil \frac{k}{2} \rceil$ , we conclude that  $r_i$  and  $r_{i+1}$  are broadcast centers of  $T$ . Note that the largest value of  $b(r_j, T_j)$  can be equal to  $b_{min}(T) - 2$  and this could be for the trees rooted at  $r_i$  and  $r_{i+1}$ . This can be understood by analyzing the broadcast scheme of  $r_i$ . In the first time unit it has to inform the other broadcast center. In the second time unit, both broadcast centers have to inform their neighbors on the cycle. Only after the second time unit the roots  $r_i$  and  $r_{i+1}$  will have time to inform vertices in the trees  $T_i$  and  $T_{i+1}$ . Therefore, one can calculate the worst possible value of  $T'$  in such a graph as follows:  $b_{min}(T') \leq \lceil \frac{k}{2} \rceil + \max_{1 \leq j \leq k} \{b(r_j, T_j)\}$ , which is equal to  $b_{min}(T) \leq 2b_{min}(T) - 2$ .

Therefore we can realize that upper bound  $b_{min}(T') \leq 2b_{min}(T) - 1$  is not tight and the tight upper bound is  $b_{min}(T') \leq 2b_{min}(T) - 2$ .

Therefore, in the case of  $B - A$  is odd and  $B' - A'$  is even, the maximum value of  $b_{min}(T')$  can be  $b_{min}(T') \leq 2b_{min}(T) - 2$ . It should be noted that the remaining combinations of the parities of  $B - A$  and  $B' - A'$  either result in broadcast times less than or equal

the upper bound calculated above.

Actually we proved the following result:

**Theorem 9.** *If  $G$  is a unicyclic graph and  $T'$  is a spanning tree of  $G$  then  $b_{min}(G) \leq b_{min}(T') \leq 2b_{min}(G) - 2$ .*

In Figure 4 we present an example of a unicyclic graph where  $b_{min}(T') \leq 2b_{min}(G) - 2$ . Figure 4c presents  $T'$ , where  $b_{min}(T') = \max\{b_{min}(P) | P \text{ is a spanning tree of unicyclic graph } G\} = 8$ . Figure 4b shows the spanning tree  $T$  for which  $b_{min}(G) = b_{min}(T) = 5$ . Figure 4 presents graphs on  $n$  vertices for which  $b_{min}(T') = 2b_{min}(G) - 2$ , for any  $n = 0 \pmod{4}$ .

### 3.4.2 Lower and Upper Bounds on Broadcast Time

In this section we will give tight lower and upper bounds on the broadcast time of a vertex in a spanning tree  $T' = (V, E')$  of a unicyclic graph  $G = (V, E)$  expressed in terms of  $b(v, G)$ , the broadcast time of  $v$  in the unicyclic graph  $G$ .

**Theorem 10.** *If  $G$  is a unicyclic graph and  $T$  is a spanning tree of  $G$  then  $b(G) \leq b(T) \leq 2b(G) - 1$ .*

*Proof.* To prove the lower bound consider unicyclic graph  $G$  that contains a cycle of length 3 and a path of length  $n - 3$  starting from one of the vertices of the cycle. It is clear that broadcasting from the leaf of the path (the vertex on the path which is the furthest from the cycle) takes  $n - 1$  time units, so  $b(G) = n - 1$ . It is obvious that  $b(T) = b(G) = n - 1$  for any spanning tree of  $G$ .

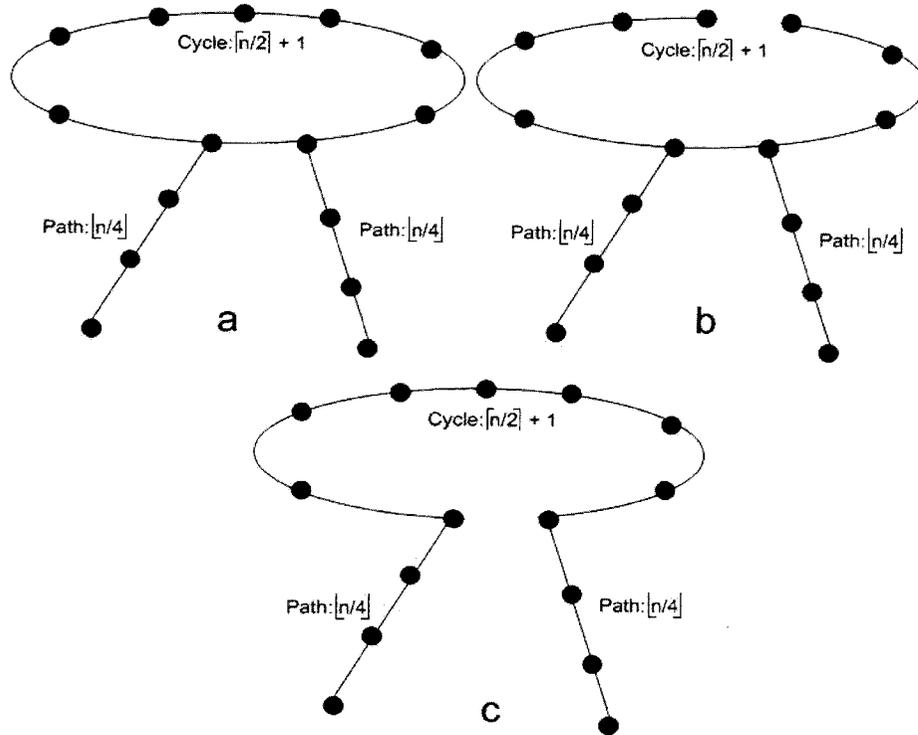


Figure 4: (a) Shows the unicyclic graph (on  $n$  vertices) that can have the maximum variation in the minimum broadcast time depending on which edge gets removed. (b) Shows the graph with the best edge removed and whose minimum broadcast time is  $\lceil \frac{n}{4} \rceil + 1$  and is the minimum value possible. (c) Shows the graph with the wrong edge removed where the minimum broadcast time increases to  $\lceil \frac{n}{2} \rceil$ .

As for the upper bound we need to prove that  $b(T) \leq 2b(G) - 1$ . There exists a vertex  $v$  such that  $b(v, T) = b(T)$ , therefore we need to prove that  $b(v, T) \leq 2b(v, G) - 1$  which will immediately imply the required result. Moreover, there exists a tree  $T'$  such that  $b(v, G) = b(v, T')$ , therefore, we need to prove that  $b(v, T) \leq b(v, T') - 1$ . As before we can assume that  $T$  is the sum of two trees  $T_A$  and  $T_B$ . Without repeating the details of the calculation as was done in the previous theorem, we can calculate the distance of the broadcast centers of  $T$  and  $T'$  from  $SBC(T_A)$ . What interests us is the difference in the distance which is  $k + 2(x - y)$ . On the other hand, we can calculate  $b_{min}(T)$  in terms of  $b_{min}(T')$ . Again depending on the parities of  $B - A$  and  $B' - A'$  we get several combinations. When  $B - A$  is odd while  $B' - A'$  is even we get that  $b_{min}(T') = b_{min}(T) + (x - y) + \frac{k-1}{2}$ .

We can write that  $b(v, T) = b_{min}(T) + d(v, BC(T))$  which implies that  $b(v, T) = b_{min}(T') - (x - y) - \frac{k-1}{2} + d(v, BC(T))$ . Using that  $|d(v, BC(T')) - d(v, BC(T))| = k + 2(x - y)$  we obtain  $b(v, T) \leq b_{min}(T') - (x - y) - \frac{k-1}{2} + d(v, BC(T')) + k + 2(x - y)$  which implies that  $b(v, T) = b(v, T') + (x - y) + \frac{k+1}{2}$ . Again we can use that  $x - y \leq -1$  and  $\frac{k+1}{2} \leq b(v, T')$  to obtain that  $b(v, T) \leq 2b(v, T') - 1$ . Since  $T'$  is the broadcast tree which has the property  $b(v, T') = b(v, G)$  we can write  $b(v, T) \leq 2b(v, G) - 1$ . Finally since  $b(v, G) \leq b(G)$  for any vertex  $v$  we can conclude that  $b(T) \leq 2b(G) - 1$ .  $\square$

The upper bound from this theorem is also attainable. An example of that is  $C_n$ , the cycle on  $n$  vertices. It is easy to see that the broadcast time of any spanning tree of  $C_n$  is  $P_n$  the path on  $n$  vertices and  $b(P_n) = n - 1 = 2b(C_n) - 1$  when  $n$  is even.

# Chapter 4

## Subclasses of the Cactus Graph

Cactus graphs are defined to be connected graphs where no two cycles have more than one vertex in common. In this chapter we will study three kinds of graphs that are subclasses of the cactus graph. These are the tree of cycles, necklace graphs, and the 2-restricted cactus graphs. The tree of cycles is a connected graph where no two cycles are allowed to have any vertex in common. The necklace graph consists of cycles such that a cycle can have no more than two vertices in common with two other distinct cycles and no more than two cycles can have a vertex in common. The third graph is the 2-restricted cactus graphs where we add one more restriction to the definition of cactus graph. A 2-restricted cactus graph is a cactus graph where no more than two cycles can have more than one vertex in common. For the first two graph families we will present two linear time algorithms to find the broadcast time of an arbitrary vertex. However, we will present partial solution for the 2-restricted cactus graphs and discuss the difficulties which should be overcome in order to get a complete solution.

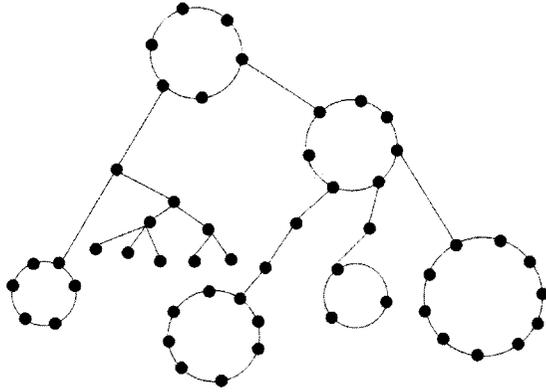


Figure 5: A tree of cycles with 6 cycles of different sizes.

## 4.1 Tree of Cycles

We will define a family of graphs where no two cycles intersect [65]. This can happen if and only if there is only one unique path between any two cycles. If the cycles were made up of single vertices, then this would be the definition of a tree. Hence, we can visualize this graph as a tree of cycles.

**Definition 4.** *A graph  $TC = (V, E)$  is a tree of cycles if for every edge  $e \in E$ ,  $e$  belongs to a simple cycle or  $e$  is a bridge. A vertex  $e$  is a bridge if its removal results in an increase in the number of connected components of the graph.*

In this section we will describe a broadcast algorithm for an arbitrary graph in the family of tree of cycles. The strategy is to divide the problem into smaller problems, solve them individually, and then join the results. We will define a recursive algorithm that can accomplish this. For a tree of cycles  $TC = (V, E)$ , where  $V$  is the set of vertices and  $E$  is the set of edges, we will define the subsets  $V_{C_i} \subseteq V$  and  $E_{C_i} \subseteq E$  as follows. For a cycle  $C_i$  in  $TC$  let the set  $V_{C_i}$  be the subset of the vertices of the cycle  $C_i$  such that every vertex

$v \in V_{C_i}$  is the endvertex of a bridge. The set  $E_{C_i}$  is the set of edges such that one of the end vertices is in  $V_{C_i}$ . In other word  $E_{C_i}$  is the set of bridges that have an end vertex on the cycle  $C_i$ .

The broadcast algorithm for an originator  $v_o$  in a tree of cycles  $TC$  starts with the cycle  $C_o$  that contains the originator  $v_o$ , and calculates the sets  $V_{C_o} = \{v_1, \dots, v_k\}$  and  $E_{C_o} = \{(v_i, u_j)\}$ , where  $1 \leq i \leq k$ ,  $1 \leq j \leq p$  and  $p \geq k$ . The vertices  $u_j$ ,  $1 \leq j \leq p$ , are those vertices which do not belong to the cycle  $C_o$  but have neighbors which belong to  $V_{C_o}$ . The size of  $E_{C_o}$  could be greater than the size of  $V_{C_o}$  because it is possible to have a vertex in  $V_{C_o}$  connected to more than one vertex, each in a different cycle. After calculating these sets, the graph can be divided into disconnected subgraphs by removing the edges of the set  $E_{C_o}$  from the graph  $TC$ . When the edge  $(v_i, u_j) \in E_{C_o}$  is removed the graph that contains  $u_j$  will be denoted by  $TC_j$ . So removing all the edges of  $E_{C_o}$  will result in  $p$  subgraphs  $TC_j$ ,  $1 \leq i \leq p$ . Note that each one of these graphs also belongs to the family of tree of cycles. The recursive step of the algorithm is to solve the broadcast problem in the  $p$  subgraphs  $TC_j$ ,  $1 \leq j \leq p$ , where the originator is  $u_j$ . After solving the broadcast problem in  $TC_j$  we will have a broadcast tree,  $T_{TC_j}$ , rooted at the originator  $u_j$ . The joining step of the recursive algorithm is to put together the solutions of the subgraphs  $TC_j$  and obtain a solution for the graph  $TC$ . This is done by constructing a graph  $TC' = (V', E')$ , which is a subgraph of  $TC$ , consisting of the cycle  $C_o$ , the trees  $T_{TC_j}$   $1 \leq j \leq p$ , and the edges  $E_{C_o}$ . Note that the graph  $TC'$  is a unicyclic graph. Therefore, the joining step is to solve the broadcast problem in the unicyclic graph  $TC'$  where the originator is  $v_o$ .

In every recursive algorithm there should be a base case at which point the problem

is not subdivided into smaller problems. The base case for the algorithm suggested above is when we consider a cycle  $C_j$  such that  $E_{C_j}$  is empty. In this case the solution of the broadcast problem is very simple since the broadcast time of a cycle on  $n$  vertices is  $\lceil \frac{n}{2} \rceil$  and the broadcast tree is the tree resulting after removing the  $\lceil \frac{n}{2} \rceil$ -th edge from the originator.

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**Algorithm 4.1:** The broadcast algorithm that calculates the broadcast time  $b(v_o, TC)$  and scheme for any originator  $v_o$  in any tree of cycles  $TC$ .

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**Algorithm:** Broadcast Algorithm for Tree of Cycles:  $BroadcastTC(TC, v_o)$

**Input:**  $TC = (V, E)$  and originator  $v_o$

**Output:** Broadcast time  $b(v_o, TC)$  and the broadcast tree of  $TC$

Find the cycle  $C_o$  such that the originator  $v_o$  belongs to it ;

Calculate the sets  $V_{C_o}$  and  $E_{C_o}$  ;

**if**  $E_{C_o}$  is empty **then**

len  $\leftarrow$  number of vertices on the cycle  $C_o$  ;

cut the  $\lfloor \frac{len}{2} \rfloor$ -th edge from  $v_o$  to obtain the broadcast tree of the cycle  $C_o$  ;

**return**

**else**

$TC' \leftarrow C_o$  ;

**foreach**  $(v_i, u_j) \in E_{C_o}$  **do**

remove edge  $(v_i, u_j)$  and obtain the connected subgraph  $TC_j$  ;

call  $BroadcastTC(TC_j, u_j)$  to obtain the broadcast tree  $T_{TC_j}$  ;

Add the edge  $(v_i, u_j)$  to the graph  $TC'$  ;

Add  $T_{TC_j}$  to  $TC'$  ;

**end**

Calculate the broadcast time and the broadcast scheme of the unicyclic graph

$TC'$  ;

**end**

---

### 4.1.1 Complexity Analysis and Proof of Correctness

In this section we will present the complexity analysis of the broadcast algorithm of tree of cycles, we will also prove its correctness. We first calculate the maximum number of edges that a tree of cycles on  $n$  vertices can have. Note that cycles cannot be of size 2 since they are equivalent to two cycles of size 1 connected by an edge. Let  $p$  be the number of

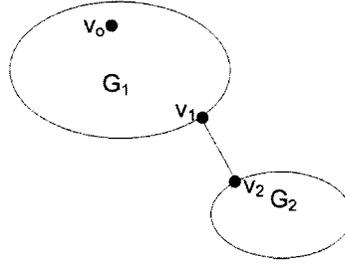


Figure 6: A graph with two connected components  $G_1$  and  $G_2$  connected by the bridge  $(v_1, v_2)$ .

cycles of size 1, and  $q$  be the number of cycles whose size is greater than or equal to 3. First notice that one needs to have  $p + q - 1$  edges to connect  $p + q$  cycles. Moreover, every cycle of size  $m$  such that  $m \geq 3$  will have  $m$  edges on it. So the total number of edges in the graph is  $|E| = p + q - 1 + \sum_{i=1}^q m_i$ , where  $m_i$  is the number of vertices on the cycle  $C_i$ . The quantity  $p + \sum_{i=1}^q m_i$  is equal to the total number of vertices in the graph. Hence  $|E| = q - 1 + |V|$ . On the other hand, the smallest size of a cycle is 3, therefore we conclude that  $q \leq \lfloor \frac{n}{3} \rfloor$  which implies that  $|E| \leq \lfloor \frac{4n}{3} \rfloor$ .

**Theorem 11.** *The complexity of the BroadcastTC( $G, v$ ) on a graph  $TC = (V, E)$  is  $O(n)$  where  $|V| = n$ .*

*Proof.* The proof will be done by induction. First consider a leaf cycle which is the base case of the algorithm. The broadcast problem in a simple cycle has a linear complexity.

At every recursive call of the algorithm one cycle will be considered. Consider a cycle  $C_i$ , assume that the broadcast time and scheme of each subgraph,  $T_{TC_j}$   $1 \leq j \leq p$ , obtained by removing the edges  $E_{C_i}$ ,  $|E_{C_i}| = p$ , can be calculated in linear time. The calculation of the set  $E_{C_i}$  has complexity  $O(p)$  and can be done by traversing the cycle and inspecting all the edges having an end point on the cycle.

The next step is to construct the unicyclic graph,  $G_{C_l}$ , which consists of the cycle  $C_l$  attached to it the broadcast trees  $T_{TC_j}$ ,  $1 \leq j \leq p$ , where  $T_{TC_j}$  is the broadcast tree of the subgraph  $TC_j$ . We need to prove that the broadcast time and scheme of this graph can be calculated in linear time. Given the results proved in the previous chapter ([67]) we can calculate the broadcast time in  $O(p)$  time because the broadcast times of the subgraphs are known. The unicyclic broadcast algorithm uses the already calculated broadcast time of each of the graphs  $TC_i$ ,  $1 \leq i \leq p$  and it has to construct the  $l$  spanning trees of the graph  $G_{C_l}$  to find the one which has the least broadcast time. Calculation of the first spanning tree has complexity  $O(l)$  where  $l$  is the length of the cycle. The calculation of each of the remaining  $l - 1$  trees has a constant time complexity. Therefore, the total complexity of the algorithm is  $O(|TC_1|) + \dots + O(|TC_p|) + O(p) + O(l)$  which is equal to  $O(|E|)$ , where  $E$  is the set of edges of the graph  $G_{C_l}$  and  $|TC_j|$  is the number of vertices of the tree of cycles  $TC_j$ . Since  $|E| \leq \lfloor \frac{4n}{3} \rfloor$  we conclude that the complexity of the algorithm is  $O(|V|)$ .  $\square$

Consider a graph  $G$  that has a bridge  $(v_1, v_2)$  (Fig. 6). In other words, the removal of the edge  $(v_1, v_2)$  divides the graph  $G$  into two connected components  $G_1$  and  $G_2$ . Without loss of generality, assume that  $G_1$  is the component containing the originator  $v_o$ . We will prove that there exists a divide and conquer approach for solving the broadcast problem in the graph  $G$ . First solve the broadcast problem in  $G_2$  assuming that the originator is  $v_2$ . This results in a broadcast tree, a spanning tree of  $G_2$ , which can be substituted instead of  $G_2$  without changing the broadcast time of  $v_o$  in the graph  $G$ . We will denote by  $G'$  the graph  $G_1$  connected to  $T_2$ , where  $T_2$  is the broadcast tree of  $G_2$  (Fig. 7).

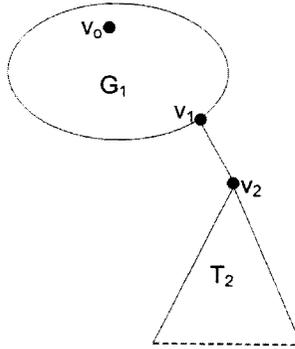


Figure 7: The graph where  $G_2$  is substituted by its broadcast tree  $T_2$ .

**Theorem 12.** Consider a graph  $G$  with a bridge  $(v_1, v_2)$  which divides  $G$  into two components  $G_1$  and  $G_2$ . Let the graph  $G'$  be constructed from  $G$  such that the broadcast tree of  $G_2$  substitutes the graph  $G_2$  (as in Figures 6 and 7). For any originator  $v_o$  in  $G_1$  the broadcast time  $b(v_o, G) = b(v_o, G')$ .

*Proof.* Let  $S$  be the optimal broadcast scheme in  $G$ , and  $S'$  be the optimal broadcast scheme in  $G'$ . In both schemes when  $v_2$  gets informed it does not have any uninformed neighbours in  $G_1$  and all its uninformed neighbours are in  $G_2$ . Therefore, the broadcast schedule that  $v_2$  chooses does not affect on the time at which the rest of the vertices in  $G_1$  get informed.

Two cases might arise:

1. According to scheme  $S$  there is a vertex  $v$  in  $G_1$  that gets informed at time  $b(v_o, G)$ . Assume that  $t_2$  is the time at which  $v_2$  was informed, therefore all the vertices of  $G_2$  were informed within  $b(v_o, G) - t_2$  time units after  $v_2$  was informed. In scheme  $S'$  vertex  $v$  will still be informed at time  $b(v_o, G)$  because it is in  $G_1$  and changing the graph structure of  $G_2$  will not affect on the broadcast schedule of the vertices in  $G_1$ . Moreover, all the vertices of  $G_2$  will be informed at time  $t_2 + b(v_2, G_2)$ . Since

$b(v_2, G_2) \leq b(v_o, G) - t_2$  then the broadcast time  $b(v_o, G) = b(v_o, G')$ .

2. According to scheme  $S$  there is no vertex in  $G_1$  that gets informed at time  $b(v_o, G)$ . Therefore, there is a vertex  $v'_f$  in  $G_2$  that gets informed at time  $b(v_o, G)$ . Since  $G'$  is a subgraph of  $G$  then we know that  $b(v_o, G') \geq b(v_o, G)$ . Assume that  $b(v_o, G') > b(v_o, G)$ . Consider a new scheme  $S''$  in  $G'$  such that every vertex in  $G_1$  keeps the same broadcast schedule as in  $S$ , while every vertex in  $G_2$  keeps the same broadcast schedule of  $S'$ . In scheme  $S$  let  $t_2$  be the time at which  $v_2$  gets informed and let  $t_{G_2}$  be the time needed for the last vertex in  $G_2$  to be informed after  $v_2$  gets informed, hence  $b(v_o, G) = t_2 + t_{G_2}$ . In  $S''$  vertex  $v_2$  will again be informed at the same time  $t_2$ , therefore the time at which the last vertex in  $G_2$  will be informed is  $b(v_o, G') = t_2 + b(v_2, G_2)$ . Since  $b(v_2, G_2) \leq t_{G_2}$  then we conclude that  $b(v_o, G') \leq b(v_o, G)$  which contradicts our assumption that  $b(v_o, G') > b(v_o, G)$ . Therefore  $b(v_o, G') = b(v_o, G)$ .

□

Theorem 12 presents the result that is necessary to prove the correctness of the broadcast algorithm described in the previous section. This result together with the correctness of the broadcast algorithm of unicyclic graphs imply the correctness of the above algorithm.

**Theorem 13.** *The BroadcastTC algorithm calculates the optimal broadcast scheme and the broadcast time of any originator in any tree of cycles TC.*

*Proof.* We will prove this theorem by induction. Consider the base case where a cycle  $C_q$  is considered such that all of the subgraphs obtained by cutting the edges  $E_{C_q}$  are simple cycles. The broadcast time and scheme of the simple cycles are correctly calculated by

cutting the  $\lceil \frac{n}{2} \rceil$ -th edge from the originator. According to Theorem 12, we can substitute the broadcast trees of each of the cycles without changing the broadcast time and scheme of any originator on the cycle  $C_q$ . Assume that the algorithm is correct for any subgraph of the tree of cycles. Now consider the originator,  $v_o$ , belonging to the cycle  $C_o$ . We are assuming that the broadcast times and schemes of all the subgraphs obtained by removing the edges in  $E_{C_o}$  have been correctly calculated. The inductive step is to prove that the algorithm correctly calculates the broadcast time of the  $TC$  containing the cycle  $C_o$ , together with the edges  $E_{C_o}$ , and the connected subgraphs. Because of Theorem 12, we can use the broadcast trees of each of the subgraphs to obtain a unicyclic graph with the cycle being  $C_o$  whose broadcast time and scheme is the same as that of  $TC$ . The correctness of the broadcast algorithm in unicyclic graphs implies that the above algorithm correctly calculates the broadcast time of any tree of cycles.  $\square$

## 4.2 Necklace Graphs

A necklace graph is a graph with  $m$  cycles and  $m - 1$  vertices such that each of these vertices is common to two cycles (see Figure 11).

**Definition 5.** Given  $m$  cycles  $C_i = (V_i, E_i)$  such that  $V_i \cap V_{i+1} = \{v_i\}$  for  $1 \leq i \leq m - 1$ , a necklace graph is defined to be the graph  $G = (V, E)$  where  $V = V_1 \cup \dots \cup V_m$  and  $E = E_1 \cup \dots \cup E_m$ .

We will present an algorithm to solve the broadcast problem in an arbitrary necklace graph [63]. First, we will consider the case where the originator belongs to one of the end

cycles. The solution for the case when the originator is not on an end cycle can be obtained if one can solve the first case (i.e. the originator is on an end cycle). Assume that the originator is in cycle  $C_i$  and the end cycles are cycles  $C_1$  and  $C_k$ . Two necklace graphs can be constructed one being the subgraph starting at cycle  $C_1$  and ending at cycle  $C_{i-1}$ , and the other one being the subgraph starting at  $C_{i+1}$  and ending at  $C_k$ . After solving the broadcast problem in these two graphs the solutions can be put together to construct the solution for the original graph.

### 4.2.1 Cycle and an Attached Graph

In this section we will present some results which will be helpful in proving the correctness of the algorithm we propose. First we consider a graph  $G$  made up of a cycle  $C_N$  and a graph  $G'$  such that both graphs have a vertex  $v_c$  in common. We call the vertex  $v_c$  the connecting vertex.

**Theorem 14.** *For any originator  $v_o$  on  $C_N$ , there exists an optimal broadcast scheme which first sends the information along the shorter path towards vertex  $v_c$  and then along the longer path.*

*Proof.* Assume that there is no optimal broadcast scheme such that  $v_o$  first sends the information along the shortest path towards the connecting vertex  $v_c$ . Let  $S$  be one of the optimal broadcast schemes. We will construct a new scheme  $S'$  which will first send the information towards the shortest path and we will show that it has a broadcast time smaller than or equal to that of  $S$ .

Consider the scheme  $S$ , according to this scheme the connecting vertex  $v_c$  gets informed at a certain time which we label by  $t_1$ . After it gets informed, it spends  $b$  time units informing vertices in  $G'$  before it forwards the message on  $C_N$ . At time  $t_1 + b$  there will be  $2t_1 + b$  informed vertices (including the originator) on the cycle and a chain of  $N - 2t_1 - b$  uninformed vertices on  $C_N$  with both ends of the chain connected to informed vertices. We know that these vertices will be informed at time  $b(G)$  hence we can conclude that  $(N - 2t_1 - b)/2 \leq b(G) - t_1 - b$ . We will construct a new scheme  $S'$  from  $S$  which is very similar to  $S$  with the only difference that  $v_o$  first informs the vertex on the cycle that is closer to  $v_c$ . Similar to scheme  $S$ , when  $v_c$  gets informed, it will first spend  $b$  time units informing the same vertices in  $G'$  (and in the same order). Now we need to calculate the broadcast time of scheme  $S'$  and compare it with that of  $S$ . According to scheme  $S'$  vertex  $v_c$  will get informed at time  $t_1 - 1$ . Moreover since  $v_c$  keeps the same broadcast schedule for informing the vertices of  $G'$ , the vertices of  $G'$  will be informed in at most  $b(G) - t_1$  time units. Therefore, in scheme  $S'$  at time  $(t_1 - 1) + (b(G) - t_1) = b(G) - 1$  the vertices of  $G'$  will be informed. On the other hand, the number of informed vertices on the cycle at time  $t_1 + b$  can be calculated by adding the following:

1. All the  $t_1 - 1$  vertices on the shorter path between  $v_o$  and  $v_c$ .
2. The originator  $v_o$ .
3.  $t_1 - 1 + b$  informed vertices on the longer path between  $v_o$  and  $v_c$ .
4. One vertex neighbouring  $v_c$  on the longer path between  $v_c$  and  $v_o$ .

The sum of the informed vertices is  $2t_1 + b$  which implies the number of uninformed

vertices is  $N - (2t_1 + b)$  which is the same as in scheme  $S$ . Therefore, all the vertices will be informed at most by time  $b(G)$ . Also we can conclude that if  $(N - 2t_1 + b)/2 < b(G) - t_1 - b - 1$ , then broadcast time of scheme  $S$  will be  $b(G) - 1$ . This contradicts the assumption according to which there was no optimal scheme which first informs the vertex on the shorter path to the connecting vertex.  $\square$

### **The broadcast time of a cycle with a tree**

In this subsection we will calculate the broadcast time of a graph composed of a cycle with a tree  $T$  is attached to one of its vertices, such that the root of the tree  $T$  is a vertex on the cycle. We are limiting the analysis to the case where the root of the tree has degree 2. Consider a graph  $G$  made up of a cycle  $C_N$  and a tree  $T$  connected to vertex  $v_m$ . Let  $v_o$  be the originator. According to theorem 14 an optimum broadcast scheme can be obtained by first informing the vertex on the shortest path from  $v_o$  to  $v_m$ . After that, the broadcast scheme is simple up to the time when vertex  $v_m$  is informed since every informed vertex informs its only uninformed neighbor which is also a vertex on the cycle. The only vertex that has more than one choice is  $v_m$ . Let  $v'_m$  be the other vertex that was informed at the same time as  $v_m$ . Let  $P$  be the number uninformed vertices on the cycle  $C_N$  at the time  $v_m$  was informed.

Assume that the broadcast time of  $v_m$  in the tree  $T$  is equal to  $t$ , i.e.  $b(v_m, T) = t$ . Let  $T_1$  and  $T_2$  rooted at  $v_1$  and  $v_2$  respectively, be the two subtrees connected to  $v_m$ , such that the broadcast time of  $v_1$  in  $T_1$  is equal to  $t_1$  and the broadcast time of  $v_2$  in  $T_2$  is  $t_2$  (Fig. 8). The broadcast time of  $G$  depends on the values of  $t$ ,  $t_1$ ,  $t_2$  and  $P$ .

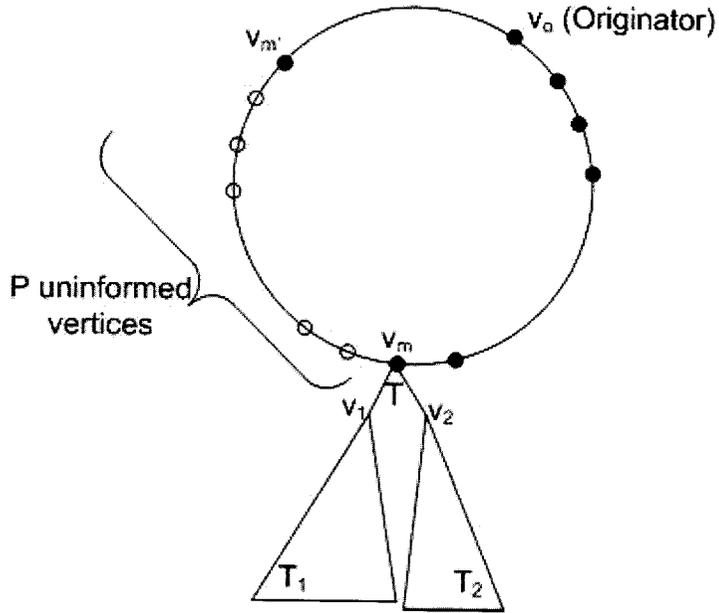


Figure 8: Shows a tree attached to a cycle.  $v_o$  is the originator and the  $P$  vertices on the cycle between  $v_m$  and  $v_{m'}$  are uninformed.

1.  $t < \lceil \frac{P}{2} \rceil$ : The broadcast time of  $G$  will be exactly the same as that of  $C_N$ . Therefore, An optimum broadcast scheme is obtained when the vertex  $v_m$  first informs the vertex on the cycle  $C_N$  and then starts informing the vertices in the attached tree using the tree broadcast algorithm of [112]
2.  $t > \lceil \frac{P}{2} \rceil$ : The broadcast time of  $G$  will be equal to the time to inform  $v_m$  plus the time needed to broadcast the information in the tree  $T$ .  $b(G) = d(v_o, v_m) + t$ , where  $d(v_o, v_m)$  is the distance between vertices  $v_o$  and  $v_m$ . An optimum broadcast scheme can be obtained if  $v_m$  spends the next two time units informing the vertices in the attached tree and then forwards the message on the cycle  $C_N$ .
3.  $t = \lceil \frac{P}{2} \rceil$ : This case needs a careful observation because the structure of the tree also

has a role in determining the broadcast time of the graph  $G$ . The broadcast scheme will be different depending on which one of the following cases applies:

- i-  $P$  is even,  $b(T) = t = P/2$ ,  $b(T_1) = t - 1$ ,  $b(T_2) \leq t - 3$ .
- ii-  $P$  is even,  $b(T) = t = P/2$ ,  $b(T_1) = t - 1$ ,  $b(T_2) = t - 2$ .
- iii-  $P$  is even,  $b(T) = t = P/2$ ,  $b(T_1) = t - 2$ ,  $b(T_2) = t - 2$ .
- iv-  $P$  is odd,  $b(T) = t$ ,  $b(T_1) = t - 1$ ,  $b(T_2) = t - 2$ .
- v-  $P$  is odd,  $b(T) = t$ ,  $b(T_1) = t - 2$ ,  $b(T_2) = t - 2$ .

For all these cases, inform the vertex on the cycle first, then  $T_1$ , and finally  $T_2$ , the broadcast time of this scheme will be  $b(G) = d(v_o, v_m) + t + 1$ . Actually informing  $T_1$  first then the vertex on the cycle and finally  $T_2$  will yield the same broadcast time. The rest of the permutations will all yield a broadcast time greater than or equal to  $d(v_o, v_m) + t + 1$ . Now let us study the broadcast tree obtained by the first scheme. Since  $v_m$  will inform first a vertex on the cycle, then the broadcast tree is the tree obtained by cutting the edge between the vertices at distance  $P/2$  and  $P/2 + 1$  from  $v_m$ . Note that the root of the resulting broadcast tree has degree 2 hence there are two subtrees connected to the root. The subtree containing  $v'_m$  is a simple path of length  $d(v_o, v_m) - 2 + (P/2 - 1)$  which has a broadcast time of  $d(v_o, v_m) + P/2 - 3$ . The subtree containing  $v_m$  has a broadcast time of  $d(v_o, v_m) + P/2 - 1$  which is 2 more than the broadcast time of the other subtree. It is worth comparing this broadcast tree with the tree obtained by the second broadcast scheme. The main difference is that the

second broadcast tree will have two subtrees such that the difference between the broadcast times of the two trees is 1.

vi-  $P$  is odd,  $b(T) = t = (P + 1)/2$ ,  $b(T_1) = t - 1$ ,  $b(T_2) \leq t - 3$ . First inform the root of  $T_1$ , then inform the vertex on the cycle, and finally inform the root of  $T_2$ . The broadcast time of this scheme will be  $d(v_o, v_m) + t$ . Spending the first time unit informing the root of tree  $T_1$  will guarantee that subtree  $T_1$  will be informed in  $t$  time units. After informing the root of  $T_1$  in the first time unit,  $v_m$  will inform its neighbor on the cycle. Therefore, in the next  $t - 1$  time units  $t - 1$  vertices on the cycle will receive the message that was sent by  $v_m$ . The remaining  $t$  vertices on the cycle will be informed by  $v'_m$ . Since  $b(T_2) \leq t - 3$ , if  $v_m$  informs the root of  $T_2$  at the third time unit the broadcast time of  $T$  will still be  $t$ . The broadcast tree of the graph will have two subtrees rooted at  $v_o$  both having a broadcast time of  $d(v_o, v_m) + t - 2$ . Note that any other scheme will have a higher broadcast time. Using the six cases presented above we can conclude that only in one case (the 6th case) it is possible to have a broadcast time of  $d(v_o, v_m) + \lceil \frac{P}{2} \rceil$ . For the other cases the broadcast time is 1 more than this value.

To sum up, we can say that given a graph made up of a tree attached to a cycle, the broadcast time of the graph depends on two factors: the broadcast time of each individual component (the cycle and the tree) and the structure of the tree. The broadcast time of the tree is certainly important for obvious reasons but in the discussion above we saw that the

structure of the tree plays a role too in determining the broadcast time of the whole graph. For example it is possible to have a cycle  $C_p$  and two trees  $T_1$  and  $T_2$  with equal broadcast times such that the broadcast time of the graph  $C_p$  attached to  $T_1$  is different than that of the graph  $C_p$  attached to  $T_2$ .

In general, dividing a graph into smaller subgraphs and solving the broadcast problem in the smaller graphs and joining the solutions does not always yield an optimum result. However, this is possible in necklace graphs provided that some care is taken while choosing the broadcast tree in case there is more than one possibility. The following observations can be made based on the previous results.

Assume that we have a graph  $G$  made up of a cycle  $C$  and a graph  $G'$  such that  $G'$  is attached to  $C$  at  $v_c$  and the degree of  $v_c$  in  $G$  is equal to 2. Assume that  $T$  is a broadcast tree of  $G'$  for the originator  $v_c$ . Let  $r_1$  and  $r_2$  be the two children of  $v_c$  and  $T_1$  and  $T_2$  be the two subtrees of  $T$  rooted at  $r_1$  and  $r_2$ .

**Lemma 5.** *For any originator  $v_o$  on  $C$  other than  $v_c$  the broadcast time  $b(v_o, G) = b(v_o, G_T)$  where the graph  $G_T$  is made up of the cycle  $C$  and the broadcast tree  $T$ . If there are several broadcast trees then the tree that has  $b(v_1, T_1) \geq b(v_2, T_2) + 2$  must be chosen.*

Finally note that all the broadcast trees of a necklace graph have roots of degree 2 because they are on a cycle where all vertices have degree 2.

#### 4.2.2 A Broadcast Algorithm in Necklace Graphs

Using the previous results, we can construct a spanning tree which will yield the optimal broadcast time for the necklace chain graph,  $N_k$ , and be its broadcast graph. The broadcast

tree will be constructed using a bottom-up approach. In the algorithm presented below we will denote subgraphs of the necklace graphs, which are themselves necklace graphs, by  $N_{i,j}$  if the subgraph has cycle  $C_i$  as its first cycle and cycle  $C_j$  as its last cycle. We will also make use of the algorithm presented above to calculate the broadcast time of a graph which consists of a cycle and a tree attached to it. We will name this algorithm  $CycleTreeAlgorithm(G, v_o)$ .

---

**Broadcast algorithm:**

**Input:** A necklace graph  $N_k$  and an originator  $v_o$  in  $C_1$

**Output:** The Broadcast time and scheme of  $N_k$

$T$  = the broadcast tree of the cycle  $C_k$  ;

**for**  $i \leftarrow k - 1$  **to**  $1$  **do**

$G$  = the cycle  $C_i$  with the attached tree  $T$  ;

**if**  $i = 1$  **then**

$v'_o = v_o$

**else**

$v'_o$  = vertex joining  $C_i$  to  $C_{i-1}$

**end**

$T = CycleTreeAlgorithm(G, v'_o)$

**end**

**return**  $T$

---

The tree construction is basically is the process of removing an edge in every cycle. The construction of the broadcast tree in the bottom-up approach starts by cutting the furthest cycle at the mid point. If there are odd number of vertices on the cycle then the resulting tree will have two branches of the same size, otherwise one branch will have one more vertex. The broadcast time of the last cycle is  $\lceil \frac{P}{2} \rceil$ , where  $P$  is the number of vertices on the last cycle. In the next step move one cycle closer to the originator. We will have one cycle and the tree we just constructed. Solving the broadcast problem of such a graph was the subject of the previous sections. Moreover, we argued that the broadcast tree of this

graph will also be a broadcast scheme of the 2 cycles. The construction proceeds this way until we get a broadcast tree where the root is the originator.

Finally we show that the complexity of the algorithm is linear. First of all, finding the last cycle takes a linear time. Next we build the broadcast tree in a bottom up approach and calculate the broadcast time of the root. For the construction of a broadcast tree of a graph made up of a cycle with an attached tree to one of its vertices all the information about the tree has already been calculated. The only remaining task can be solved by traversing the cycle once. This will take a linear time. Hence the complexity of the whole algorithm is:  $O(N_1) + O(N_2) + \dots + O(N_k)$  where  $N_i, 1 \leq i \leq k$  is the size of the cycles  $C_i$ .

### 4.2.3 Broadcasting from an Internal Cycle

In this section we will study the case where the originator in the necklace graph is not on an end cycle but is on an internal cycle. The approach we use to solve this is by dividing the problem into two instances where in each instance the originator is on an end cycle. After the problem is solved in these two instances the solutions will be joined to obtain the broadcast time and broadcast scheme for the original problem.

Assume that we are given a necklace graph with  $k$  cycles and an originator  $v_o$  on cycle  $C_i$ . We will split the necklace chain into into 2 and solve the broadcast problem in each one of them. In each problem the originator is on an end cycle. The first necklace graph is made up of the cycles  $C_1$  to  $C_{i-1}$  and the second necklace graph is made up of the cycles  $C_{i+1}$  to  $C_k$ . In the first graph the originator will be considered to be the connecting vertex which connects the cycles  $C_{i-1}$  and  $C_i$ . For the second graph the originator is considered

to be the vertex connecting the cycles  $C_i$  and  $C_{i+1}$ . After solving the broadcast problem in the two necklace graphs we get 2 broadcast trees which when attached back to the cycle  $C_i$  result in a unicyclic graph. The final step is to solve the broadcast problem in the unicyclic graph where the originator is  $v_o$ .

Earlier we argued that it is possible to split a necklace graph into two, solve the problem in one part and use its broadcast tree to solve the broadcast problem for the whole Necklace graph. The case that we face now is quite similar but with two necklace graphs connected at two different vertices of a cycle. Let us denote the cycle by  $C$  and the first necklace graph by  $N_1$  which is connected to  $C$  at vertex  $v_1$ . The second necklace graph,  $N_2$ , is connected to the cycle at vertex  $v_2$ . Let  $G$  denote the graph make up of the two Necklace graphs and the cycle (i.e. the complete necklace graph). We will prove that there exists a broadcast tree in  $G$  which has two subtrees which are the broadcast trees of  $N_1$  and  $N_2$ .

**Theorem 15.** *There exists a broadcast tree in  $G$  such that the subtree induced by the vertices of  $N_1$  and  $N_2$  are broadcast trees for  $N_1$  and  $N_2$  respectively.*

*Proof.* Assume that there does not exist a broadcast tree of  $G$  where the trees  $T_1$  and  $T_2$  are both broadcast trees of  $N_1$  and  $N_2$  respectively. Therefore, there exists a broadcast tree of  $G$  such that at least one of the trees,  $T_1$  and  $T_2$ , induced by  $N_1$  and  $N_2$  is not a broadcast tree of  $N_1$  or  $N_2$ . Let  $T$  be a broadcast tree of  $G$ . Without loss of generality assume that  $T_1$  is not a broadcast tree of  $N_1$ . The vertex which connects the necklace graph  $N_1$  to the cycle  $C$  is labeled by  $v_1$ . The optimal broadcast scheme that generates  $T$  can have one of the 3 possible broadcast schedules for vertex  $v_1$ .

1.  $v_1$  informs a vertex on the cycle  $C_1$  first and then the next 2 time units informs its neighbors in  $N_1$ . In this case, we can modify the optimal broadcast tree  $T$  of  $G$  by substituting the tree  $T_1$  with an optimal broadcast tree of  $N_1$ . The resulting broadcast tree will still be an optimal broadcast tree.
  
2.  $v_1$  informs its two neighbors in  $N_1$  in the first 2 time units then informs its neighbor on  $C$ . Again we can modify the optimal broadcast tree  $T$  of  $G$  by substituting  $T_1$  with an optimal broadcast tree of  $N_1$ . The resulting tree will be an optimal broadcast tree.
  
3.  $v_1$  first informs a neighbor in  $N_1$  in the first time unit after it gets informed. In the second time unit, it informs its neighbor on  $C$  and in the third time unit it informs its second neighbor in  $N_1$ . Since we know that  $T_1$  is not a broadcast tree of  $N_1$ , then  $b(v_1, T_1) > b(v, N_1)$ . Another conclusion we can draw from the given assumptions is that after  $v_1$  gets informed it takes at least  $b(v_1, T_1)$  time units for all the vertices of  $N_1$  to be informed. Therefore, we can build another broadcast tree/scheme by using the optimal broadcast tree of  $N_1$  and changing the broadcast scheme at  $v_1$  such that  $v_1$  informs its neighbor on  $C$  first after it gets informed and in the second and third time units it informs the vertices of the broadcast tree of  $N_1$ . This broadcast tree will inform all the vertices of  $N_1$  in  $b(v_1, N_1) + 1$  time units after  $v_1$  gets informed. Note that  $b(v_1, N_1) + 1 \leq b(v_1, T_1)$  therefore the new broadcast scheme is also an optimal broadcast scheme and the tree is a broadcast tree.

If  $T_2$  is not a broadcast tree of  $N_2$  we can do the same substitution and obtain a new broadcast tree for the graph  $G$  which contains subtrees which are themselves broadcast trees of  $N_1$  and  $N_2$ . Hence we arrive at a contradiction and our assumption that there does not exist a broadcast tree with subtrees that are broadcast trees of  $N_1$  and  $N_2$  was false.  $\square$

As a result of the theorem proved above we conclude that it is possible to build a broadcast tree of the graph  $G$  by first solving the broadcast problem in the two necklace graphs and then use the broadcast trees of those two graphs. However, it is possible that a necklace graphs  $N_1$  and  $N_2$  can have more than one broadcast tree. The theorem proved above does not guide us in choosing the correct broadcast tree for each necklace graph. However, we already discussed above the case where we had only one tree attached to a cycle. Note that we are considering broadcast trees where the root has degree 2. In other words the root has 2 subtrees attached to it. For the case of 1 tree attached to a cycle, our conclusion was to choose a tree such that the difference in the broadcast time of the subtrees attached to the root was as big as possible (actually greater than or equal to 2 is enough). The same conclusion applies to the current situation too. The justification for this lies in the fact that if in a broadcast tree one of the subtrees has a broadcast time which is 2 (or more) time units less than the other subtree, then the root vertex connecting the necklace graph to the cycle can first inform a vertex in the necklace graph and then inform its neighbor on the cycle in the second time unit and inform its neighbor in the necklace graph at the third time unit without increasing the broadcast time of the attached necklace graph.

## 4.2.4 Broadcasting from Connecting Vertices

A connecting vertex is a vertex that is common to two cycles. In the above algorithm we assumed that the originator is not a connecting vertex. The correctness of the algorithm depends on Theorem 15 which assumes that when the connecting vertex is informed one of its neighbors is informed too. In this part we study the case where the originator is a connecting vertex. The connecting vertex  $v_c$  is connecting two cycles and hence has a degree 2 in each cycle.

First we will prove a general result that is not limited to cycles and then we will apply that to solve the broadcast problem in necklace graphs when the originator is a connecting vertex. Consider a graph  $G = (V, E)$  which can be divided into two graphs  $G_1 = (V_1, E_1)$  and  $G_2 = (V_2, E_2)$  such that  $V_1 \cap V_2 = \{v_c\}$ ,  $V_1 \cup V_2 = V$ ,  $E_1 \cap E_2 = \emptyset$ , and  $E_1 \cup E_2 = E$ . In other words  $v_c$  is a cut vertex. Moreover, the graphs  $G_1$  and  $G_2$  are such that the degree of  $v_c$  is 2 in both graphs. Let  $T_1$  rooted at  $v_c$  be a broadcast tree of  $G_1$  and  $T_2$  be a broadcast tree of  $G_2$ . Since  $v_c$  has degree 2 in each graph then  $T_1$  has two subtrees connected to  $v_c$  which we denote by  $T_a$  and  $T_b$  rooted at  $v_a$  and  $v_b$  respectively. Similarly,  $T_2$  has two subtrees  $T_c$  and  $T_d$  rooted at  $v_c$  and  $v_d$ .

**Theorem 16.** *Given a graph  $G$  with cut vertex  $v_c$  as defined above, if  $b(v_c, G_1) \neq b(v_c, G_2)$  then a broadcast tree of the originator  $v_c$  in  $G$  can be obtained by constructing the tree  $T$  rooted at  $v_c$  by connecting  $v_c$  to the vertices  $v_a$ ,  $v_b$ ,  $v_c$ , and  $v_d$  the roots of the subtrees  $T_a$ ,  $T_b$ ,  $T_c$ , and  $T_d$  respectively.*

*Proof.* Without loss of generality assume that  $b(v_c, G_1) > b(v_c, G_2)$ . Also we assume

that  $b(v_a, T_a) \geq b(v_b, T_b)$  and  $b(v_c, T_c) \geq b(v_d, T_d)$ . In order to prove the theorem by contradiction, assume that there exists another tree  $T'$  such that  $b(v_c, T') < b(v_c, T)$  and one of these conditions apply:

1. The subtree  $T'_1$  induced by  $G_1$  is not a broadcast tree of  $G_1$ .
2. The subtree  $T'_2$  induced by  $G_2$  is not a broadcast tree of  $G_2$ .
3. Both subtrees  $T'_1$  and  $T'_2$  are not broadcast trees of  $G_1$  and  $G_2$ .

If  $T'_1$  is not a broadcast tree of  $G_1$  then  $b(v_c, T'_1) > b(v_c, T_1)$  which in turn implies that  $b(v_c, G) \geq b(v_c, G_1) + 1$ . If  $b(v_c, G) \geq b(v_c, G_1) + 2$  then we can easily substitute  $T'_1$  by  $T_1$  and still have  $b(v_c, T) = b(v_c, T') \geq b(v_c, G_1) + 2$ . In this case the broadcast scheme of  $v_c$  will be to inform its children in the following order:  $v_a, v_b, v_c$ , and  $v_d$ . Therefore it must be that  $b(v_c, G) = b(v_c, G_1) + 1$ . In this case we know that  $b(v_c, T_1) < b(v_c, T'_1)$  which implies that if we substitute  $T'_1$  by  $T_1$  and keep the broadcast schedule of  $v_c$  unmodified, the broadcast time  $b(v_c, T) = b(v_c, T')$  which is a contradiction.

The rest of the cases can be analyzed similarly and all of them will lead to a contradiction meaning that there cannot be another tree  $T'$  such that  $b(v_c, T') < b(v_c, T)$  where the tree  $T$  is constructed from the broadcast trees of  $G_1$  and  $G_2$  as mentioned above.  $\square$

The case where  $b(v_c, G_1) = b(v_c, G_2)$  shows a different behaviour. Note that in this case  $b(v_c, G) > b(v_c, G_1)$  and there are two scenarios to study:

1.  $b(v_c, G) = b(v_c, G_1) + 1$
2.  $b(v_c, G) \geq b(v_c, G_1) + 2$ .

In the second case it is easy to see that using the optimal solutions of  $G_1$  and  $G_2$  always guarantees the optimal solutions of  $G$ . However, in the first case a non-optimal broadcast tree of one of the graphs combined with an optimal broadcast tree of the other graph can result in the optimal solution of  $G$ . In order to show this, we need to exhaustively list all the possible values of the broadcast times of two spanning trees of  $G_1$  and  $G_2$ . Let  $T_1$  represent a spanning tree of  $G_1$ , the two subtrees of  $T_1$  are denoted by  $T_a$  and  $T_b$  rooted at  $v_a$  and  $v_b$ . Similarly,  $T_2$  is a spanning tree of  $G_2$  and its two subtrees are  $T_c$  and  $T_d$  rooted at  $v_c$  and  $v_d$ .

We will label the value of  $b(v_c, G_1)$  by  $\tau$  so given the assumption that  $b(v_c, G) = \tau + 1$  we can have the following values:

1.  $b(v_a, T_a) = \tau - 1, b(v_b, T_b) \leq \tau - 3, b(v_c, T_c) = \tau - 1, b(v_d, T_d) \leq \tau - 3.$
2.  $b(v_a, T_a) = \tau, b(v_b, T_b) \leq \tau - 3, b(v_c, T_c) = \tau - 1, b(v_d, T_d) \leq \tau - 2.$
3.  $b(v_a, T_a) = \tau, b(v_b, T_b) \leq \tau - 2, b(v_c, T_c) = \tau - 1, b(v_d, T_d) \leq \tau - 3.$
4.  $b(v_a, T_a) = \tau - 1, b(v_b, T_b) \leq \tau - 3, b(v_c, T_c) = \tau, b(v_d, T_d) \leq \tau - 2.$
5.  $b(v_a, T_a) = \tau - 1, b(v_b, T_b) \leq \tau - 2, b(v_c, T_c) = \tau, b(v_d, T_d) \leq \tau - 3.$

Note that the first element of the list implies that  $b(v_c, T_1) = b(v_c, G_1)$  and  $b(v_c, T_2) = b(v_c, G_2)$ . However, the second and third entry in the above list imply that  $b(v_c, T_1) = \tau + 1$  which implies that  $T_1$  is not a broadcast tree of  $G_1$  even though the tree constructed by  $T_1$  and  $T_2$  is an optimal tree. A similar conclusion that  $T_2$  is not a broadcast tree of  $G_2$  can be obtained from the fourth and fifth elements in the list.

So far we can conclude that it is possible to have an optimal solution for  $G$  using non optimal broadcast trees from either  $G_1$  or  $G_2$ . Next we will show that there are cases where

optimal solutions from  $G_1$  and  $G_2$  can result in a non-optimal solution for  $G$ . Consider the following list:

1.  $b(v_a, T_a) = \tau - 1, b(v_b, T_b) \leq \tau - 2, b(v_c, T_c) = \tau - 1, b(v_d, T_d) \leq \tau - 2.$
2.  $b(v_a, T_a) = \tau - 2, b(v_b, T_b) \leq \tau - 2, b(v_c, T_c) = \tau - 1, b(v_d, T_d) \leq \tau - 2.$
3.  $b(v_a, T_a) = \tau - 1, b(v_b, T_b) \leq \tau - 2, b(v_c, T_c) = \tau - 2, b(v_d, T_d) \leq \tau - 2.$

In all the above combinations, both  $T_1$  and  $T_2$  are optimal broadcast trees but the broadcast time of  $T$  will be  $\tau + 2$ . Therefore, we can conclude that if  $b(v_c, G_1) = b(v_c, G_2)$  then it is possible to have  $b(v_c, G) = \tau + 1$  by using non-optimal broadcast trees from  $G_1$  or  $G_2$ .

We can use this result to study the broadcast problem in necklace graphs where the originator is a connecting vertex. A simple algorithm will be to first study the problem in the two parts of the necklace graph  $N_1$  and  $N_2$ . If  $b(v_o, N_1) \neq b(v_o, N_2)$  then the broadcast trees of  $N_1$  and  $N_2$  are used to construct a tree  $T$  and calculate the broadcast time of  $T$  using the well known broadcast algorithm for trees. However, if  $b(v_o, N_1) = b(v_o, N_2)$  then the bottom up algorithm should be modified such that at every iteration two spanning trees should be calculated. The first tree is the broadcast tree which has the maximum imbalance between its two subtrees and the second one is a spanning tree whose broadcast time is one more than the broadcast time of the optimal tree and again has the maximum imbalance between its two subtrees.

It remains to show how to construct spanning trees in necklace graphs where the broadcast time of the originator is one more than the optimal broadcast time. Consider the example we presented above which is a cycle connected to a tree. If we show how to construct

such a spanning tree in this graph we, the same idea can be applied in every iteration of the algorithm to obtain such a spanning tree for the whole necklace graph. Using the same notations as above, ( $t$  is the broadcast time of the tree, and  $P$  is the number of uninformed vertices on the cycle when the connecting vertex is informed) we can analyze the same cases as before:

1. If  $t < \lceil \frac{P}{2} \rceil$ , then the cycle is the one that determines the broadcast time. It is always possible to get a spanning tree in a cycle such that the broadcast time is one more than the optimal broadcast time. The difference in the broadcast time of the two branches of the spanning tree can be at least 3 if the cycle has even number of vertices and maximum of 4 if the cycle had odd number of vertices. Note that it is impossible to use have a different tree  $T'$  with broadcast time equal to  $\lceil \frac{P}{2} \rceil$  and get a spanning tree for the graph with broadcast time one more than the optimal broadcast time, and the difference in the broadcast time of the two branches greater than 3.
2. If  $t > \lceil \frac{P}{2} \rceil$ , then the tree determines the broadcast time of the graph. Having a tree with broadcast time  $t + 1$  guarantees that we can get a spanning tree with broadcast time one more than the optimal broadcast time. Any tree with broadcast time greater than  $t + 1$  will result in a bigger broadcast time which we are not interested in.
3. If  $t = \lceil \frac{P}{2} \rceil$ , in this case we need to consider all the six cases as it was done before. For all the 3 cases when  $P$  is even it is possible to obtain a spanning tree in the graph with broadcast time one more than the optimal broadcast time by the broadcast scheme where the message which was forwarded by the connecting vertex is sent up

on the cycle for one more time unit. Hence the difference in the broadcast time of the two branches of the spanning tree will be 3. If a different tree  $T'$  was attached to the cycle with broadcast time  $t + 1$  it would still be possible to have a broadcast time of  $t + 1$  in the spanning tree but the difference in the broadcast times of the two branches will be less than 3. Finally, if  $P$  is odd and  $t_1 = t - 1$  and  $t_2 \leq t - 3$  then if the connecting vertex first informs its neighbor on the cycle then the broadcast time of that scheme will be 1 more than the optimal scheme and the difference of the broadcast time of the two branches of the spanning tree will be 4. Any other tree attached to the cycle with time  $t + 1$  will give a broadcast time of the graph  $t + 1$  but the difference in the broadcast time of the branches of the spanning tree will be less than 4. The other combinations of  $t_1$  and  $t_2$  when  $P$  is odd are similar to the case where  $P$  is even.

#### 4.2.5 Upper and Lower Bounds

Consider a broadcast algorithm which tries to inform the vertices of the next cycle whenever possible. Such a scheme is a greedy scheme. We will show that the greedy scheme cannot always generate the optimal solution. However, we will use this scheme to prove that the upper bound on the broadcast time of a Necklace graph is  $d + 2$ . We will also give an example of a necklace graph whose broadcast time is indeed equal to  $d + 2$  hence proving that  $d + 2$  is a tight upper bound.

The lower bound on the broadcast time can be obtained easily. We know that for any graph  $G$ ,  $b(G) \geq d$  where  $d$  is the diameter of the graph  $G$ . Figure 9 shows a necklace graph

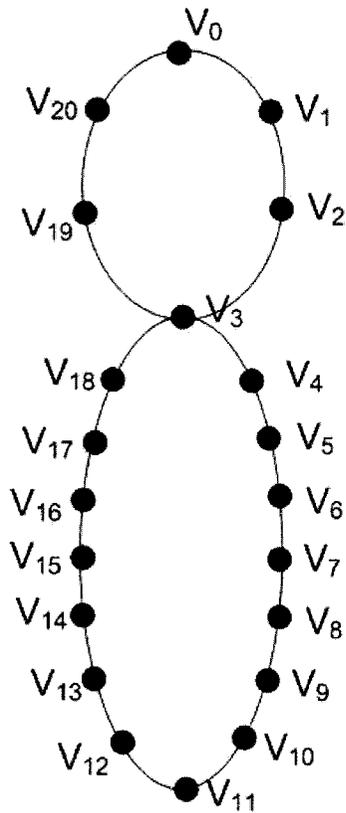


Figure 9: A necklace graph with 2 cycles whose diameter is 11. The broadcast time of this graph is 11 too.

whose diameter is equal to 11 which is the length of the distance between vertices  $v_0$  and  $v_{11}$ . One can easily see that the broadcast time of this necklace graph is equal to 11 which is the broadcast time of vertices  $v_0$  and  $v_{11}$ . Therefore we can conclude that  $b(G) \geq d$  is a tight lower bound for necklace graphs.

In the remaining part of this section we will study the upper bound of necklace graph. First we describe a greedy broadcast algorithm on necklace graphs. Assume that we are given a necklace graph with  $k$  cycles and an originator  $v_o$  which is on the end cycle  $C_0$ . The greedy algorithm,  $A_{GreedyNecklace}$ , is as follows:

1.  $v_o$  first sends the message to the neighboring vertex that is closest to  $C_1$ . In the second time unit  $v_o$  informs its other neighbor.
2. If an informed vertex is not a vertex that connects two different cycles, then it informs its neighbour at the next time unit after it gets informed.
3. If an informed vertex,  $v$ , belongs to 2 cycles  $C_j$  and  $C_{j+1}$ , it has 3 uninformed neighbors to inform. Assuming that the vertex informing  $v$  was in  $C_j$ ,  $v$  first informs its neighbour on  $C_{j+1}$  that is closest to  $C_{j+2}$ , then it informs the other vertex on  $C_{j+1}$  and finally informs its uninformed neighbour on  $C_j$ .

Let  $v_o$  be the originator on an end cycle and  $v_{c_i}$  be the vertex that connects cycles  $C_{i-1}$  and  $C_i$ .

**Theorem 17.** *In the greedy algorithm  $A_{GreedyNecklace}$  the time it takes to inform vertex  $v_{c_i}$  is equal to the distance  $d(v_o, v_{c_i})$ .*

*Proof.* We can prove this theorem by induction. Consider the first connection vertex connecting the cycles  $C_0$  and  $C_1$ . According to the algorithm the originator first informs its neighbour that is closest to  $v_{c_1}$ . Moreover, every newly informed vertex on the path between  $v_o$  and  $v_{c_1}$  informs its uninformed neighbour in the next time unit. Therefore,  $v_{c_1}$  will be informed in  $d(v_o, v_{c_1})$  time units. Hence we proved the base case of the induction.

Assume that the theorem is true for  $c_{v_i}$  we need to prove that the result will be correct for  $v_{c_{i+1}}$ . Because of the assumption we know that  $v_{c_i}$  was informed in  $d(v_o, v_{c_i})$  time units. Note that  $v_{c_i}$  belongs to the two cycles  $C_{i-1}$  and  $C_i$ . According to the description of the greedy algorithm when vertex  $v_{c_i}$  is informed, it first informs its two neighbours that are on the cycle  $C_i$  and then informs its uninformed neighbour on  $C_{j-1}$ . Therefore, vertex  $v_{c_{i+1}}$  will be informed  $d(v_{c_i}, v_{c_{i+1}})$  time units after  $v_{c_i}$  gets informed. Hence, we conclude that  $v_{c_{i+1}}$  gets informed at time  $d(v_o, v_{c_{i+1}})$ . This proves the inductive step and concludes the proof. □

**Theorem 18.** *The greedy algorithm  $A_{GreedyNecklace}$  finishes broadcasting in  $d + 2$  time units.*

*Proof.* In order to prove this theorem we should note that every cycle  $C_j$  can have at most 2 vertices that are at distance  $d$  from the originator. There can be any number of cycles that contain at most 2 vertices that are at distance  $d$  from the originator. Consider any cycle  $C_j$  that contains two vertices  $v_1$  and  $v_2$  that are at distance  $d$  from the originator. Fig. 10 shows vertices  $v_1$  and  $v_2$  that are on the cycle  $C_j$ . They are both at distance  $d$  from the originator (which is not shown in the graph). Note that both of these vertices are at equal distance, 7,

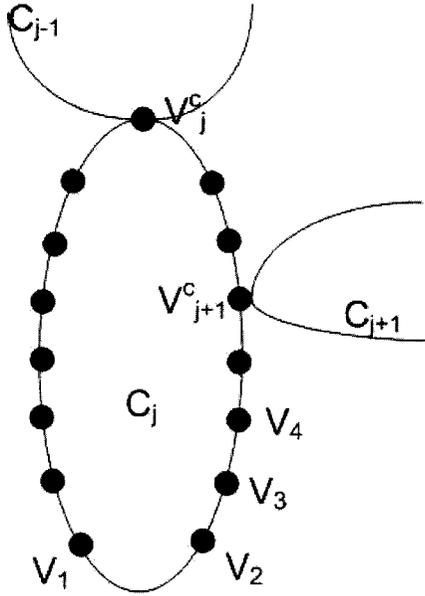


Figure 10: This figure shows a cycle in a necklace graph with 2 vertices which are at distance  $d$  (diameter) from the originator.

from the connection vertex  $v_j^C$ . According to the greedy scheme vertex  $v_{j+1}^C$  which happens to be a vertex on  $C_j$  and a connecting vertex connecting  $C_j$  to  $C_{j+1}$  will spend 2 time units informing 2 of its uninformed neighbors in  $C_{j+1}$ . Therefore, vertex  $v_1$  will be informed at time  $d$  but  $v_2$  will not be informed by this time because of the delay at the connecting vertex  $v_{j+1}^C$ .  $v_1$  will inform  $v_2$  at time  $d + 1$  and finally  $v_3$  can be informed by either  $v_2$  or  $v_4$  at time  $d + 2$ . Regardless of how many cycles have vertices at distance  $d$  from the originator, all the vertices of those cycles will be informed by the time  $d + 2$  with the greedy scheme.

This concludes the proof. □

Finally we illustrate that  $d + 2$  is a tight upper bound by giving an example in Fig. 11. This example shows a necklace graph with 4 cycles where the last three cycles have each 2 vertices at distance  $d$  from the originator  $v_o$ . The vertices  $v_{20}$  and  $v_{21}$  in  $C_1$  are at distance

14 from  $v_0$ , vertices  $v_{30}$  and  $v_{31}$  in  $C_2$  are at distance 14 from the originator and the vertices  $v_{33}$  and  $v_{34}$  in  $C_3$  are at distance 14 from the originator. The broadcast time of this graph is equal to the broadcast time of vertex  $v_0$  and is equal to 16. Hence this is an illustration of a case where broadcast time in a necklace graph is  $d + 2$ .

#### 4.2.6 2-Restricted Cactus Graphs

In this final subsection we will use the results presented in this chapter to present a partial solution of the broadcast problem in 2-restricted cactus graphs. Cactus graphs are defined to be connected graphs where no two cycles have more than one vertex in common. In a cactus graph it is possible to have a vertex that belongs to more than two cycles. We define the 2-restricted cactus graphs as graphs which are cactus graphs but there is no vertex that belongs to more than two cycles. A divide and conquer approach can be used as described in this chapter to solve the broadcast problem for all the originators that are not connecting two cycles.

Assume that the originator  $v_o$  in  $G$  belongs to a cycle  $C$ . As was done for tree of cycles, the graph  $G$  will be divided into smaller parts where each subgraph is in turn a 2-restricted cactus graph. The broadcast problem can be solved in each one of them and the proper broadcast trees are joined back to the cycle to solve the problem in the original graph. In order to prove this algorithm we need to prove the generalized version of Theorem 15.

In the following theorem we assume that graph  $G$  has a cycle  $C$  and graphs  $N_i$ ,  $1 \leq i \leq k$ , attached to  $C$  each at vertex  $v_i$ . The originator is on the cycle  $C$  but is different than the vertices  $v_i$ . Furthermore, we assume that in each graph  $N_i$  the degree of  $v_i$  is 2, i.e.

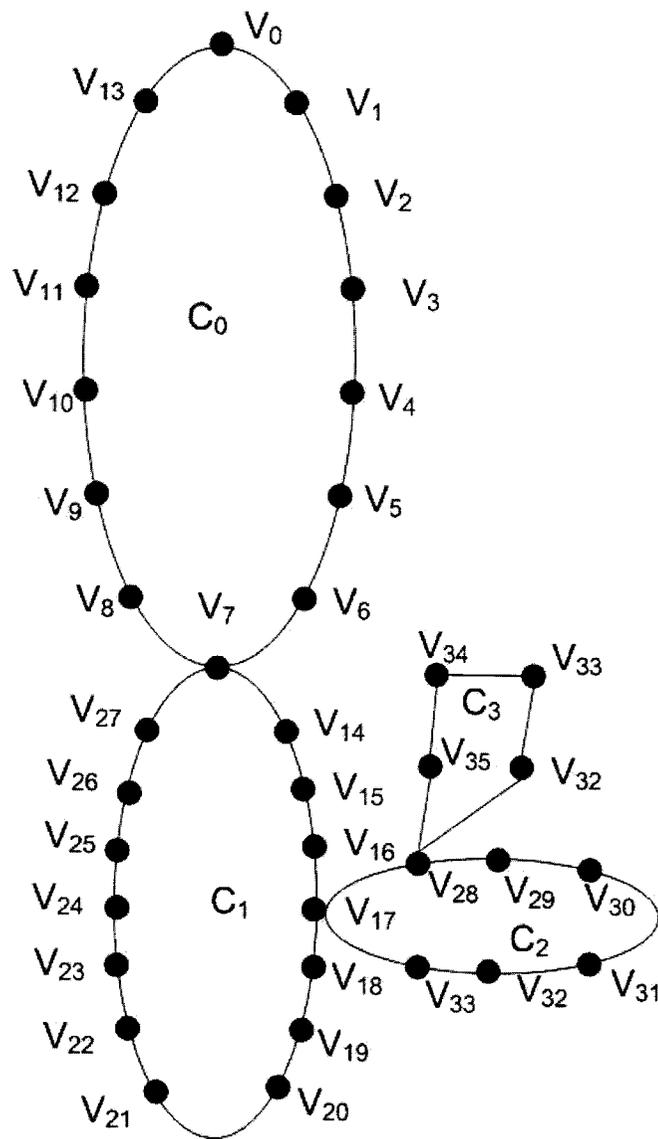


Figure 11: A necklace graph with 4 cycles. Cycles  $C_1$ ,  $C_2$  and  $C_3$  each have 2 vertices which are at distance  $d$  (diameter) from the originator  $v_o$ .

$\deg(v_i) = 2$ . Then we can prove the following:

**Theorem 19.** *For the graph  $G$  consisting of the cycle  $C$  and graphs  $N_i$  as described above, there exists a broadcast tree  $T$  such that each subtree  $T_i$  induced by the vertices of  $N_i$  is a broadcast tree of  $N_i$ .*

*Proof.* The proof of Theorem 15 can be applied by simple generalization since in that proof every graph  $N_i$ , for  $i = 1, 2$ , was considered separately. The same procedure to replace  $T_i$  by a broadcast tree of  $N_i$  can be repeated  $k$  times to get an optimal broadcast tree.  $\square$

Moreover note that for each subgraph  $N_i$  in a 2-restricted cactus graph, the vertex  $v_i$  that connects  $N_i$  to the rest of the graph has degree 2 in  $N_i$  and a degree at most 4 in  $G$ . This implies that when multiple broadcast schemes exist in  $N_i$  each giving a different broadcast tree, then the tree that has the largest imbalance in the broadcast time between the two trees connected to vertex  $v_i$  is chosen to be attached to the rest of the graph.

Assume that the tree  $T'_i$  rooted at  $v_i$  is a broadcast tree of  $N_i$  for the originator  $v_i$  such that the difference between the broadcast time of its two children is the maximum among all broadcast trees of  $N_i$ .

**Theorem 20.** *For any originator not belonging to  $N_i$ , there exists a broadcast tree  $T$  in  $G$  such that each subtree induced by the vertices of  $N_i$  is equal to  $T'_i$ .*

If the the originator in a 2-restricted cactus graph,  $G = (V, E)$ , belongs to two cycles then special treatment might be needed as it was the case for necklace graphs. Assume that the originator  $v_o$  is the intersection of two cycles  $C_1$  and  $C_2$ . The broadcast algorithm proceeds as follows. The graph  $G$  is divided into two parts  $G_1 = (V_1, E_1)$  and

$G_2 = (V_2, E_2)$  such that  $V_1 \cap V_2 = \{v_o\}$ ,  $V_1 \cup V_2 = V$ ,  $E_1 \cap E_2 = \emptyset$ , and  $E_1 \cup E_2 = E$ . If  $b(v_o, G_1) \neq b(v_o, G_2)$  then the optimal broadcast trees of  $G_1$  and  $G_2$  are used to calculate the broadcast time  $b(v_o, G)$ . However, if  $b(v_o, G_1) = b(v_o, G_2)$  then in addition to calculating the broadcast trees of  $G_1$  and  $G_2$  we need to calculate the spanning tree  $T'_1$  and  $T'_2$  such that  $b(v_o, T'_1) = b(v_o, G_1) + 1$  and  $b(v_o, T'_2) = b(v_o, G_2) + 1$ . Again among all the possible trees that can be obtained we need to choose the ones that have the maximum imbalance in the broadcast time between the two subtrees. However, we have no algorithm to calculate the trees  $T'_1$  and  $T'_2$ . Each tree  $T'_i$ ,  $1 \leq i \leq 2$ , is a spanning tree of a 2-restricted graph with broadcast time 1 more than the optimal broadcast time. The difficulty is in the fact that  $T'_i$  is calculated from a unicyclic graph where every tree attached to the cycle is the optimal solution of a subgraph. In the necklace graphs it was possible to obtain a  $T'_i$  because we showed that we only need to use either optimal solutions of the subgraphs or a spanning tree that has broadcast time one more than the optimal value. Also, in necklace graphs whenever the tree attached to the cycle was substituted with a one with a broadcast time larger by one time unit, we could always calculate in constant time the broadcast time of the resulting graph. However, in the general case of unicyclic graphs similar conclusions cannot be obtained easily. For example it is possible to substitute a tree  $T_i$  with another tree  $T'_i$  such that  $b(r_i, T'_i) = b(r_i, T_i) + 1$  but still obtain the optimal broadcast time in the unicyclic graph. The main question is the following: Given a graph  $G$  which has a cycle  $C$  and graphs  $N_i$ ,  $1 \leq i \leq k$ , attached to the cycle at vertices  $r_i$  such that the degree of  $r_i$  in  $N_i$  is equal to 2, how can we construct all the spanning trees of  $G$  such that the broadcast time of an originator in these spanning trees is one more than the broadcast time of the graph

$G$ . We showed above that we can construct all the broadcast trees of  $G$ . If we know how to find all these spanning trees in polynomial time then the broadcast problem in 2-cactus graphs can be solved.

# Chapter 5

## Fully Connected Trees

Assume that we have a complete graph where every vertex is the root of a tree. We will call the resulting graph fully connected trees. In this chapter we will study the broadcast problem in this kind of graph.

**Definition 6.** Consider  $n$  trees  $T_i = (V_i, E_i)$  rooted at  $r_i$  where  $1 \leq i \leq n$ . We define the fully connected tree,  $FCT_n = (V, E)$ , to be a graph where  $V = V_1 \cup V_2 \cup \dots \cup V_n$  and  $E = E_1 \cup E_2 \cup \dots \cup E_n \cup E_{K_n}$  where  $E_{K_n} = \{(r_i, r_j) | 1 \leq i, j \leq n, i \neq j\}$ . The roots of the trees,  $r_i$ , will be called root vertices and the rest of the vertices will be called tree vertices.

We will first present a broadcast algorithm where the originator is a root vertex. A broadcast algorithm for the case the originator is not a root vertex will be presented in the second half of this chapter.

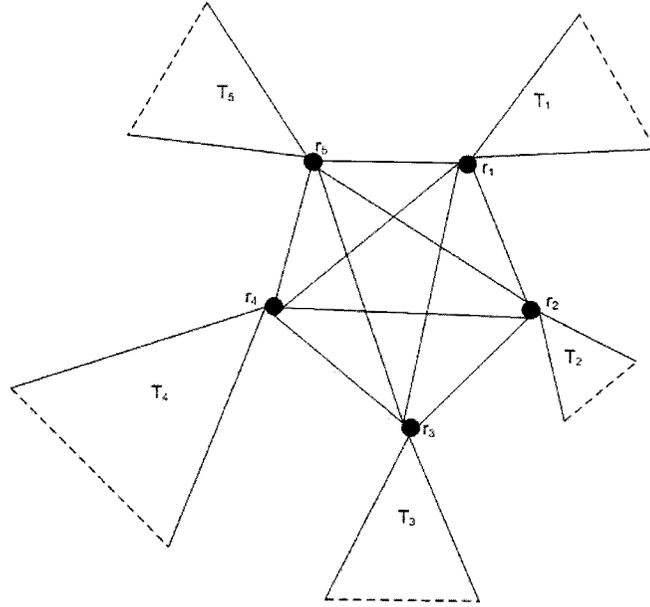


Figure 12: A fully connected tree  $FCT_5$  with 5 trees  $T_i$  rooted at  $r_i, 1 \leq i \leq 5$ . Note that the roots  $r_i$  induce a subgraph which is the complete graph  $K_5$ .

## 5.1 A Broadcast Algorithm for Root Vertices

In this section we consider the broadcast problem in fully connected trees. An upper bound on broadcast time can be obtained by a broadcast algorithm that first informs all the vertices of the complete graph and when all the root vertices are informed, each vertex informs the tree attached to it. In this case the time needed to inform all the vertices will be  $t_{max} = \lceil \log n \rceil + \max\{b(v_i, T_i)\}$ , where  $b(v_i, T_i)$  is the broadcast time of the vertex  $v_i$  in the tree  $T_i$ . Similarly one can see that a lower bound on the broadcast time is  $t_{min} = \max\{\lceil \log n \rceil, \max\{b(v_i, T_i)\}\}$ .

### 5.1.1 The Broadcast Algorithm

First we will develop an algorithm that answers the following question: Given a time  $\tau$ , a graph  $FCT_n$ , and an originator  $v_o$ , is it possible to complete broadcasting in  $FCT_n$  in at most  $\tau$  time units? Obviously  $\tau$  should be greater than or equal to  $t_{min}$ . Also, if  $\tau$  is greater than  $t_{max}$  then the answer to the above question is trivially true. Below we will describe how to answer the question in the case  $t_{min} \leq \tau \leq t_{max}$ .

The algorithm takes the graph  $FCT_n$ , originator  $v_o$  which is a root vertex, and the candidate broadcast time  $\tau$  as input parameters. The main idea of the algorithm is to do broadcasting assuming that the broadcast time is  $\tau$ . If  $\tau \geq b(v_o, FCT_n)$  then the algorithm will return TRUE and will inform all the vertices of the graph. Otherwise, it will return FALSE, meaning that  $\tau$  is too small to be the broadcast time. If all the vertices of the graph are informed in  $\tau$  time units or less, all we can conclude is that the broadcast time is less than or equal to  $\tau$ . In order to conclude is that  $\tau$  is the broadcast time we need to be able to inform all the vertices in  $\tau$  time units and our algorithm should also return FALSE when  $\tau - 1$  is given as input for the candidate broadcast time.

The first step of the algorithm will be to assign weights to every root vertex of the fully connected tree. The weight of each vertex  $r_i$  is initialized to the broadcast time  $b(r_i, T_i)$ . At every time unit  $t$ , where  $0 \leq t \leq \tau$ , each vertex will have to determine if  $\tau$  is enough to broadcast the information to all the vertices. The algorithm terminates if a vertex can decide that  $\tau$  will not be enough to inform all the vertices of the  $FCT_n$ . Otherwise, the algorithm continues and the informed vertices pass the message to uninformed neighbours. This process iterates until either all the vertices are informed or one of the informed vertices

concludes that  $\tau$  cannot be the broadcast time.

When a tree vertex is informed there is not much it can do other than following the well known broadcast algorithm in trees. However, when a root vertex is informed it has the option of passing the information to another root vertex or pass it down to the tree attached to it. Deciding on how every informed root vertex should choose an uninformed neighbour to pass the information to is the main step of the algorithm.

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**Algorithm 5.1:** The decision algorithm to decide if a given time  $\tau$  is enough to inform all the vertices of a graph  $FCT_n$ .

---

**Algorithm:** The Decision Algorithm:  $DecisionAlgo(G, v, t)$

**Input:**  $FCT_n = (V, E)$ , originator  $v_o$ , candidate broadcast time  $\tau$

**Output:** FALSE if  $\tau$  cannot be the broadcast time, TRUE if broadcasting can be accomplished in time less or equal to  $\tau$

Initialize  $V_I$  such that  $V_I = v_o$  ;

**foreach**  $t$  such that  $0 \leq t \leq \tau - 1$  **do**

**foreach**  $v \in V_I$  **do**

**if**  $v$  is a root vertex **then**

**if**  $w(v, t) > \tau - t$  **then**

$v$  informs another uninformed root vertex at time  $t$  which has the highest weight among the uninformed root vertices ;

                Append the newly informed vertex to  $V_I$  ;

**else**

**if**  $w(v, t) = \tau - t$  **then**

$v$  informs one of its children which are tree vertices ;

                    Append the newly informed vertex to  $V_I$  ;

**else**

                    return FALSE ;

**end**

**end**

**else**

$v$  informs a tree vertex based on the tree broadcast algorithm in the uninformed subtree rooted at  $v$  ;

            Append the newly informed vertex to  $V_I$  ;

**end**

**end**

**end**

return TRUE ;

---

In order to make the description of the algorithm simpler each root vertex  $r_i$  will be

assigned a weight  $w(r_i, t)$ . These weights will be equal to the broadcast time of  $r_i$  in the connected uninformed subtree of  $T_i$  rooted at  $r_i$ . In more details, the weight of each root vertex,  $r_i$ , at any time  $t$  will be calculated as follows: If  $r_i$  has no uninformed children which are tree vertices then its weight is zero because  $r_i$  can do nothing to speed up the process of informing the vertices of the subtree  $T_i$ . If  $r_i$  has one or more uninformed child, let the tree  $T_{i,t}$  rooted at  $r_i$  be the subtree of  $T_i$  which consists of only those vertices of  $T_i$  that are still not informed. The weight of  $r_i$  at time  $t$ ,  $w(r_i, t)$ , will be equal to the broadcast time of  $r_i$  in the tree  $T_{i,t}$ ,  $b(r_i, T_{i,t})$ . Next, we will describe how does an informed root vertex,  $r_i$ , at time  $t$  decide whether it has to inform another root vertex or a tree vertex. Two cases may arise:

1.  $r_i$  does not have uninformed children which are tree vertices, in this case  $w(r_i, t) = 0$ .

In this case there is nothing  $r_i$  can do to speed up the broadcast process in the tree attached to it. Therefore,  $r_i$  should inform an uninformed root vertex with the highest weight among the vertices.

2.  $r_i$  has uninformed children which are tree vertices. In this situation  $r_i$  has 2 options.

One is to inform an uninformed root vertex and the other is to inform an uninformed neighbouring tree vertex. The choice between informing a root vertex versus a tree vertex is done by comparing the time needed to inform the uninformed subtree attached to it,  $b(r_i, T_{i,t}) = w(r_i, t)$ , with the remaining time  $\tau - t$ . If  $\tau - t > w(r_i, t)$  then  $v$  informs another root vertex. If  $\tau - t = w(r_i, t)$  then  $v$  has to inform one of its children i.e. a tree vertex according to the broadcast algorithm in trees. The case

where  $\tau - t < w(r_i, t)$  is not being considered here because as soon as that happens we conclude  $\tau$  cannot be the broadcast time and the algorithm return FALSE.

In Algorithm 5.1 we describe the decision algorithm that given a graph  $FCT_n$ , an originator  $v_o$ , and a candidate broadcast time  $\tau$ , decides if the broadcast time of the graph is less than or equal that  $\tau$ . If the candidate time  $\tau$  happens to be the broadcast time, then this algorithm also generates the optimal broadcast scheme. Note that in the psuedocode  $V_I$  represents the set of informed vertices which at the start of the algorithm contains only  $v_o$ .

Now we are in a position to describe a broadcast algorithm for fully connected trees using the decision algorithm presented above. The algorithm does a binary search for the broadcast time in the range of possible values. As mentioned above we already know the minimum and maximum of the range which are  $t_{min}$  and  $t_{max}$ . The binary search reduces the size of the range by applying the decision algorithm on the midpoint of the range. If the algorithm returns that broadcasting can be performed, then the lower half of the range is considered for the recursive call of the search algorithm. However, if the result of the algorithm is negative, meaning that the midpoint of the range cannot be the broadcast time, then the upper half of the range is considered and the algorithm is again applied recursively. The psuedocode of the algorithm is presented in Algorithm 5.2. The initial call of the algorithm will be  $BroadcastAlgorithmFCT_n(FCT_n, v_o, t_{min}, t_{max})$  where  $t_{min} = \max\{\lceil \log n \rceil, \max b(v_i, T_i)\}$  and  $t_{max} = \lceil \log n \rceil + \max\{b(v_i, T_i)\}$ .

Finally in figure 13 we provide a simple example which depicts the different operations performed by the decision algorithm. We are given a graph which is a fully connected tree

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**Algorithm 5.2:** The broadcast algorithm of an root vertex originator  $v_o$  in a graph  $FCT_n$ .

---

**Algorithm:** The Broadcast Algorithm  $BroadcastAlgorithmFCT_n(G, v_o, t_1, t_2)$

**Input:**  $FCT_n = (V, E)$ , originator  $v_o$ , the minimum of a time range, and the maximum of a time range.

**Output:** Broadcast time  $\tau$  such that  $\tau = b(v_o, FCT_n)$

```

 $t = t_1 + \lfloor \frac{t_2 - t_1}{2} \rfloor$  if  $t_1 = t_2$  then
    if  $DecisionAlgo(G, v_o, t_1)$  then
        return  $t$ 
    else
        return  $-1$ 
    end
end
if  $t_1 + 1 = t_2$  then
    if  $DecisionAlgo(G, v_o, t_1)$  AND  $!DecisionAlgo(G, v_o, t_2)$  then
        return  $t_1$ 
    end
    if  $!DecisionAlgo(G, v_o, t_1)$  AND  $DecisionAlgo(G, v_o, t_2)$  then
        return  $t_2$ 
    else
        return  $-1$ 
    end
end
if  $DecisionAlgo(G, v_o, t_1)$  then
    return  $BroadcastAlgorithmFCT_n(G, v_o, t_1, t)$ 
else
    return  $BroadcastAlgorithmFCT_n(G, v_o, t + 1, t_2)$ 
end

```

---

with 5 trees,  $T_i$  where  $1 \leq i \leq 5$ . The originator is vertex  $r_1$ , the root of tree  $T_1$ , and the candidate broadcast time  $\tau = 6$ . The figure represents a snapshot of the state of the graph at time  $t = 1$  where the informed vertices are  $r_1$  and  $r_3$ . In order to decide which vertices should be informed next at time  $t = 2$ , the weights  $w(v_i, t)$  should be calculated for  $t = 1$ . Since there are no tree vertices informed yet, the weights will be calculated as follows:  $w(v_i, 1) = b(v_i, T_i)$ . Hence, we obtain that  $w(r_1, 1) = 1$ ,  $w(r_2, 1) = 3$ ,  $w(r_3, 1) = 5$ ,  $w(r_4, 1) = 2$ , and  $w(r_5, 1) = 2$ . The remaining time  $t_{rem} = \tau - t$  is equal

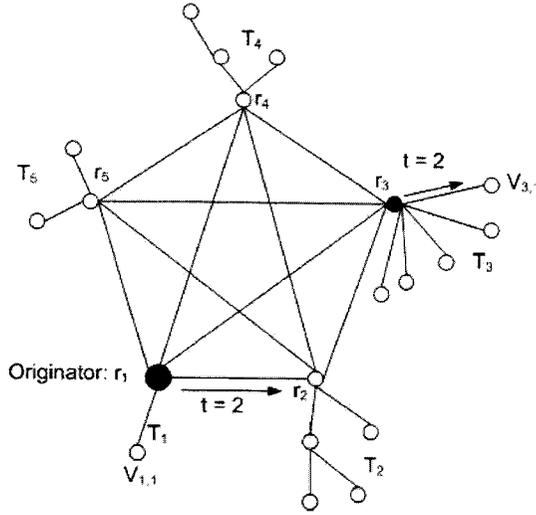


Figure 13: A fully connected tree  $FCT_5$  with 5 trees  $T_i$  rooted at  $r_i$ ,  $1 \leq i \leq 5$ . The originator is  $r_1$  and at time  $t = 1$  the informed vertices are  $r_1$  and  $r_3$ . This figure shows that at time  $t = 2$  the two vertices that get informed are  $r_2$  and  $v_{3,1}$ .

to  $t_{rem} = 6 - 1 = 5$ . None of the informed vertices has a weight greater than 5 so the algorithm does not return FALSE. The informed vertices  $r_1$  and  $r_3$  inform new vertices at time  $t = 2$  as follows: Vertex  $r_3$  has weight  $w(r_3, 1) = 5 = t_{rem}$ , therefore it informs a tree vertex based on the well known tree algorithm. However, vertex  $r_1$  informs another root vertex since  $w(r_1, 1) = 1 < t_{rem} = 5$ . It informs vertex  $r_2$  since it has the greatest weight among the uninformed root vertices. The weights of the vertices  $w(r_i, 2)$  remain the same for all vertices except  $r_3$ . Since  $r_3$  has one child tree vertex that is informed, its weight  $w(r_3, 2) = 4$ .

### 5.1.2 Proof of Correctness

In this section we will prove the correctness of the broadcast algorithm presented in the previous section. The first algorithm,  $DecisionAlgo(G, v, \tau)$  generates a broadcast scheme,

$S_\tau$ , as well as a boolean value which indicates whether or not broadcasting is possible in the provided amount of time. At any time  $t$  any broadcast scheme  $S$  will have informed a certain number of vertices. We will denote the set of informed root vertices by any scheme  $S$  until time  $t$  by  $V_t(S)$ . First we will prove two results which will be used in verifying the correctness of the broadcast algorithm described above.

Assume we are given an infinitely large complete graph in which we study the broadcast process. Assume that at time  $t$  the number of informed vertices is  $N_t$ . We are required to calculate the number of informed vertices at time  $t + \Delta$  with the following conditions:

1. Broadcasting is done according to the classical model.
2. There are  $\alpha$  different vertices (out of  $N_t$ ) that will stay idle for one time unit, in other words, the vertex will not inform a new vertex in the complete graph during that time unit.
3. The idle time unit can be anytime in the time interval  $[t, t + \Delta]$  where  $\Delta$  is any positive integer.

**Lemma 6.** *The number of informed vertices at time  $t + \Delta$  will be maximum if all of the  $\alpha$  vertices choose to remain idle at time  $t + \Delta - 1$ .*

*Proof.* First let us calculate the number of vertices when all of the  $\alpha$  vertices remain idle at time  $t + \Delta - 1$ . It is clear that the number of vertices will double with every time unit when all of the vertices are informing a new vertex. Therefore, at time  $t + \Delta - 1$  the number of informed vertices will be  $|N_t|2^{\Delta-1}$ . During the last time unit all but  $\alpha$  of the informed vertices will inform a new vertex. Hence, the number of vertices will be

$|N_t|2^{\Delta-1} + (|N_t|2^{\Delta-1} - \alpha)$  which is equal to  $|N_t|2^\Delta - \alpha$ . Now let's consider the general case and calculate the number of informed vertices at time  $t + \Delta$  assuming that the  $\alpha$  vertices decide to stay idle at a time which is not necessarily at the last time unit. Consider a vertex  $v$  at time  $t$ , if  $v$  had an idle time unit  $\delta$  units,  $0 \leq \delta \leq \Delta - 1$ , before the time  $t + \Delta$ , then the number of vertices will be  $2^\Delta - 2^\delta$ . Putting this together we can calculate the total number of informed vertices at time  $t + \Delta$  which is equal to  $n_{max} = |N_t|2^\Delta - 2^{\delta_1} - \dots - 2^{\delta_\alpha}$ . This formula can be understood by noticing that  $|N_t|2^\Delta$  is the maximum number of vertices that can be informed in  $\Delta$  time units and we are subtracting the number of vertices that will not be informed whenever one of the  $\alpha$  vertices stays idle  $\delta_i$  time units before the end,  $1 \leq i \leq \alpha$  and  $0 \leq \delta_i \leq \Delta - 1$ . Note that the maximum value of the formula  $n_{max}$  occurs when all of the negative terms are minimum, which implies that  $\delta_i = 0$ . Hence we proved that the maximum number of informed vertices at time  $t + \Delta$  can be obtained when all of the  $\alpha$  vertices stay idle at the last time unit and not before that.  $\square$

It is worth pointing out the relevance of this lemma to the problem of broadcasting in fully connected trees. The idle time unit of a vertex in the above lemma corresponds to a root vertex spending one time unit informing a tree vertex rather than informing another root vertex.

The time intervals discussed above are also relevant to the broadcast problem in fully connected trees. Consider a fully connected tree  $G$  with  $k$  root vertices  $r_i$ ,  $1 \leq i \leq k$ . We will denote by  $\delta_i$  the number of neighboring tree vertices that the vertex  $r_i$  has, in other words,  $\delta_i = \deg(r_i) - k - 1$ , where  $\deg(r_i)$  is the degree of the vertex  $r_i$ . Assume that  $\tau = b(v_o, G)$ . The weight of each vertex  $r_i$  will have  $\delta_i$  different values during the broadcast

process. Every time  $r_i$  informs one of its tree vertex neighbors, its weight will decrease. The  $\delta_i$  weights of  $r_i$  will be represented by  $w_j(r_i)$  where  $1 \leq j \leq \delta_i$ . The number of these weights for all the vertices of the graph  $G$  is equal to  $\sigma = \sum_{i=1}^k \delta_i$ . Sort these weights in decreasing order and label them with new variables  $\omega_i$  where  $1 \leq i \leq \sigma$ , i.e.  $\omega_1 \geq \omega_2 \geq \dots \geq \omega_\sigma$ . Now we can define the time intervals by considering the values  $\tau_i = \tau - \omega_i$ ,  $1 \leq i \leq \sigma$  and  $\tau = b(v_o, G)$ . Note that  $\tau_1 \leq \tau_2 \leq \dots \leq \tau_\sigma$ . Using these we can define  $\sigma$  time intervals as follows:  $[0, \tau_1]$ , and  $[\tau_i + 1, \tau_{i+1}]$  where  $1 \leq i \leq \sigma - 1$  which we denote by  $I_j$  where  $1 \leq j \leq \sigma$ . Some of these intervals can be overlapping since it is possible to have several vertices having the same weight. Note that during each time interval  $I_i$ ,  $1 \leq i \leq \sigma$ , there is a vertex that has to spend one time unit informing a tree vertex. If there are  $p$  overlapping intervals with  $I_i$  then there are  $p$  vertices that have to inform a tree vertex during the time interval  $I_i$ .

**Lemma 7.** *Let  $G$  be a FCT such that  $b(v_o, G) = \tau$ . Let  $S_{opt}$  be an optimum broadcast scheme different than  $S_\tau$ . Then, at any time  $\tau_i$ ,  $|V_{\tau_i}(S_\tau)| \geq |V_{\tau_i}(S_{opt})|$  where  $1 \leq i \leq \sigma$ .*

*Proof.* We will prove this result by induction. For the base case, we can easily conclude that  $|V_{\tau_1}(S_\tau)| \geq |V_{\tau_1}(S_{opt})|$  since at time  $t = 0$  only the originator is informed in both schemes, hence  $|V_0(S_\tau)| \geq |V_0(S_{opt})|$ . Using the lemma proved above we can conclude the correctness of the base case. Now assume that  $|V_{\tau_i}(S_\tau)| \geq |V_{\tau_i}(S_{opt})|$ , we need to prove that  $|V_{\tau_{i+1}}(S_\tau)| \geq |V_{\tau_{i+1}}(S_{opt})|$ .

We can write  $V_{\tau_i}(S_\tau) = V_c \cup V_n$  where  $V_c$  is the set of vertices that have to inform a tree vertex at time  $\tau_i$  and  $V_n$  is the set of the rest of the vertices. Note that  $V_c \subset V_{\tau_i}(S_{opt})$  otherwise  $S_{opt}$  cannot inform all the vertices of  $G$  in  $\tau$  time units. Moreover, we can

subdivide  $V_c$  as follows:  $V_c = V_{cc} \cup V_{nc}$  where  $V_{cc}$  is the set of vertices that have to inform a tree vertex in the scheme  $S_{opt}$  and  $V_{nc}$  is the set of vertices that do not have to inform a tree vertex at time  $\tau_i$ . With these we can calculate the number of vertices  $|V_{\tau_i+1}(S_\tau)|$  and  $|V_{\tau_i+1}(S_{opt})|$  as follows:

$$|V_{\tau_i+1}(S_\tau)| = 2(|V_{\tau_i}(S_\tau)| - |V_c|)$$

$$|V_{\tau_i+1}(S_{opt})| = 2(|V_{\tau_i}(S_{opt})| - |V_{cc}|)$$

. Moreover, we can conclude that there are  $|V_{nc}|$  vertices in  $V_{\tau_i}(S_{opt})$  that spent at least one time unit each informing a tree vertex, therefore  $|V_{\tau_i}(S_\tau)| - |V_{\tau_i}(S_{opt})| \geq |V_{nc}|$ . Putting all these together we can write:

$$|V_{\tau_i+1}(S_\tau)| - |V_{\tau_i+1}(S_{opt})| = 2(|V_{\tau_i}(S_\tau)| - |V_{\tau_i}(S_{opt})|) - 2(|V_c| - |V_{cc}|)$$

$$|V_{\tau_i+1}(S_\tau)| - |V_{\tau_i+1}(S_{opt})| = 2(|V_{\tau_i}(S_\tau)| - |V_{\tau_i}(S_{opt})|) - 2|V_{nc}|$$

Since  $|V_{\tau_i}(S_\tau)| - |V_{\tau_i}(S_{opt})| \geq |V_{nc}|$ , we conclude that  $|V_{\tau_i+1}(S_\tau)| - |V_{\tau_i+1}(S_{opt})| \geq 0$  or  $|V_{\tau_i+1}(S_\tau)| \geq |V_{\tau_i+1}(S_{opt})|$ . Finally, together with the above lemma we can conclude that  $|V_{\tau_i+1}(S_\tau)| \geq |V_{\tau_i+1}(S_{opt})|$ .  $\square$

**Lemma 8.** *Let  $G$  be a FCT such that  $b(v_o, G) = \tau$ . Let  $S_{opt}$  be an optimum broadcast scheme different than  $S_\tau$ . Then, at any time  $t$  we have  $|V_t(S_\tau)| \geq |V_t(S_{opt})|$ .*

*Proof.* First note that time  $t$  falls in one of the time intervals as defined above, without any

loss of generalization assume that  $t$  is in the time interval  $[\tau_i + 1, \tau_{i+1}]$ . Because of the previous lemma we know that  $|V_{\tau_{i+1}}(S_\tau)| \geq |V_{\tau_{i+1}}(S_{opt})|$  and because of lemma 6 we can deduce that at any time  $t$  in this interval  $|V_t(S_\tau)| \geq |V_t(S_{opt})|$ .  $\square$

**Theorem 21.** *Given a graph  $G$ , an originator  $v_o$ , and a time  $\tau$ , if  $DecisionAlgo(G, v_o, \tau)$  returns false then  $b(v_o, G) > \tau$ .*

*Proof.* Assume that there exists a scheme  $S$  such that all the vertices of  $G$  are informed within  $\tau$  time units or less. Since  $DecisionAlgo(G, v_o, \tau)$  returned false, there was a root vertex  $v$  which got informed at time  $t$  and  $w(v, t) > t - \tau$ . Since our algorithm informs root vertices with the highest weights first, we are guaranteed that at any time, including time  $t - 1$ , the set of informed root vertices in the scheme  $S_\tau$ ,  $V_{t-1}(S_\tau)$ , all have weights greater than or equal to  $w(v, t)$ . Since  $S$  is a broadcast scheme then all the vertices in  $V_{t-1}(S_\tau)$  should be informed at time  $t - 1$  and should be part of the set  $V_{t-1}(S)$  because all of these vertices have weights greater than the remaining time. Therefore we conclude that  $V_{t-1}(S_\tau) \subseteq V_{t-1}(S)$ . On the other hand,  $S$ , being a broadcast scheme, should have informed vertex  $v$  at time  $t - 1$  or earlier, since it has a weight greater than  $\tau - t$ . Therefore we can conclude that  $V_{t-1}(S_\tau) \subset V_{t-1}(S)$  and  $|V_{t-1}(S_\tau)| < |V_{t-1}(S)|$  which contradicts the previous lemma. Therefore, we conclude that such a scheme  $S$  cannot exist if the  $DecisionAlgo(G, v_o, \tau)$  returns false.  $\square$

### 5.1.3 Complexity Analysis

In this section we will calculate the complexity of the algorithm described above. First one can note that the  $BroadcastAlgorithm(G, v, t_1, t_2)$  does a binary search for the broadcast time in the range of possible values. The complexity of a binary search algorithm is  $O(\log N)$  where  $N$  is the number of values in the range that is being searched in. However, every time we need to verify if a certain value in the range is less than, greater than, or equal to what we are looking for, we are running the  $DecisionAlgo(G, v, t)$  which has a linear complexity in the number of vertices of the graph  $G$ . Assume that  $n$  is the number of vertices of the graph  $G$ . The  $DecisionAlgo(G, v, t)$  can calculate its decision in a linear time because every root vertex has to calculate its weight and compare with the remaining time. Once the weights are calculated at the beginning of the algorithm, updating the weights at every new time unit can be done in a constant time. Also initializing the weights at the beginning of the algorithm is a linear operation in terms of the number of vertices of the graph because the tree broadcast algorithm has to run which is linear itself. Therefore, the complexity of the  $BroadcastAlgorithm(G, v, t_1, t_2)$  has a complexity of  $O(n \log(t_2 - t_1))$ . Also note that  $t_2 - t_1 = O(\log n)$  which implies that the complexity of the algorithm is  $O(n \log \log n)$ .

The final step is to show that the decision algorithm has a complexity linear in  $n$ . The  $DecisionAlgo(G, v, t)$  can calculate its decision in a linear time because every root vertex has to calculate its weight and compare with the remaining time. The number of times the weight of a root vertex changes is equal to the number of children a root vertex has in the tree attached to it. Therefore, the number of comparisons that will be needed before one of

the root vertices makes a decision is at most equal to the total number of children vertices that the root vertices have. This number can be a linear function of the total number of vertices.

## 5.2 Broadcasting from any originator

In the previous sections we assumed that the originator is always one of the root vertices. In this section we will develop a broadcast algorithm for any originator in an arbitrary fully connected tree  $FCT$ . Assume we are given a fully connected tree  $G$  such that the originator  $v_o$  is in the tree  $T_i$  rooted at one of the root vertices  $r_i$ . There is a unique path  $P$  in  $T_i$  connecting  $r_i$  to the originator  $v_o$ . The vertex on the path  $P$  neighbouring  $r_i$  will be denoted by  $v_i$ . Let  $u_j, 1 \leq j \leq k$ , be the neighbors of  $v_o$  in the tree. One of these vertices falls on the path  $P$ , call this vertex  $u_i$ . The subtree of  $T_i$  rooted at the vertex  $v_i$  will be called  $T$  (see Fig 14a). The remaining subtree of  $T_i$ , rooted at  $r_i$ , after removing the edge  $(r_i, v_i)$  will be called  $T'_i$ . We will construct a new graph  $G'$  which is again a fully connected tree but the tree  $T'_i$  is attached at the root vertex  $r_i$  instead of the tree  $T_i$ . Figure 14a shows all the details described above. It is worth noticing that one can redraw the graph  $G$  differently by drawing the tree  $T$  rooted at the originator  $v_o$  and vertex  $v_i$  as one of its leaf vertices, this is shown in figure 14b. It can be observed that the graph  $G'$  is attached to the tree  $T$  by a bridge  $(v_i, r_i)$ . Since graph  $G'$  is connected to the tree  $T$  by a bridge, the broadcast algorithm in  $G'$  is independent of the broadcast algorithm in  $T$ . Once vertex  $r_i$  is informed, it can not inform any other vertex in  $T$  so its job is to inform the vertices of  $G'$  in the fastest

possible way. However, since  $G'$  is a fully connected tree and  $r_i$  is a root vertex, we have a broadcast algorithm to solve the broadcast problem of vertex  $r_i$  in  $G'$ .

Using Theorem 12 we can use the optimal broadcast tree of  $G'$  and attach it to the tree  $T$  and solve the broadcast problem in the resulting tree. In more details, according to the broadcast algorithm in trees [112], vertex  $v_o$  informs a child vertex that has the highest broadcast time in the subtree rooted at it. The subtrees rooted at the children of  $v_o$  are labeled by  $H_j, 1 \leq j \leq k$ , as shown in figure 14b. The broadcast times  $b(u_j, H_j), 1 \leq j \leq k$ , can be easily calculated except for the case where  $u_j = u_i$ . This is the case where there is the graph  $G'$  attached to  $v_i$  which might change the time needed by  $u_i$  to inform all of the vertices of  $H_i$  and  $G'$ . Since  $G'$  is a fully connected tree we can solve the broadcast problem for the originator  $r_i$  and obtain a broadcast tree  $T_{G'}$ . We construct a tree  $H'_i$  by attaching the tree  $H_i$  to  $T_{G'}$  by the bridge  $(v_i, r_i)$ . Using Theorem 12, the optimal time needed for  $u_i$  to inform all the vertices of  $H_i$  and  $G'$  is equal to the broadcast time of the vertex  $u_i$  in the tree  $H'_i$ .

In conclusion, finding the broadcast time of a tree vertex in an arbitrary fully connected tree can be reduced to solving two problems: one is finding the broadcast time of a root vertex in a fully connected tree and the second one is finding the broadcast time of a vertex in a tree. The complexity of the problem still remains  $O(n \log \log n)$  because finding the broadcast time of a vertex in a tree is linear and hence the complexity is determined by the algorithm that calculates the broadcast time of a root vertex in an arbitrary FCT.

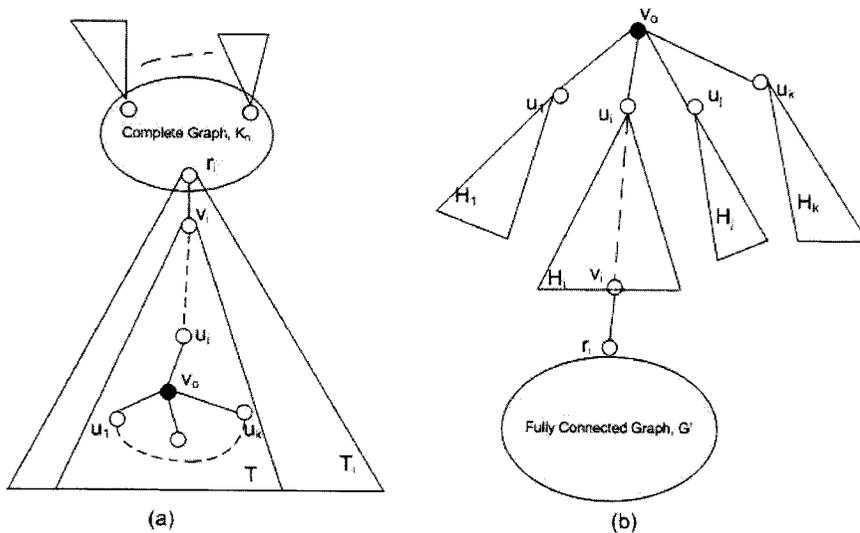


Figure 14: A fully connected tree  $G$  with an originator which is not a root vertex. (a) shows graph  $G$  and one of its trees  $T_i$  which contains the originator. (b) A different way of drawing the graph  $G$  by separating the tree  $T$  and the fully connected tree  $G'$ .

# Chapter 6

## Hierarchical Tree Cluster Networks

### 6.1 Introduction and Motivation

An interconnection network does not necessarily have the same performance requirements across the whole network. It often happens that physically close nodes on a network need to communicate more often with each other than those nodes that are far from each other. For such cases, it is meaningful to have a network design where the interconnection topology between physically close nodes is different than the topology connecting distant nodes. All nodes that are considered to be physically close will be considered to be a cluster. The nodes inside a cluster can have various interconnection topologies designed to meet the communication requirements of that cluster.

In this chapter we will study the message broadcasting problem in a network of clusters where the different clusters are connected to each other by a tree topology. We will consider various network topologies for the clusters and present an exact or an approximation

algorithm for each case. We will refer to this kind of networks as hierarchical tree cluster networks (HTCN).

A hierarchical tree cluster network (HTCN) is a graph  $G = (V, E)$  where the set of vertices can be divided into mutually disjoint sets  $V_i, 1 \leq i \leq q$ . The subgraphs induced by the sets  $V_i$  are called clusters. The different clusters are connected together by edges such that there is no cycle in the graph that contains vertices from two different clusters.

**Definition 7.** *A cluster  $C_i = (V_i, E_i)$  is a graph which has the following properties:*

1. *There exists a subgraph of  $C_i$  which is a clique and is called the core of the cluster.*
2. *Every vertex in the core can perform optimum broadcasting in the cluster.*
3. *Only core vertices of a cluster can have connections to other clusters.*

**Definition 8.** *Consider  $m$  clusters  $C_i = (V_i, E_i)$   $1 \leq i \leq m$  such that the sets  $V_i$  are mutually disjoint, the hierarchical tree cluster network, HTCEN, is a connected graph  $HTCEN = (V, E)$  such that  $V = V_1 \cup \dots \cup V_m$  and  $E = E_1 \cup \dots \cup E_m \cup E_T$ , where  $E_T$  is called the set of tree edges and  $|E_T| = m - 1$ . Each edge  $e \in E_T$  connects vertices from two different clusters.*

We believe HTCENs can simulate more realistically networks that network engineers might face. According to the definition, in an HTCEN not all nodes of a cluster can communicate with the other clusters directly, there is a set of nodes that are designated to have connections to other clusters. This set forms a clique and is called to be the core of the cluster. Having this kind of special nodes can be motivated by several reasons such as

easier implementation of security protocols, and lower hardware cost. All the other nodes in the cluster are connected by some path to at least one designated node (a node in the core). Any such node willing to communicate with another node in another cluster does so by going through one of the designated nodes in its cluster which communicates with a designated node in the destination cluster which in turn knows how to inform any node in that cluster. Moreover, we assume that the interconnection topology inside a cluster is such that we know how to do optimal broadcasting in the cluster from any node in the core. Not all vertices know how to do optimal broadcasting in the cluster but they have routing tables which allow them to communicate with the closest designated node in the cluster which can do optimal broadcasting in that cluster. The different clusters are connected together with edges which connect a designated node of one cluster to another designated node of the other cluster. The connections between clusters is such that the graph reduces to a tree if every cluster was represented by only one vertex.

Note that, the subgraph induced by all the designated vertices of a hierarchical tree cluster graph is a tree of cliques. This observation will be useful in designing an approximation algorithm for broadcasting in HTCNs. A tree of cliques, TC, is an HTCN where every cluster forms a clique. TC is a graph which reduces to a tree if every clique was represented by only one vertex (Fig 15a).

**Definition 9.** Consider  $m$  cliques  $C_i = (V_i, E_i)$   $1 \leq i \leq m$  with sizes greater than or equal to 1. The tree of cliques, TC, is a connected graph  $TC = (V, E)$  such that  $V = V_1 \cup \dots \cup V_m$  and  $E = E_1 \cup \dots \cup E_m \cup E_T$ , where  $E_T$  is called the set of tree edges and  $|E_T| = m - 1$ . Each edge  $e \in E_T$  connects vertices from two different cliques.

To avoid confusion we will not call a graph HTCN if it is a tree of cliques even though by definition the set of graphs which are HTCN is a superset of the family of TCs. In this chapter we present a  $O(n \log \log n)$  algorithm to determine the broadcast time of any vertex in an arbitrary TC. We use this algorithm to present a 3-approximation algorithm to find the broadcast time of any vertex in an arbitrary HTCN. This algorithm stays a constant approximation algorithm in the case where only a constant approximation algorithm is known to broadcast from the designated vertices of the clusters.

## 6.2 Broadcasting in Tree of Cliques

In this section we will study the broadcast problem in tree of cliques. We will describe a recursive divide and conquer broadcast algorithm for the tree of cliques  $G$ . For any graph  $G = (V, E)$  the set of edges  $E$  can be divided into 2 disjoint sets.  $E = E_c \cup E_t$  where the  $E_c$  are the set of clique edges, i.e. edges that connect the different vertices within each clique  $C_i$ .  $E_t$  is the set of edges such that each edge  $(u, v) \in E_t$  connects two different cliques  $C_i = (V_i, E_i)$  and  $C_j = (V_j, E_j)$ ,  $i \neq j$  and  $1 \leq i, j \leq m$ , such that  $u \in V_i$  and  $v \in V_j$ . The recursive broadcast algorithm proceeds by choosing a clique  $C_i$ ,  $1 \leq i \leq k$ , and removing the edges of  $E_t$  that connect  $C_i$  to neighboring cliques  $C_j$ . If there are  $k$  such edges then we will have  $k+1$  disconnected graphs. One of them is the clique  $C_i$  and the rest of the graphs, which we denote by  $H_i$  where  $1 \leq i \leq k$ , are subgraphs of  $G$  such that each one of them in turn is a tree of cliques. The algorithm recursively calculates the broadcast tree of each graph  $H_i$ ,  $1 \leq i \leq k$ , and then joins the results to construct a new graph,  $G'$ ,



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**Algorithm 6.1:** The broadcast algorithm that calculates the broadcast time  $b(v_o, G)$  and scheme for any originator  $v_o$  in any tree of cliques  $FCT$ .

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**Algorithm:**  $BroadcastTC(TC, v_o)$

**Input:**  $TC = (V, E)$  and originator  $v_o$

**Output:** Broadcast time  $b(v_o, G)$  and the broadcast tree of  $G$

Find the clique  $C_o$  such that the originator  $v_o$  belongs to it ;

Calculate the set of edges  $E_{C_o}$  which connect  $C_o$  to another clique ;

**if**  $E_{C_o}$  is empty **then**

    Calculate the broadcast time and the broadcast tree of the clique  $C_o$ ;

**return**

**else**

$G' \leftarrow C_o$  ;

**foreach**  $(v_i, u_j) \in E_{C_o}$  **do**

        remove edge  $(v_i, u_j)$  and obtain the connected subgraph  $H_j$  ;

        call  $BroadcastTC(H_j, u_j)$  to obtain the broadcast tree  $T_{H_j}$  ;

        Add the edge  $(v_i, u_j)$  to the graph  $G'$  ;

        Add  $T_{H_j}$  to  $G'$  ;

**end**

    Use  $BroadcastFCT(G', v_o)$  to calculate the broadcast time and the broadcast scheme of the fully connected tree graph  $G'$  ;

**end**

---

correctness of the latter algorithm has been proved in the previous subsection.

### 6.2.2 Complexity Analysis

Assume we are given a tree of cliques  $G$  and that the originator is in clique  $C_o$  and there are  $q$  cliques in  $G$ . The first step is to divide the problem into smaller pieces. This is done by removing those edges that connect the clique  $C_o$  to other cliques. We will label these edges by  $E_o$ . Assume that there is  $m$  smaller graphs  $H_i$  which resulted after removing the tree edges. The next step is to solve the broadcast problem in each graph  $H_i$  which is itself a tree of cycles. The final step is to join the solutions by making use of the broadcast algorithm for fully connected trees. This joining step will take  $O(k_o) + O(\log(t_{max} - t_{min}))O(n_o)$ , where  $k_o$  is the number of vertices in the clique  $C_o$  and  $n_o$  is the number of children vertices that

the root vertices of  $C_o$  have. Let  $U_i$  represent the time complexity of the broadcast problem in  $H_i$ . Therefore, the complexity of the algorithm is  $U_1 + U_2 + \dots + U_m + O(k_o) + O(n_o \log(t_{max} - t_{min}))$ . The terms  $U_i$  can be each expanded and the resulting formula would be  $\sum_{i=1}^q O(k_i) + O(\log(t_{max} - t_{min})) \sum_{i=1}^q O(n_i)$  where  $q$  is the total number of cliques,  $k_i$  is the number of vertices that the clique  $C_i$  has, and  $n_i$  is the number of children the root vertices of  $C_i$  have. The last step is to write the complexity in terms of  $n$ , the total number of vertices in the graph. Since  $\sum_{i=1}^q O(k_i) < n$  and  $\sum_{i=1}^q O(n_i) < O(n)$  we can write the complexity of the algorithm as  $O(n) + O(n \log(t_{max} - t_{min}))$ . Noting that  $t_{max} - t_{min} = O(\log n)$ , we can conclude that the complexity of the broadcast algorithm for tree of cycles is  $O(n \log \log n)$ .

### 6.3 An Approximation Algorithm for Hierarchical Tree Cluster Networks

In this section we will present an approximation algorithm for calculating the broadcast time of hierarchical tree cluster networks (HTCN).

Assume that the originator  $v_o$  is in the cluster  $C_j$ . The algorithm,  $A$ , we propose is hierarchical too in the sense that it first does broadcasting in the subgraph (induced by the cliques) which connects the different clusters together. In more details assume that  $v_o$  is not a designated node in the cluster and the closest designated node that  $v_o$  can reach is  $u$ . We denote by  $TC_G$  the tree of cliques subgraph of  $G$  which contains all the designated vertices of all clusters. The approximation broadcast algorithm first sends the message

from  $v_o$  to  $u$ . Then broadcasting is performed in  $TC_G$  from the originator  $u$ . By the time the broadcast process in  $TC_G$  is complete, all the core vertices of the clusters have been informed. Then these vertices start broadcasting in their clusters. We are assuming that the clusters have such a network topology that we know how to do optimal broadcasting from any designated node in that cluster. Next we will show that this algorithm is a constant approximation algorithm.

**Lemma 9.** *Given a graph  $G = (V, E)$ , for any pair of vertices  $x, y \in V$ ,  $b(x, G) \leq 2b(y, G)$*

*Proof.* If  $b(x, G)$  is already less than or equal to  $b(y, G)$  then the result  $b(x, G) \leq 2b(y, G)$  is trivially satisfied. Let us consider the case where  $b(x, G) > b(y, G)$ . Then we can write that,  $b(x, G) \leq d(x, y) + b(y, G)$ . Also we can deduce that  $d(x, y)$  cannot be larger than  $b(y, G)$  because the broadcast algorithm from the originator  $y$  can inform all the vertices of  $G$  including  $x$  within  $b(y, G)$  time units, hence  $d(x, y) \leq b(y, G)$ . Putting these together we deduce that  $b(x, G) \leq 2b(y, G)$ . □

**Theorem 22.** *The broadcast time for the graph  $G$  calculated by algorithm  $A$  is at most three times the optimal broadcast time of  $G$ , i.e.  $b_A(G) \leq 3b(G)$ .*

*Proof.* Assume that the clusters are labeled such that  $b(C_1) \geq b(C_2) \geq \dots \geq b(C_q)$ . Note that  $b(C_i)$  is the maximum broadcast time among the designated nodes of the cluster and not the usual maximum broadcast time of the whole cluster (since we do not assume that we know how to perform broadcasting from non-designated nodes). Therefore we can write that  $b_A(v_o, G) \leq d(v_o, u) + b(TC_G) + b(C_1)$  which directly follows from the definition of

the algorithm. Once all the designated vertices of the clusters have been informed waiting  $b(C_1)$  time units guarantees that all the vertices of the clusters have been informed because  $b(C_1)$  is the largest value among all the clusters.

On the other hand, we know that  $b(v_o, G) \geq d(v_o, u) + b(TC_G)$  since the broadcast time of a graph is always greater or equal than the broadcast time of any of its subgraphs.

Now we have two cases to consider:

1.  $v_o$  belongs to cluster  $C_1$ . Then using lemma 9, we can write that  $b(v_o, G) \geq \frac{b(C_1)}{2}$ .
2.  $v_o$  is not in cluster  $C_1$ . Then  $b(v_o, G) \geq b(C_1)$  which trivially implies that  $b(v_o, G) \geq \frac{b(C_1)}{2}$ .

Therefore we conclude that  $b(TC_G) + d(v_o, u) + \frac{b(C_1)}{2} \leq 2b(v_o, G)$ . Substituting this in  $b_A(v_o, G) \leq d(v_o, u) + b(TC_G) + b(C_1)$  we obtain that  $b_A(v_o, G) \leq 2b(v_o, G) + \frac{b(C_1)}{2}$ . Again using the fact that  $b(v_o, G) \geq \frac{b(C_1)}{2}$  we obtain that  $b_A(v_o, G) \leq 3b(v_o, G)$ . Hence we proved that the described approximation algorithm is 3-approximation algorithm.  $\square$

Assuming that the complexity of the broadcast algorithm for a core vertex inside a cluster is  $O(f(n))$ , the complexity of the approximation algorithm is  $O(n \log \log n + f(n))$ . The  $O(n \log \log n)$  factor is due to the fact that the approximation algorithm first does broadcasting in the subgraph of HTCN which is a tree of cliques. The  $O(f(n))$  factor is contributed by the broadcast algorithm that broadcasts the information from the informed core vertices in each cluster. In practice a cluster will have a core of tightly connected vertices and the rest of the vertices will be weakly connected most probably with a tree structure. For such topologies, a linear time broadcast algorithm most probably exists. This implies that the

complexity of the approximation algorithm that we presented is  $O(n \log \log n)$  for a wide range of cluster topologies that one could face in real life.

It is interesting to see that the HTC� degenerates to a TC if some constraints are added. If every vertex, which is not a designated special vertex, has a unique path to one and only one designated vertex in the cluster, the resulting interconnection topology of the cluster will be a fully connected tree. In this case the algorithm for TCs presented in the previous section can calculate the exact broadcast time of the HTC�. This is because every clique will have the fully connected tree graph structure and the recursive divide and conquer algorithm of tree of cliques can be applied to obtain an exact  $O(n \log \log n)$  broadcast algorithm.

Finally, we can extend the analysis to the approximation algorithm to cases when the exact broadcast algorithm from the designated vertices of a cluster is not known. Instead assume that a  $c$ -approximation algorithm is known for every designated vertex in a cluster. Therefore, for the same approximation algorithm  $A$ , can write that  $b_A(v_o, G) \leq d(v_o, u) + b(TC_G) + cb(C_1)$ . The upper bound on  $b(v_o, G)$  is still the same namely,  $2b(v_o, G) \geq b(TC_G) + d(v_o, u) + \frac{b(C_1)}{2}$ . Using these two inequalities we can write that  $b_A(v_o, G) \leq 2b(v_o, G) + \frac{2c-1}{2}b(C_1)$  which together with the inequality  $b(v_o, G) \geq \frac{b(C_1)}{2}$  gives  $b_A(v_o, G) \leq (2c + 1)b(v_o, G)$ . Hence, we conclude that our approximation algorithm is still a constant  $(2c + 1)$ -approximation.

# Chapter 7

## Conclusion and Future Work

In today's world, interconnection networks are used to exchange information in many applications. Parallel computing is one example where fast message dissemination is of particular importance. Broadcasting is one of the message dissemination primitives that gets used frequently. In this thesis we studied the broadcast problem in interconnection networks focusing on several classes of networks.

The broadcast problem in general graphs is known to be  $NP$ -complete. Polynomial time solutions are known to exist only for a few classes of graphs such as the tree, hypercube, Knodel graphs, and the grid. The simplest graph structure among these is the tree while the rest of classes have properties such as regularity and symmetry that makes the broadcast problem solvable. Our choice of graph classes was motivated by the desire to increase the complexity of the graphs starting with the trees and adding more edges and gradually introducing cycles in the graph. Another direction in designing graphs was having the tree structure somehow hidden in the graph in the form of a backbone structure. In that

respect we first studied the broadcast problem in unicyclic graphs where we provided a linear broadcast algorithm. The next step was to introduce cycles in a controlled way and we studied the tree of cycles, necklace graphs, and the 2-restricted cactus graphs. In all these graphs the presence of the tree structure was the ultimate reason a solution was obtained. In the second part of the thesis we studied graph structures where the main characteristic was the presence of a backbone tree network which connects different graphs together. In this category of graphs we considered the fully connected trees, the tree of cliques, and the hierarchical tree cluster networks. For the latter class we provide a constant approximation algorithm and for the rest we provide exact solutions.

There are other graph structures that might have polynomial solutions for the broadcast problem and have tree-like properties such as the partial  $k$ -trees. It is natural to study the broadcast problems in these kinds of graphs too. Partial  $k$ -trees are graphs which have constant treewidth and it is worth studying what classes of graphs with constant treewidth can be solved polynomially or at least constant approximation algorithms can be found. Another direction is to study the multicast problem in the graph families that we considered in this thesis. Multicasting is similar to the broadcast process with the difference that a message has to be sent only to a subset of destination vertices.

As stated in Chapter 2, another area of research has been designing networks with the least broadcast time possible given the number of vertices and edges. Formally speaking, the  $(n, m)$  problem is to design a graph  $G = (V, E)$  such that  $|V| = n$ ,  $|E| = m$  and  $b(G) = b_{min}$  such that there is no other graph  $G'$  on  $n$  vertices and  $m$  edges such that  $b(G') < b(G)$ . The only known results in this area is the solution of the  $(n, n - 1)$  problem (which

are optimal trees) for the classical broadcast model,  $k$ -broadcast model, and universal list broadcast model. Given the extensive studies we have done in unicyclic graphs we plan to work on the  $(n, n)$  broadcast problem.

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