

On The Distribution Of The Signs Of Cyclotomic Units
Of A Cyclotomic Field.

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ABSTRACT

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Let $K_m = \mathbb{Q}(\zeta_m)$ be the cyclotomic field obtained by adjoining a primitive m^{th} root of unity ζ_m to the field of rational numbers \mathbb{Q} . The unit group of K_m is denoted E_m . The maximal real subfield of K_m is denoted by K_m^+ where $K_m^+ = \mathbb{Q}(\zeta_m + \zeta_m^{-1})$ and its group of units by E_m^+ . The cyclotomic units C_m form a subgroup of E_m of finite index. The cyclotomic units of K_m^+ are $C_m^+ = K_m^+ \cap C_m$. We denote by Z a subgroup of C_m^+ with generators $\epsilon_1, \dots, \epsilon_n$, $n = \phi(m)/2$. The ϵ_i are given in the text.

Let $G = G(K_m^+/\mathbb{Q})$ denote the Galois group of K_m^+ over \mathbb{Q} . A mapping $\text{sgn}_\sigma : E_m^+ \rightarrow \mathbb{F}_2$ is defined for each $\sigma \in G$ and $\mu \in E_m^+$.

The matrix $M = (\text{sgn}_{\sigma_j}(\epsilon_i))$, $\sigma_j \in G$, $\epsilon_i \in Z$, is called the matrix of cyclotomic signatures. The rank of this matrix determines the sign distribution of the conjugates of the units of the subgroup Z of the cyclotomic units. The rank of M was computed for two different unit groups Z of the field K_m^+ where K_m^+ is a field in the tower of fields, $K_q^+ \subseteq K_{q \cdot 2^2}^+ \subseteq K_{q \cdot 2^3}^+ \subseteq \dots \subseteq K_{q \cdot 2^n}^+$, q an odd integer. The results appear in the tables.

INDEX OF NOTATIONS

C	field of complex numbers
C_m^+	the cyclotomic units of K_m^+
C_m'	Ramachandra's units p. 10 (Theorem 8)
C_m''	a subgroup of C_m^+ p. 10 (Theorem 7)
d_m	the order of the matrix M minus the rank of M
E_m	unit group of $K_m = \mathbb{Q}(\zeta_m)$
E_m^+	unit group of $K_m^+ = \mathbb{Q}(\zeta_m + \zeta_m^{-1})$
F	field K_m^+
\mathbb{F}_2	Galois field of two elements
$\mathbb{F}_2[G(F/Q)]$	group ring of $G(F/Q)$ over \mathbb{F}_2
$\phi(m)$	Euler phi function
$G(L/K)$	Galois group of L over K
K^*	non-zero elements of field K
M	matrix of cyclotomic signatures p. 20
q	an odd rational integer
Q	field of rational numbers
$\text{sign}_\sigma(\alpha)$	σ -sign of α p. 20
$\text{sgn}_\sigma(\alpha)$	σ -signature of α p. 20
$\text{sgn}(\mu)$	see definition p. 24
ζ_m	primitive m^{th} root of unity
\mathbb{Z}	ring of rational integers
Z	a subgroup of the cyclotomic units C_m^+
$ \cdot $	ordinary absolute value
$[\cdot]$	least positive residue mod x
(\div)	Legendre symbol

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CHAPTER 1

CYCLOTOMIC FIELDS

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Let $\zeta_m = e^{2\pi i/m}$ be a primitive m^{th} root of unity, i.e. $\zeta_m^m = 1$ while $\zeta_m^a \neq 1$ for $1 \leq a < m$. Then $K_m = Q(\zeta_m)$ is the cyclotomic field of m^{th} roots of 1, where $m \geq 2$ is any integer such that $m \not\equiv 2 \pmod{4}$, Q the field of rational numbers. The following theorem, which describes the Galois group $G(K_m/Q)$ of K_m over Q , is well known.

Theorem 1

K_m/Q is an abelian extension of degree $\phi(m)$; in fact, $G(K_m/Q)$ is isomorphic to the multiplicative group of integers $(\text{mod } m)$ which are relatively prime to m [that is, to $(Z/mZ)^*$, Z the ring of rational integers]. The conjugates of $\zeta = \zeta_m$ are precisely the primitive m^{th} roots of 1, and $f(\zeta, Q) = \prod_{\substack{(a,m)=1 \\ 1 \leq a \leq m}} (x - \zeta^a)$, is the minimal polynomial of ζ over Q .

Proof: See Weiss [14], p. 255.

The isomorphism referred to in the Theorem is given by $a \mapsto \sigma_a$ where $(a, m) = 1$, $1 \leq a < m$ and $\sigma_a(\zeta_m) = \zeta_m^a$, also $\sigma_b = \sigma_a$ iff $b \equiv a \pmod{m}$.

Now $G(Q(\zeta_m)/Q)$ contains an element σ_{-1} of order 2, namely the element such that $\sigma_{-1}(\zeta_m^a) = \zeta_m^{-a} = \overline{\zeta_m^a}$ where $\bar{\alpha}$ denotes the complex conjugate of $\alpha \in C$, where C is the field of complex numbers. Thus σ_{-1} is the automorphism of $G(Q(\zeta_m)/Q)$ defined by complex conjugation. Now, σ_{-1} has order 2, the fixed field of σ_{-1} , which we denote by $Q(\zeta_m)^+$, is a real field, actually the maximal real subfield of $K = Q(\zeta_m)$, and $[Q(\zeta_m) : Q(\zeta_m)^+] = 2$, while $[Q(\zeta_m)^+ : Q] = \phi(m)/2$. We will show that $Q(\zeta_m)^+ = Q(\zeta_m + \zeta_m^{-1})$. Now, $Q(\zeta_m + \zeta_m^{-1})$ is a real field which is fixed under σ_{-1} , therefore

$Q(\zeta_m + \zeta_m^{-1}) \subseteq Q(\zeta_m)^+$. Since ζ_m is a root of the polynomial $x^2 - (\zeta_m + \zeta_m^{-1})x + 1$, we have $[Q(\zeta_m) : Q(\zeta_m + \zeta_m^{-1})] \leq 2$. While $Q(\zeta_m) \supseteq Q(\zeta_m)^+ \supsetneq Q(\zeta_m + \zeta_m^{-1})$ implies that:

$$[Q(\zeta_m) : Q(\zeta_m + \zeta_m^{-1})] \geq [Q(\zeta_m) : Q(\zeta_m)^+] = 2.$$

Thus $[Q(\zeta_m) : Q(\zeta_m + \zeta_m^{-1})] = 2$ and so we have, $Q(\zeta_m)^+ = Q(\zeta_m + \zeta_m^{-1})$.

Corollary 1.1

The maximal real subfield $F = Q(\zeta_m + \zeta_m^{-1})$ of the m^{th} cyclotomic field is a Galois extension of Q which has a Galois group $G(F/Q)$ which is abelian of order $\phi(m)/2$. In fact $G(Q(\zeta_m + \zeta_m^{-1})/Q) = G(Q(\zeta_m)/Q)/\langle \sigma_{-1} \rangle$.

Automorphisms of $Q(\zeta_m)^+$ over Q are obtained by restricting the automorphisms of $Q(\zeta_m)$ over Q to $Q(\zeta_m)^+$. Under this restriction two elements of any coset of the subgroup $\langle \sigma_{-1} \rangle$ in $G(Q(\zeta_m)/Q)$ may be identified. In the following we will assume that automorphisms of $Q(\zeta_m)^+$ over Q have been obtained in this way.

CHAPTER 2

RINGS OF INTEGERS

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RINGS OF INTEGERS

Let L be a field, K a subfield of L . Then $\alpha \in L$ is said to be algebraic over K if α satisfies a non zero polynomial with coefficients in K . i.e. there exists $f(x) \in K[x]$ such that $f(\alpha) = 0$; dividing by the leading coefficient, we may assume f is monic. In otherwords, there exist elements $b_1, \dots, b_n \in K$, $n > 0$, such that $\alpha^n + b_1\alpha^{n-1} + \dots + b_n = 0$.

A complex number x is an algebraic number if it is algebraic over the field Q of rational numbers. An algebraic number which is a root of a monic polynomial with coefficients in the ring Z of rational integers is called an algebraic integer.

Theorem 2

The numbers $1, \zeta_m, \dots, \zeta_m^{\phi(m)-1}$ form an integral basis, a Z basis, for the ring of algebraic integers A_K in $Q(\zeta_m)$. i.e. $A_K = Z[\zeta_m]$.

Proof: See Ribenboim [11], p. 269.

Corollary 2.1

The real numbers $\zeta_m + \zeta_m^{-1}, \dots, \zeta_m^{\phi(m)} + \zeta_m^{-\phi(m)}$, form an integral basis for the ring of algebraic integers in $F = Q(\zeta_m + \zeta_m^{-1})$. i.e. $A_F = Z[\zeta_m + \zeta_m^{-1}]$.

Proof: See Washington [13], p. 16.

CHAPTER 3

UNITS

CHAPTER 3

UNITS

Definition: If $a \in A_K$ and there exists $b \in A_K$ such that $a \cdot b = 1$, then a is called a unit of the ring of algebraic integers A_K .

We have the following fact concerning the units of the ring of algebraic integers.

Theorem 3

An algebraic integer is a unit if and only if its norm $N(x) = \pm 1$, where $N(x) = \prod_{i=1}^n \sigma_i(x)$.

Proof: If x is a unit, then there exists an algebraic integer x' such that $x \cdot x' = 1$. Taking norms we obtain $N(x) \cdot N(x') = 1$ since $N(x)$ and $N(x')$ are integers, therefore $N(x) = \pm 1$.

Conversely, if $N(x) = \pm 1$, then letting x' be the product of all conjugates of x distinct from x , we have $x \cdot x' = \pm 1$; but $x' = \sigma_2(x) \cdots \sigma_n(x)$ is a product of algebraic integers and is thus an algebraic integer, so x divides 1 in the ring A_K of algebraic integers. Therefore x is a unit.

Denote the set of all units of the algebraic number field K by U , then $U \subseteq A_K$.

If ζ_m is a root of unity, then ζ_m satisfies the polynomial $x^m - 1$, $m \geq 1$, and so ζ_m is an algebraic integer. Since $\zeta_m^m = 1$ then $\zeta_m^{-m} = 1$ and thus ζ_m^{-1} is also a root of unity. Thus any root of unity in K is a unit of A_K .

Let U denote the group of units of A_K and let W denote the subgroup of U consisting of roots of unity. W is a non-trivial subgroup of U , since $1, -1 \in W$.

We recall that, if the algebraic number field K is of degree n over the rational numbers Q , then there are precisely n distinct isomorphisms of this field into the field C of all complex numbers.

If the image of the field K under the isomorphism $\sigma:K \rightarrow C$ is contained in the real numbers, then the isomorphism σ is called real, and, if this is not the case, it is called complex.

If θ is a primitive element of the arbitrary algebraic number field K , which is a root of the irreducible polynomial $f(x)$ over Q , and if $\theta_1, \dots, \theta_n$ are the roots of $f(x)$ in the field C , then the isomorphism

$$K = Q(\theta) \rightarrow Q(\theta_i) \subset C, (\theta \rightarrow \theta_i)$$

will be real if the root θ_i is real, and complex otherwise.

Let $\sigma:K \rightarrow C$ be a complex isomorphism. The mapping $\bar{\sigma}:K \rightarrow C$, defined by

$$\bar{\sigma}(x) = \overline{\sigma(x)}, x \in K$$

is also a complex isomorphism of K into C . This isomorphism is called conjugate to σ . Since $\bar{\sigma} \neq \sigma$ and $\bar{\bar{\sigma}} = \sigma$, the set of all complex isomorphisms of K into C is divided into pairs of conjugate isomorphisms.

If among the isomorphisms of K into C there are r_1 real ones and $2r_2$ complex ones, then $r_1 + 2r_2 = n = [K:Q]$.

The following theorem, due to Dirichlet, gives the structure of the units U of A_K .

Theorem 4

The group U of units of the ring A_K of algebraic integers of K has the following structure

$$U \cong W \times C_1 \times \cdots \times C_r$$

where W is the cyclic group of order w of roots of unity belonging to K , each C_i is an infinite multiplicative cyclic group, and $r = r_1 + r_2 - 1$.

Proof: See Ribenboim [11], p. 148.

The units u_1, \dots, u_k of A_K are said to be multiplicatively independent whenever a relation:

$$u_1^{m_1} \cdot u_2^{m_2} \cdots u_k^{m_k} = 1 \quad , \text{ with } m_i \in \mathbb{Z}$$

is only possible when $m_1 = \cdots = m_k = 0$. Thus Dirichlet's unit theorem says that there exists a root of unity ζ and r units of infinite order u_1, \dots, u_r , such that every unit u may be written uniquely in the form $u = \zeta^{e_0} \cdot u_1^{e_1} \cdots u_r^{e_r}$ with $0 \leq e_0 < w$ and $e_1, \dots, e_r \in \mathbb{Z}$.

Any set of r independent units $\{u_1, \dots, u_r\}$ of K , where $r = r_1 + r_2 - 1$, for which the above statement holds is called a fundamental system of units of K .

In the case of $K = \mathbb{Q}(\zeta_m)$, where $[K:\mathbb{Q}] = \phi(m)$, $\sigma(\zeta_m) = \zeta_m^a$, $(a, m) = 1$, $1 < a < m$ we have $r_1 = 0$, $r_2 = \phi(m)/2$ and therefore $r = \phi(m)/2 - 1$. While for $F = \mathbb{Q}(\zeta_m)^+ = \mathbb{Q}(\zeta_m + \zeta_m^{-1})$, where $[F:\mathbb{Q}] = \phi(m)/2$, and $\sigma(\zeta_m + \zeta_m^{-1}) = \zeta_m^a + \zeta_m^{-a}$, $(a, m) = 1$, $1 < a < m/2$, we have $r_1 = \phi(m)/2$, $r_2 = 0$ and thus $r = \phi(m)/2 - 1$. Thus the unit groups of $\mathbb{Q}(\zeta_m)$ and $\mathbb{Q}(\zeta_m)^+$ both have the same rank, $r = \phi(m)/2 - 1$.

Serge Lang [7], p. 84 gives the following definition of cyclotomic units.

Let m be the conductor of the cyclotomic field $\mathbb{Q}(\zeta_m)$, so either $m > 1$ is odd or m is divisible by 4. Let ζ be a primitive m^{th} root of unity. For b prime to m we let $g_b = (\zeta^b - 1)/(\zeta - 1)$.

Then g_b is a cyclotomic unit. That g_b is a unit follows from the fact that $g_b = (\zeta^b - 1)/(\zeta - 1) = \zeta^{b-1} + \zeta^{b-2} + \dots + \zeta + 1$ is an algebraic integer and $g_b^{-1} = (\zeta - 1)/(\zeta^b - 1) = (\zeta^{bk} - 1)/(\zeta^b - 1)$ for some k , since $(\mathbb{Z}/m\mathbb{Z})^*$ is a multiplicative group. Thus

$$g_b^{-1} = (\zeta^{kb} - 1)/(\zeta^b - 1) = \zeta^{(k-1)b} + \zeta^{(k-2)b} + \dots + \zeta^b + 1,$$

which is also an algebraic integer, and $g_b \cdot g_b^{-1} = 1$.

Therefore g_b is a unit. Without loss of generality we may assume that b is odd, since ζ_m^b depends only on the residue class of b mod m .

Then $g_b^+ = \zeta_m^{-v} \cdot g_b$ for $v = (b-1)/2$ is a real unit since ζ_m^{-v} and g_b are units and because $\sigma_{-1}(g_b^+) = g_b^+$.

The unit group generated by -1 and the units g_b^+ is referred to by Washington as C_m^+ while the cyclotomic units of Washington are denoted by C_m . We will use Washington's notation. Washington ([13] in Prop. 2.8) demonstrates that $1 - \zeta_m$ is a unit of $\mathbb{Z}[\zeta_m]$ if m has at least two distinct prime factors.

CHAPTER 4

THE CYCLOTOMIC UNITS

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THE CYCLOTOMIC UNITS

To determine the unit group of an arbitrary algebraic number field is quite difficult. However, in the case of cyclotomic fields, a group of units is known, namely the cyclotomic units, which is of finite index in the full unit group. Moreover, this index is closely related to the class number.

Let V_m be the multiplicative group generated by

$\{\pm \zeta_m^a, 1 - \zeta_m^a \mid 1 < a \leq m-1\}$. Let E_m be the group of units of $\mathbb{Q}(\zeta_m)$ and define $C_m = V_m \cap E_m$. C_m is called the group of cyclotomic units of $\mathbb{Q}(\zeta_m)$. The cyclotomic units of $\mathbb{Q}(\zeta_m)^+$ can be defined as $C_m^+ = E_m^+ \cap C_m$, where E_m^+ is the group of units of $\mathbb{Q}(\zeta_m)^+$. We do not know of generators for the full group of cyclotomic units of $\mathbb{Q}(\zeta_m)^+$. Sinnott [12] has calculated the index of the full group of cyclotomic units to be:

$[E_m^+ : C_m^+] = 2^b \cdot h_m^+$, where h_m^+ is the class number of $\mathbb{Q}(\zeta_m)^+$ and $b = 0$ if $g = 1$ while $b = 2^{g-2} + 1 - g$ if $g \geq 2$, g the number of distinct prime factors of m .

Now $b = 0$ for $g = 1, 2$ and 3 , therefore $[E_m^+ : C_m^+] = h_m^+$ for $g = 1, 2, 3$.

Theorem 5

Let $m = p^\alpha$, p a prime and $\alpha \geq 1$.

- a) The cyclotomic units of $\mathbb{Q}(\zeta_m)^+$ are generated by -1 and the units $\epsilon_a = \zeta_m^{(1-a)/2}, (1-\zeta_m^a)/(1-\zeta_m), 1 < a \leq m/2, (a,p) = 1$.
- b) The cyclotomic units of $\mathbb{Q}(\zeta_m)$ are generated by ζ_m and the cyclotomic units of $\mathbb{Q}(\zeta_m)^+$.

Proof: See Washington [13], p. 144.

This theorem does not extend to the case where m is not a prime power. If m is not a prime power, not every cyclotomic unit is a product of roots of unity and numbers of the form $(1-\zeta^b)/(1-\zeta)$, with $(b,m) = 1$. Each such product is a real unit times a root of unity, while the cyclotomic unit $1-\zeta_m$ is not of this form. (See Washington's proof of Corollary 4.13 [13], p. 40).

In the case where m is a prime power, we have.

Theorem 6

Let p be a prime and $\alpha \geq 1$. The cyclotomic units $C_{p^\alpha}^+$ of $Q(\zeta_{p^\alpha})^+$ are of finite index in the full unit group $E_{p^\alpha}^+$, and $h_{p^\alpha}^+ = [E_{p^\alpha}^+ : C_{p^\alpha}^+]$, where $h_{p^\alpha}^+$ is the class number of $Q(\zeta_{p^\alpha})^+$.

Proof: See Washington [13], p. 145.

When m is not a prime power, the units of the form $\zeta^{(1-a)/2} \cdot (1-\zeta^a)/(1-\zeta)$ are not always multiplicatively independent.

A Dirichlet character is a multiplicative homomorphism $\chi: (Z/nZ)^* \rightarrow C^*$. If $n|m$ then χ induces a homomorphism $(Z/mZ)^* \rightarrow C^*$ by composition with the natural map $(Z/mZ)^* \rightarrow (Z/nZ)^*$. Thus we could consider χ as being defined mod m or mod n , since both are essentially the same map. It is convenient, however, to choose n minimal and call it the conductor of χ , denoted by f_χ . We will regard χ as a map $Z \rightarrow C$ by letting $\chi(a) = 0$ if $(a, f_\chi) \neq 1$. When χ is defined modulo its conductor, it is said to be a primitive character. A character χ is said to be even if $\chi(-1) = 1$, odd if $\chi(-1) = -1$. For $f_\chi = n$ we have $\chi(a)^{\phi(n)} = 1$ since $a^{\phi(n)} \equiv 1 \pmod{n}$, which means $\chi(a)$ is a root of unity.

Theorem 7

Let C_m'' be the group generated by -1 and the units of the form $\zeta_m^{(1-a)/2} (1-\zeta_m^a)/(1-\zeta_m)$, $1 < a < m/2$, $(a,m) = 1$. Then

$$[E_m^+ : C_m''] = h_m^+ \prod_{X \neq 1} \prod_{p|m} (1 - x(p)),$$

where X runs through the nontrivial even characters mod m , and the index is infinite if the right-hand side is 0.

Proof: See Washington [13], p. 150.

Later we will examine certain conditions for the units above to be independent.

A set of units discovered by Ramachandra [10] can be used to show that the cyclotomic units are of finite index in the full group of units. Ramachandra's units differ from the units $(1-\zeta^a)/(1-\zeta)$ in that they contain contributions from the units of proper subfields.

Theorem 8

Let $n \not\equiv 2 \pmod{4}$, and let $n = \prod_{i=1}^S p_i^{e_i}$ be its prime factorization.

Let I run through all subsets of $\{1, \dots, S\}$, except $\{1, \dots, S\}$, and

let $n_I = \prod_{i \in I} p_i^{e_i}$. For $1 < a < n/2$, $(a,n) = 1$, define

$$\xi_a = \zeta_n^{d_a} \prod_I (1 - \zeta_n^{an_I}) / (1 - \zeta_n^{n_I}), \quad d_a = \frac{1}{2}(1-a) \sum_I n_I.$$

Then $\{\xi_a\}$ forms a set of multiplicatively independent units for $Q(\zeta_n)^+$.

If C_n' denotes the group generated by -1 and the ξ_a 's and E_n^+ denotes the group of units of $Q(\zeta_n)^+$, then

$$[E_n^+ : C_n'] = h_n^+ \prod_{X \neq 1} \prod_{p_i \nmid f_X} (\phi(p_i^{e_i}) + 1 - x(p_i)) \neq 0,$$

where h_n^+ is the class number of $Q(\zeta_n)^+$ and X runs through the nontrivial even characters of $(\mathbb{Z}/n\mathbb{Z})^*$.

Proof: See Washington [13], p. 147.

We have seen that the cyclotomic units of $Q(\zeta_{p^m})^+$ are generated by -1 and the units $\epsilon_a = \zeta_{p^m}^{(1-a)/2} \cdot (\zeta_{p^m}^a - 1) / (\zeta_{p^m} - 1)$, $1 < a < p^m/2$, $(a, p) = 1$ (Theorem 5).

We have also seen (Theorem 6) that these cyclotomic units are of finite index in the full unit group $E_{p^m}^+$. The result on Ramachandra's units Theorem 8, shows that $\{\epsilon_a\}$ forms a set of multiplicatively independent units for $Q(\zeta_{p^m})^+$.

We wish to show that for $m = q \cdot 2^n$, q odd, $n \geq 3$, the units ϵ_a of Theorem 7 where $\epsilon_a = \zeta_m^{(1-a)/2} \cdot (\zeta_m^a - 1) / (\zeta_m - 1)$ are independent if and only if $q = p^\alpha$, p an odd prime, $\alpha \geq 1$ and 2 is a primitive root mod p^β , for all β such that, $1 < \beta < \alpha$. It is well known that if g is a primitive root of p and $g^{p-1} \not\equiv 1 \pmod{p^2}$, then g is a primitive root of p^α for all α .

We will also show for $m = p^\alpha \cdot 2^2$, when the units ϵ_a are dependent if $p \equiv 1, 3 \text{ or } 5 \pmod{8}$ while for $p \equiv 7 \pmod{8}$, they are sometimes dependent, sometimes independent.

It was proved in Theorem 7 that C_m^u , the group generated by -1 and the units

$$\epsilon_a = \zeta_m^{(1-a)/2} \cdot \frac{\zeta_m^a - 1}{\zeta_m - 1}, \quad 1 < a < \frac{m}{2}, \quad (a, m) = 1$$

was of index $[E_m^+ : C_m^u] = h_m^+ \prod_{X \neq 1} \prod_{p|m} (1 - X(p))$, in the full unit group

E_m^+ , where X runs through the nontrivial even characters mod m , and the index is infinite if the right hand side is 0. Hence $[E_m^+ : C_m^u]$ is infinite if, and only if $X(p) = 1$, for some X . Therefore we need only

consider those χ for which $(p, f_\chi) = 1$, since if $(p, f_\chi) \neq 1$ then $\chi(p) = 0$.

For $f_\chi = 1$ or 2, since $\phi(f_\chi) = 1$, the only character is the trivial character $\chi = 1$. While for $f_\chi = 4$, the only even character is the trivial one.

Consider $f_\chi = 2^b$, $b > 3$, we have from Apostol [1] p. 221, that the Dirichlet character

$$\chi_{a,c}(n) = \begin{cases} (-1)^{(n-1)\cdot a/2} \cdot e^{2\pi i b(n)c/2^{b-2}}, & n \text{ odd} \\ 0, & \text{if } n \text{ is even} \end{cases}$$

where $a = 1, 2$ and $c = 1, 2, \dots, \phi(2^b)/2$, is primitive mod 2^b if, and only if, c is odd, where $b(n)$ is the uniquely determined integer, such that $n \equiv (-1)^{(n-1)/2} 5^{b(n)} \pmod{2^b}$, with $1 \leq b(n) \leq \phi(2^b)/2$. A simple calculation shows that $\chi_{a,c}$ is even if, and only if, $a = 2$.

Thus for $f_\chi = 2^b$ the nontrivial even characters are

$$\chi_{2,c}(n) = \begin{cases} e^{2\pi i b(n)c/2^{b-2}}, & n \text{ odd} \\ 0, & \text{if } n \text{ is even} \end{cases}$$

For $f_\chi = p^a$, $1 \leq a \leq \beta$. Let g be a primitive root mod p which is also a primitive root mod p^k for all $k \geq 1$. Such a g exists by Theorem 10.6 (Apostol [1]). If $(n,p) = 1$ let $b(n) = \text{ind}_g n \pmod{p^a}$, so that $b(n)$ is the unique integer satisfying the conditions $n \equiv g^{b(n)} \pmod{p^a}$, $0 \leq b(n) < \phi(p^a)$. For h such that $0 \leq h < \phi(p^a) - 1$, define χ_h by the formula

$$\chi_h(n) = \begin{cases} e^{2\pi i hb(n)/\phi(p^a)} & \text{if } p \nmid n \\ 0 & \text{if } p \mid n \end{cases}$$

then χ_h is a Dirichlet character mod p^a , with χ_0 being the trivial character. Apostol proves [1], p. 221, that χ_h is primitive if and only if $p \nmid h$. It can be shown that χ_h is even if and only if h is even.

For all a such that $(a,m) = 1$, a is called a quadratic residue modulo m if the congruence $x^2 \equiv a \pmod{m}$ has a solution. If it has no solution, then a is called a quadratic nonresidue modulo m .

If p denotes an odd prime and $(a,p) = 1$, the Legendre symbol $\left(\frac{a}{p}\right)$ is defined to be 1 if a is a quadratic residue, -1 if a is a quadratic nonresidue modulo p .

Lemma 9.1 $\left(\frac{2}{p}\right) = (-1)^{(p^2-1)/8}$

Proof: Niven and Zuckerman [9], p. 65.

It follows easily that $\left(\frac{2}{p}\right) = -1$ when $p \equiv 3$ or $5 \pmod{8}$ while $\left(\frac{2}{p}\right) = 1$ when $p \equiv 1$ or $7 \pmod{8}$.

Lemma 9.2

If 2 is a primitive root mod p then $p \equiv 3$ or $5 \pmod{8}$.

Proof: $2^{p-1} \equiv 1 \pmod{p}$

$$(2^{(p-1)/2} + 1)(2^{(p-1)/2} - 1) \equiv 0 \pmod{p}$$

and since the factors differ by 2, therefore exactly one factor is divisible by p . Since 2 is a primitive root mod p , therefore

$2^{(p-1)/2} \neq 1 \pmod{p}$ so that $2^{(p-1)/2} \equiv -1 \pmod{p}$ but

$\left(\frac{a}{p}\right) \equiv a^{(p-1)/2} \pmod{p}$ implies $\left(\frac{2}{p}\right) \equiv 2^{(p-1)/2} \equiv -1 \pmod{p}$ which means that $p \equiv 3$ or $5 \pmod{8}$.

Lemma 9.3

A number prime to p^a is a quadratic residue of p^a if and only if it is a quadratic residue of p .

Proof: See LeVeque [8], p. 63.

Theorem 9

If $m = p^\alpha \cdot 2^\beta$, $\alpha > 1$, $\beta > 3$ then the unit group C_m'' with generators -1 and $\epsilon_a = \zeta_m^{(1-a)/2} \cdot (\zeta_m^a - 1)/(\zeta_m - 1)$, $(a, m) = 1$, $1 < a < m/2$ is of finite index in the full group of units E_m^+ if and only if 2 is a primitive root mod p^a for all a , such that $1 < a < \alpha$.

Proof: We have seen that $[E_m^+ : C_m''] = h_m^+ \prod_{X \neq 1} \prod_{p|m} (1 - X(p))$ and that the index is finite if and only if $X(p) \neq 1$. We consider the nontrivial even characters with $f_X = 2^b$, $3 < b < \beta$.

$x_{2,c}(n) = e^{2\pi i b(n)c/2^{b-2}}$, c odd and $b(n)$ determined by $n \equiv (-1)^{(n-1)/2} \cdot 5^{b(n)} \pmod{2^b}$. The index is infinite if and only if $X(p) = 1$ for some X and p . But $x_{2,c}(p) = 1$ if and only if $2^{b-2} | b(n)$ while $1 < b(n) < 2^{b-2}$ implies that $x_{2,c}(p) = 1$ if and only if $b(p) = 2^{b-2}$. For $p = 8k + 1$ or $8k + 7$ the formula $n \equiv (-1)^{(n-1)/2} \cdot 5^{b(n)} \pmod{2^b}$ gives the result $1 \equiv 5^{b(p)} \pmod{8}$. But $\text{ord}_{2^b} 5 = 2^{b-2}$ (see LeVeque [8] p. 54), therefore $b(p) = 2^{b-2}$ which implies that $x_{2,c}(p) = 1$ and the index $[E_m^+ : C_m'']$ is infinite. If $p = 8k + 3$ or $8k + 5$ it happens that $b(p) \neq 2^{b-2}$ and therefore $x_{2,c}(p) \neq 1$. We have seen, Lemma 10.2, that if 2 is a primitive root mod p then $p \equiv 3$ or $5 \pmod{8}$. The converse is not true as 2 is neither a primitive root mod 43 nor mod 109 .

Consider the case $p \equiv 3$ or $5 \pmod{8}$, 2 a primitive root mod p^a . We have seen that $x_{2,c}(p) \neq 1$. If $f_X = p^a$, $1 < a < \alpha$, then

$$x_h(n) = e^{2\pi i h b(n)/\phi(p^a)}, n \equiv g^{b(n)} \pmod{p^a},$$

since we can put $g = 2$ therefore $b(2) = 1$.

Therefore $x_h(2) = e^{2\pi i h/\phi(p^a)}$, $2 \leq h \leq \phi(p^a) - 2$ with h even.
 $x_h(2) = 1$ if and only if $\phi(p^a) | h$ which is impossible. Thus $x_h(2) \neq 1$.

Of course $x_h(p) = 0$ and $x_{2,C}(2) = 0$. Thus if 2 is a primitive root mod p^a for all a , such that $1 \leq a \leq \alpha$, then $[E_m^+ : C_m^-]$ is finite.

Consider the case $p \equiv 3$ or $5 \pmod{8}$, 2 not a primitive root mod p^a for some a , with $1 \leq a \leq \alpha$. By Lemma 9.1, $p \equiv 3$ or $5 \pmod{8}$ implies $(\frac{2}{p}) = -1$, thus in $2 \equiv g^{b(2)} \pmod{p^a}$, $b(2)$ is odd (see Lemma 9.3). Since 2 is not a primitive root mod p^a , we have $2^k \equiv 1 \pmod{p^a}$, $k = \text{ord}_{p^a} 2$ $0 < k < \phi(p^a)$ and $k | \phi(p^a)$. Thus $k \cdot x = \phi(p^a)$ and $x = \phi(p^a)/k > 1$. Also from $2^k \equiv 1 \pmod{p^a}$ and $2 \equiv g^{b(2)} \pmod{p^a}$ we deduce that $g^{k \cdot b(2)} \equiv 2^k \equiv 1 \pmod{p^a}$, and so $\phi(p^a) | k \cdot b(2)$ or $\phi(p^a) \cdot y = k \cdot b(2)$ thus $k \cdot x \cdot y = k \cdot b(2)$ and $x \cdot y = b(2)$.

So $x | b(2)$ and $x | \phi(p^a)$, with $x > 1$ and since $b(2)$ is odd, then so also is x . For $p \equiv 3$ or $5 \pmod{8}$, 2 not a primitive root of p^a we have $p^a \geq 43$ and so $\phi(p^a) \geq 42$, since $x > 3$ we have $2x/(x-1) < 3$, thus $\phi(p^a) > 2x/(x-1)$ and therefore $\phi(p^a)/x < \phi(p^a) - 2$.

For $x_h(2) = e^{2\pi i hb(2)/\phi(p^a)}$, with $h = \phi(p^a)/x$ we have h even, $2 \leq h \leq \phi(p^a) - 2$ and $x_h(2) = 1$. Therefore if $p \equiv 3$ or $5 \pmod{8}$, and 2 is not a primitive root mod p^a , then the index $[E_m^+ : C_m^-]$ is infinite.

What happens to the index $[E_m^+ : C_m^-]$ if $m = q \cdot 2^\beta$, $\beta \geq 3$, q odd but having more than one prime divisor?

Theorem 10

For $m = q \cdot 2^\beta$, $\beta \geq 3$, q odd with at least two distinct prime divisors, the index $[E_m^+ : C_m^-]$ is infinite.

Proof: If for any prime divisor p of q , it happens that 2 is not a

primitive root mod p , then as seen in the proof of Theorem 9, there exists x such that $x(p) = 1$.

Suppose that, for all p dividing q , 2 is a primitive root mod p . Then $p \equiv 3$ or $5 \pmod{8}$ and we consider $f_X = p$. If x_{h_1} and x_{h_2} are both odd characters with $(f_{X_{h_1}}, f_{X_{h_2}}) = 1$, then $X = X_{h_1} \cdot X_{h_2}$ is an even character with $f_X = f_{X_{h_1}} \cdot f_{X_{h_2}}$.

If $x_h(n) = e^{2\pi i h b(n)/(p-1)}$, $n \in g^b(n) \pmod{p}$ it is easy to show that x_h is odd if and only if h is odd. Since 2 is a primitive root for each $p \mid q$, we have $b(2) = 1$. If $p \equiv 3 \pmod{8}$, then in $x_h(2) = e^{2\pi i h/(p-1)}$, take $h = (p-1)/2$. Thus we have h odd, $1 < h < p-2$ and $x_h(2) = -1$. So if q has at least two distinct divisors each of which is congruent to 3 mod 8, we are done. Suppose this is not the case. Perhaps q has no divisors congruent to 3 (mod 8). Then q has at least two distinct prime factors congruent to 5 mod 8. Therefore in $x_{h_i}(2) = e^{2\pi i h_i/(p_i-1)}$, let $h_i = (p_i-1)/2$, so that h_i is even, $1 < h_i < p_i-2$ and $x_{h_i}(2) = -1$. So again $X = X_{h_1} \cdot X_{h_2}$ is even and $X(2) = 1$. What if q has prime factors p_1 and p_2 , with p_1 congruent to 3 mod 8 and p_2 congruent to 5 mod 8. Whether $p \equiv 3$ or $5 \pmod{8}$ we have for $f_X = 8$, that $b(p) = 1$ and $x_{2,C}(p) = -1$. Now since 2 is a primitive root of both p_1 and p_2 we have $p_1 \not\equiv 2 \pmod{p_2}$.

In $x_h(n) = e^{2\pi i h b(n)/(p-1)}$, for $f_X = p_2$, where $p_2 \equiv 5 \pmod{8}$ let $h = (p_2-1)/2$. Then h is even and $1 < h < p_2-2$, while

$$x_h(p_1) = e^{2\pi i h b(p_1)/(p_2-1)} = e^{\pi i b(p_1)} = \pm 1.$$

If $x_h(p_1) = 1$, we are done. If not, define $X = X_h \cdot X_{2,C}$ and so $X(p_1) = x_h(p_1) \cdot x_{2,C}(p_1) = (-1) \cdot (-1) = 1$.

We now examine the index $[E_m^+ : C_m]$ for $m = q \cdot 2^2$, q odd.

Corollary 9.1

If $m = p^\alpha \cdot 2^2$, $\alpha \geq 1$ with $p \equiv 3$ or $5 \pmod{8}$ then $[E_m^+ : C_m^-]$ is finite if and only if 2 is a primitive root of p^a , $1 \leq a \leq \alpha$.

Proof: See the proof of Theorem 9.

Theorem 11

For $m = p^\alpha \cdot 2^2$, the index $[E_m^+ : C_m^-]$ is infinite if $p \equiv 1 \pmod{8}$.

Proof: For $p \equiv 1 \pmod{8}$, $f_X = p$, then $x_h(2) = e^{2\pi i h b(2)/(p-1)}$ and $h = (p-1)/2$ is even. Since $(\frac{2}{p}) = 1$, therefore $b(2)$ is even. Thus $x_h(2) = 1$ and the index is infinite.

For $m = p^\alpha \cdot 2^2$, $p \equiv 7 \pmod{8}$ the situation is not so clear. When $m = 7 \cdot 2^2$, $[E_m^+ : C_m^-]$ is finite, while for $m = 31 \cdot 2^2$ the index $[E_m^+ : C_m^-]$ is infinite.

Corollary 11.1

If $m = q \cdot 2^2$, q odd with $p|q$ such that

- a) $p \equiv 3$ or $5 \pmod{8}$ and 2 is not a primitive root of p .
or b) $p \equiv 1 \pmod{8}$

then the index $[E_m^+ : C_m^-]$ is infinite.

Proof: a) See the proof of Theorem 9.

b) See proof of Theorem 11.

Theorem 12

If $m = q \cdot 2^2$, q odd and p_1 and p_2 are divisors of q such that

$p_1, p_2 \equiv 3$ or $7 \pmod{8}$, then the index $[E_m^+ : C_m^-]$ is infinite.

Proof: For $p \not\equiv 3 \pmod{8}$, let $f_X = p$.

Then $x_h(2) = e^{2\pi i h b(2)/(p-1)}$ and if $h = (p-1)/2$ we have h odd, and since $(\frac{2}{p}) = -1$, $b(2)$ is odd. Therefore $x_h(2) = -1$, X odd.

For $p \equiv 7 \pmod{8}$, let $f_X = p$ let $h = (p-1)/2$ so that h is odd and $x_h(2) = e^{2\pi i h b(2)/(p-1)}$ and since $(\frac{2}{p}) = 1$, $b(2)$ is even, thus $x_h(2) = 1$ with X odd.

So if p_1 and p_2 are both congruent to 3 or both congruent to 7 mod 8, then $X = x_{h_1} \cdot x_{h_2}$, $h_i = (p_i-1)/2$ and X is even with $x(2) = x_{h_1}(2) \cdot x_{h_2}(2) = 1$.

Assume $p_1 \equiv 3 \pmod{8}$, $p_2 \equiv 7 \pmod{8}$, then by the law of quadratic reciprocity, $(p_1/p_2)(p_2/p_1) = -1$. Without loss of generality assume $(p_1/p_2) = -1$, then in $x_h(p_1) = e^{2\pi i h b(p_1)/(p_2-1)}$ with $h = (p_2-1)/2$ an odd number and $x_h(p_1) = e^{\pi i b(p_1)} = -1$, since $b(p_1)$ is odd. For $f_X = 4$, $x'(p_1) = -1$. Both x_h and x' are odd, therefore $X = x_h \cdot x'$ is even and $X(2) = 1$.

Theorem 13

If $m = q \cdot 2^2$, q odd and p_1 and p_2 are distinct prime divisors of q . Then the index $[E_m^+ : C_m]$ is infinite if either;

- i) $p_1, p_2 \equiv 5 \pmod{8}$, 2 a primitive root of p_1 and p_2 .
- ii) $p_1 \equiv 5 \pmod{8}$ with 2 a primitive root of p_1 , $p_2 \equiv 3$ or $7 \pmod{8}$ and $(p_2/p_1) = 1$.

Proof:

- i) For $f_X = p_i$, $i = 1, 2$.

$x_h^i(2) = -1$ for $h_i = (p_i-1)/2$, x_h^i even, define $X = x_h^1 \cdot x_h^2$, then X is even and $X(2) = 1$.

- ii) For $f_X = p_1$, $x_h(p_2) = (-1)^{b(p_2)}$ for $h = (p-1)/2$. So X is even and if $(p_2/p_1) = 1$ then $x_h(p_2) = 1$.

If in Theorem 13ii, $(p_2/p_1) = -1$ then $[E_m^+ : C_m]$ is not necessarily infinite. For example if $m = 3 \cdot 5 \cdot 2^2$ or $m = 7 \cdot 5 \cdot 2^2$ then $[E_m^+ : C_m]$ is

finite, while $[E_m^+ : C_m^-]$ is infinite if $m = 11 \cdot 5 \cdot 2^2$ or $m = 31 \cdot 5 \cdot 2^2$.

Consider $m = p^\alpha \cdot 2^\beta$, p a fixed odd prime, $\alpha > 1$ also fixed, $\beta > 2$.

Since $(n, f_\chi) \neq 1$ implies $\chi(n) = 0$, therefore if we are to have $\chi(q) = 1$ for q a prime such that $q | m$ then either, $q = p$ and $f_\chi = 2^\beta$ or $q = 2$ and $f_\chi = p^\alpha$.

Theorem 14

There are only finitely many even characters mod $m = p^\alpha \cdot 2^\beta$, such that $\chi(q) = 1$, where $q = p$ or 2 .

Proof: If χ is an even character mod p^α then $f_\chi = p^\alpha$, $1 < \alpha < \alpha$. But there are only $\phi(p^\alpha)$ characters with conductor $f_\chi = p^\alpha$, and therefore only finitely many even characters mod p^α , such that $\chi(2) = 1$.

If χ is an even character mod 2^β then $f_\chi = 2^\beta$, $2 < \beta < \beta$, and $\chi_{2,C}(n) = e^{2\pi i b(n)C/2^{\beta-2}}$ with $n \equiv (-1)^{(n-1)/2} \cdot 5^b(n) \pmod{2^\beta}$. Now $\chi_{2,C}(p) = 1$ if and only if $b(p) = 2^{\beta-2}$ which implies $p \equiv (-1)^{(p-1)/2} \pmod{2^\beta}$ or equivalently $p \pm 1 \equiv 0 \pmod{2^\beta}$. But there exists b_0 such that $2^{b_0} > p + 1$, and therefore $p \pm 1 \not\equiv 0 \pmod{2^\beta}$ so that $\chi_{2,C}(p) \neq 1$ for any χ with $f_\chi = 2^\beta$, $\beta > b_0$. Thus all the even characters mod 2^β , $\beta > 2$ for which $\chi_{2,C}(p) = 1$ have conductor f_χ where $f_\chi = 2^\beta$, $2 < \beta < b_0$. Thus there are only finitely many $\chi_{2,C}$ that are trivial at p .

Table 3 gives, for some values of m , the number of χ for which $\chi(q) = 1$.

CHAPTER 5

MATRIX OF SIGNATURES

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Let $\sigma \in G(F/Q)$, where $F = Q(\zeta_m + \zeta_m^{-1})$ and let $\alpha \in F^*$. Let $|x|$ represent the ordinary absolute value of the real number x . Then we will call $\text{sign}_\sigma(\alpha) = \sigma(\alpha)/|\sigma(\alpha)|$ the σ -sign of α . If $\{\sigma_1, \sigma_2, \dots, \sigma_n\}$, $n = \phi(m)/2$ is a fixed but arbitrary ordering of $G(F/Q)$ then we call the n -tuple $(\text{sign}_{\sigma_1}(\alpha), \text{sign}_{\sigma_2}(\alpha), \dots, \text{sign}_{\sigma_n}(\alpha))$ the $G(F/Q)$ -sign of α . And if the map $\rho: \{1, -1\} \rightarrow F_2$ is defined by $\rho(-1) = 1$, $\rho(1) = 0$, then we call $\text{sgn}_\sigma(\alpha) = \rho(\text{sign}_\sigma(\alpha))$ the σ -signature of α . We call the n -tuple $(\text{sgn}_{\sigma_1}(\alpha), \dots, \text{sgn}_{\sigma_n}(\alpha))$ the $G(F/Q)$ -signature of α . The sign and signature functions exhibit the sign behavior of the conjugates of α .

The square matrix $M = (m_{ij})$ where $m_{ij} = \text{sgn}_{\sigma_j}(\epsilon_i)$, $\sigma_j \in G(F/Q)$, ϵ_i one of the generators of the unit group Z (where Z is either C' or C'' etc.) with, $1 \leq i \leq m/2$, $(i, m) = 1$ exhibits the sign structure of the units Z .

Since we will be interested only in the rank of M , therefore we may choose any convenient ordering of the Galois group $G(F/Q)$. The elements of $G(F/Q)$ can be chosen as coset representatives of the cosets of the subgroup $\langle \sigma_{-1} \rangle$, generated by complex conjugation in $G(Q(\zeta_m)/Q)$. The elements σ_a and σ_{m-a} with $(a, m) = 1$, $1 \leq a < m/2$ belong to the same coset of $G(F/Q)$ since $\sigma_a(\zeta_m)$ is the conjugate of $\sigma_{m-a}(\zeta_m)$. Thus we can represent $G(F/Q)$ as $\{\sigma_a | (a, m) = 1, 1 \leq a < m/2\}$ where $\sigma_a(\zeta_m) = \zeta_m^a$.

Consider $Z = C_m$. For the purposes of calculation we will choose $\zeta_m = e^{2\pi i/m} = \cos 2\pi/m + i \sin 2\pi/m$. Now $\epsilon_1 = -1$ and $\epsilon_a = \zeta_m^{(1-a)/2} \cdot (\zeta_m^a - 1)/(\zeta_m^a - 1)$, $(a, m) = 1$, $1 \leq a < m/2$ and $\zeta_m = \zeta_{2m}^2$.

where we take $\zeta_{2m} = e^{2\pi i / 2m} = \cos 2\pi / 2m + i \sin 2\pi / 2m$ then

$$\epsilon_a = (\zeta_{2m}^2)^{(1-a)/2} \cdot \frac{\zeta_{2m}^{2a} - 1}{\zeta_{2m}^2 - 1} = \frac{\zeta_{2m}^{-a}}{\zeta_{2m}^{-1}} \cdot \frac{\zeta_{2m}^{2a} - 1}{\zeta_{2m}^2 - 1}$$

$$\text{thus } \epsilon_a = \frac{\zeta_{2m}^a - \zeta_{2m}^{-a}}{\zeta_{2m}^2 - \zeta_{2m}^{-1}} = \frac{2i \sin \frac{2\pi}{2m} \cdot a}{2i \sin \frac{2\pi}{2m}}$$

therefore $\epsilon_a = \frac{\sin \frac{2\pi}{2m} \cdot a}{\sin \frac{2\pi}{2m}}$, hence

$$\sigma_j(\epsilon_i) = \frac{\zeta_{2m}^{ij} - \zeta_{2m}^{-ij}}{\zeta_{2m}^j - \zeta_{2m}^{-j}} = \frac{\sin 2\pi(\frac{ij}{2m})}{\sin 2\pi(\frac{j}{2m})}$$

We define a function

$$[\cdot] : \mathbb{Z} \rightarrow \{k \mid (k, x) = 1, 0 < k < x\}$$

by $[\ell] = q$ for $\ell \in \mathbb{Z}$, $q \in \{k \mid (k, x) = 1, 0 < k < x\}$

if and only if $\ell \equiv q \pmod{x}$.

That is, $[\ell]$ is the least positive residue of $\ell \pmod{x}$.

For the case of m even, let a be an arbitrary integer such that $(a, 2m) = 1$. Then the sign of $\sin 2\pi(a/2m)$ is determined by the least positive residue of $a \pmod{2m}$. That is

$$\frac{\sin 2\pi(\frac{a}{2m})}{|\sin 2\pi(\frac{a}{2m})|} = \begin{cases} +1 & \text{if } 0 < [\ell] < m \\ -1 & \text{if } m < [\ell] < 2m. \end{cases}$$

$$\text{Therefore } \text{sign}_{\sigma_j}(\epsilon_i) = \begin{cases} +1 & \text{if } 0 < [ij] < m \\ -1 & \text{if } m < [ij] < 2m \end{cases}$$

$$\text{and } \operatorname{sgn}_{\sigma_j}(\epsilon_i) = \begin{cases} 0 & \text{if } 0 < [ij] < m \\ 1 & \text{if } m < [ij] < 2m \end{cases}$$

Also we have $\operatorname{sgn}_{\sigma_j}(\epsilon_1) = 1$ for all σ_j since $\epsilon_1 = -1$.

Thus the matrix M is given by $M = (m_{ij})$ where $m_{ij} = \operatorname{sgn}_{\sigma_j}(\epsilon_i)$ and $m_{1j} = 1$ for $j \in \{x | (x, m) = 1, 1 < x < m/2\}$

$$\text{and } m_{ij} = \begin{cases} 0 & \text{if } 0 < [ij] < m \\ 1 & \text{if } m < [ij] < 2m \end{cases}$$

with j as before and

$$i \in \{x | (x, m) = 1, 1 < x < m/2\}.$$

For m odd, we have as generators of $\mathbb{Z} = C_m^{\times}$ $\epsilon_1 = -1$,

$\epsilon_a = \zeta_m^{(1-a)/2} \cdot (\zeta_m^a - 1)/(\zeta_m - 1)$, $(a, m) = 1$, $1 < a < m/2$ where ζ_m is a primitive m^{th} root of unity. But for m odd ζ_m^2 is also a primitive m^{th} root of unity. Replace ζ_m by ζ_m^2 . We get

$$\epsilon_a = \zeta_m^{1-a} \frac{\zeta_m^{2a} - 1}{\zeta_m^2 - 1} = \frac{\zeta_m^a - \zeta_m^{-a}}{\zeta_m - \zeta_m^{-1}}$$

We are thus replacing ϵ_a by $\sigma_2(\epsilon_a)$, where $\sigma_2 \in G(\mathbb{Q}(\zeta_m)^+/\mathbb{Q})$. The matrix of cyclotomic signatures is given by $M = (\rho(\sigma_b(\epsilon_a)))$, thus becomes $M = (\rho(\sigma_b(\sigma_2(\epsilon_a))))$ or $M = (\rho(\sigma_{2b}(\epsilon_a)))$ where $\sigma_{2b} \in G$. So we have only rearranged the elements of the Galois group of $\mathbb{Q}(\zeta_m)^+/\mathbb{Q}$, that is the columns of the matrix will be rearranged. So $M = (\rho(\sigma_j(\epsilon_i)))$, where

$$\sigma_j(\epsilon_i) = \frac{\zeta_m^{ij} - \zeta_m^{-ij}}{\zeta_m^j - \zeta_m^{-j}} = \frac{\sin 2\pi \frac{ij}{m}}{\sin 2\pi \frac{j}{m}}$$

therefore $M = (m_{ij})$; with $m_{1j} = 1$ and

$$m_{ij} = \begin{cases} 0 & \text{if } 0 < [ij] < \frac{m}{2} \\ 1 & \text{if } \frac{m}{2} < [ij] < m \end{cases}$$

$$i \in \{x | (x, m) = 1, 1 < x < \frac{m}{2}\}$$

$$j \in \{x | (x, m) = 1, 1 < x < \frac{m}{2}\}$$

A FORTRAN program was used and executed on a VAX to determine the matrix and its rank for several values of m . See the tables for results.

CHAPTER 6

THE SIGNATURE MAP

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THE SIGNATURE MAP

Let $E = E_m^+$ represent the group of units of $F = Q(\zeta_m)^+$ and denote by $Z = C_m^+$ the subgroup of the cyclotomic units, which is described in Theorem 7.

An element $\mu \in E$ is totally positive, denoted $\mu >> 0$, if and only if, for all automorphisms $\sigma \in G(F/Q)$, $\sigma(\mu) > 0$. An element μ in E is a square if and only if there exists a unit v in E such that $\mu = v^2$.

Let $E^+ = \{\mu | \mu \in E, \mu \text{ is totally positive}\}$

$E^2 = \{\mu | \mu \in E, \mu \text{ is a square}\}$.

Lemma 15.1

The sets E^+ and E^2 are multiplicative subgroups of E and $E^2 \subseteq E^+$.

Proof: See D. Davis [3], p. 10.

Consider the group ring $\mathbb{F}_2[G(F/Q)]$ of the Galois group of F over Q over the Galois field of two elements. Let sgn be the mapping from the units E to $\mathbb{F}_2[G(F/Q)]$ defined by

$$\text{sgn}(\mu) = \sum_{\sigma \in G(F/Q)} \text{sgn}_{\sigma}(\mu) \cdot \sigma, \mu \in E$$

where $\text{sgn}_{\sigma}(\mu) = \begin{cases} 0 & \text{if } \sigma(\mu) > 0 \\ 1 & \text{if } \sigma(\mu) < 0 \end{cases}$

Lemma 15.2

The mapping $\text{sgn}: E \rightarrow \mathbb{F}_2[G(F/Q)]$ is a homomorphism of groups and $\ker \text{sgn} = E^+$.

Proof: D. Davis [10], p. 10.

Theorem 15

The dimension of $\text{sgn}(\mathbb{Z})$ as a vector space over \mathbb{F}_2 equals the rank of the matrix M of cyclotomic signatures.

Proof: D. Davis [10], p. 11.

Corollary 15.1

The number of even invariants of the group \mathbb{Z}/\mathbb{Z}^+ equals the rank of the matrix of cyclotomic signatures.

Proof: D. Davis [3], p. 11.

Theorem 16

The homomorphism $\text{sgn}: E \rightarrow \mathbb{F}_2[G(F/Q)]$ is onto if and only if $E^2 = E^+$.

Proof: D. Davis [3], p. 11.

Corollary 16.1

If the matrix M of cyclotomic signatures is non-singular over \mathbb{F}_2 , then $E^2 = E^+$.

Proof: D. Davis [3], p. 11.

We will denote by d_m the difference between the order of the matrix M and its rank.

Lemma 17.1

If $\mu \in \mathbb{Z}$ such that $\mu >> 0$ and $\mu = \varepsilon_1^{a_1} \dots \varepsilon_r^{a_r}$, not all the a_i even, the ε_i generators of \mathbb{Z} , then $d_m > 1$.

Proof: If $\mu \notin \mathbb{Z}^2$ then the result follows from Corollary 16.1. If $\mu \in \mathbb{Z}^2$ then since $\mu >> 0$, therefore $\text{sgn}(\mu) = \sum_{\sigma \in G} \text{sgn}_{\sigma}(\mu) \cdot \sigma = 0$, if and only if

$$(\text{sgn}_{\sigma_1}(\mu), \dots, \text{sgn}_{\sigma_r}(\mu)) = 0, \text{ iff}$$

$$a_1(\text{sgn}_{\sigma_1}(\varepsilon_1), \dots, \text{sgn}_{\sigma_r}(\varepsilon_1)) + \dots + a_r(\text{sgn}_{\sigma_1}(\varepsilon_r), \dots, \text{sgn}_{\sigma_r}(\varepsilon_r)) = 0.$$

Since the a_i are not all even, therefore there is a linear combination of

rows of the matrix M equal to zero. Thus $d_m > 1$.

Let E_K represent the group of units of the algebraic number field K of degree n over \mathbb{Q} . For r elements $\epsilon_1, \dots, \epsilon_r$ of E_K , $r = r_1 + r_2 - 1$, we define the regulator $R(\epsilon_1, \dots, \epsilon_r)$ as follows. Write the isomorphisms of K into \mathbb{C} as $\sigma_1, \dots, \sigma_{r_1}, \sigma_{r_1+1}, \dots, \sigma_{r+1}, \bar{\sigma}_{r_1+1}, \dots, \bar{\sigma}_{r+1}$, where σ_j , $1 \leq j \leq r_1$, is real, and $\sigma_j, \bar{\sigma}_j, r_1 + 1 \leq j < r + 1$, is a pair of complex isomorphisms. Let $\delta_j = 1$ if σ_j is real and $\delta_j = 2$ if σ_j is complex. The regulator of $(\epsilon_1, \dots, \epsilon_r)$ is defined as,

$$R(\epsilon_1, \dots, \epsilon_r) = \left| \det \left(\delta_j \log |\epsilon_i^{\sigma_j}| \right) \right|_{1 \leq i, j \leq r}.$$

In order for $\epsilon_1, \dots, \epsilon_r$ to be multiplicatively independent, it is necessary and sufficient that $R(\epsilon_1, \dots, \epsilon_r) \neq 0$. (see Cohn [2], p. 112)

Now, in the proof of Corollary 8.8 Washington ([3], p. 150), gives the following formula for the regulator of the generators of C_m'' .

$$R(\epsilon_1, \dots, \epsilon_r) = h_m^+ R_m^+ \prod_{X \neq 1} \prod_{p|m} (1 - X(p)), \quad (*)$$

where X runs through the nontrivial even characters mod m , h_m^+ is the class number and R_m^+ is the regulator of $K_m^+ = \mathbb{Q}(\zeta_m)^+$, where $R_m^+ = R(\mu_1, \dots, \mu_r)$, with the μ_i forming a basis for the group of units of $\mathbb{Q}(\zeta_m)^+$ modulo $\{\pm 1\}$. Since h_m^+ and R_m^+ are not zero, therefore, $R(\epsilon_1, \dots, \epsilon_r) \neq 0$ if and only if the right hand side of $(*)$ is not zero. That is, $R(\epsilon_1, \dots, \epsilon_r) \neq 0$ if and only if $[E_m^+ : C_m'']$ is finite. We thus have,

Lemma 17.2

The generators $\epsilon_a = \zeta_m^{(1-a)/2} (\zeta_m^a - 1)/(\zeta_m - 1)$ of C_m'' , $(a, m) = 1$, $1 < a < m/2$, are independent if and only if $[E_m^+ : C_m'']$ is finite.

Theorem 17

If $[E_m^+ : C_m]$ is infinite then $d_m \geq 1$.

where d_m = order of M - rank of M.

Proof: If $[E_m^+ : C_m]$ is infinite then the ϵ_a are multiplicatively dependent. Thus $\prod_a \epsilon_a^{x_a} = 1$, not all the x_a zero. Assume 2^k , $k \in \mathbb{Z}$ is the largest power of 2 dividing all the x_a , then

$$(\prod_a \epsilon_a^{x_a})^{2^k} = 1, x'_a = x_a/2^k.$$

Since the ϵ_a are real, $\prod_a \epsilon_a^{x'_a} = \pm 1$. For $\epsilon_1 = -1$, let $\mu = \epsilon_1^{x'_1} \prod_a \epsilon_a^{x'_a}$, with x'_1 chosen so that $\mu = 1$.

Thus, $\mu \in \mathbb{Z}$, $\mu > 0$ and $\mu = \prod_{\substack{1 \leq a \leq m/2 \\ (a,m)=1}} \epsilon_a^{x'_a}$, not all the x'_a even,

therefore by Lemma 17.1 we have $d_m \geq 1$.

CHAPTER 7

SURVEY OF RESULTS

CHAPTER 7

SURVEY OF RESULTS

We present here certain results due to Garbanati ([4], [5]) and Gras [6], which explain some features of the tables obtained for the Matrix M of cyclotomic signatures. $Z = C_m''$.

We have already seen that when C_m'' is not of finite index in E_m^+ then the matrix M of cyclotomic signatures is singular, i.e. $d_m > 1$. But even when $[E_m^+ : C_m'']$ is finite, $m = p^\alpha \cdot 2^\beta$, $\beta \geq 2$, we still have $d_m > 1$. Theorem 18 will deal with this situation: First we show two lemmas.

In "Unit Signatures and Class Numbers", Garbanati states ([4], p. 378) the following lemma, concerning a real abelian extension K of the rationals Q.

Lemma 18.1

If each prime p of Q which ramifies in K does not split then,

$|Z^+ / Z^2| = 2^{n-\dim(\text{sgn } Z)}$, where n is the degree of the extension of K over Q and $\dim(\text{sgn } Z)$ is equal to the rank of the matrix M of signatures of C_m'' .

Proof: see Garbanati [4], p. 378.

We wish to determine for which $K_m^+ = Q(\zeta_m)^+$, $m = p^\alpha \cdot 2^\beta$, with $[E_m^+ : C_m'']$ finite, the lemma holds. $[E_m^+ : C_m'']$ finite with $\beta \geq 3$ implies $p \equiv 3 \text{ or } 5 \pmod{8}$ and that 2 is a primitive root of p.

The only primes that ramify in $K_m = Q(\zeta_m)$ are p and 2. But 2 does not split in K_m and thus 2 does not split in K_m^+ . If $p \equiv 3 \text{ or } 5 \pmod{8}$ then p splits into two factors in $K_m = Q(\zeta_m)$. Now $i = \zeta_4 \in K_m$ and $\sqrt{2} = \zeta_8 + \zeta_8^{-1} \in K_m = Q(\zeta_m)$ so that $Q(\sqrt{2})$, $Q(i)$ and $Q(\sqrt{-2})$ are quadratic

subfields of K_m . Also $Q(\sqrt{2}) \subseteq Q(\zeta_m + \zeta_m^{-1})$.

In $Q(\sqrt{d})$, p is decomposed if and only if $(d/p) = 1$. Thus for $p \equiv 1$ or $7 \pmod{8}$, p splits in $Q(\sqrt{2})$ since $(2/p) = 1$. Therefore $p \equiv 1$ or $7 \pmod{8}$ splits in $Q(\zeta_m)^+$.

$$\text{For } p \equiv 3 \pmod{8}, \quad \left(\frac{-2}{p}\right) = \left(\frac{-1}{p}\right)\left(\frac{2}{p}\right) = 1,$$

therefore $p \equiv 3 \pmod{8}$ splits in $Q(\sqrt{-2})$.

While for $p \equiv 5 \pmod{8}$, $\left(\frac{-1}{p}\right) = 1$, hence p splits in $Q(i)$.

So for $p \equiv 3$ or $5 \pmod{8}$ we have

$$pA_K = P_1 \cdot P_2, \text{ with } P_1 \neq P_2 \text{ and } N(P_1) = N(P_2) = p.$$

The two factors P_1 and P_2 of p are complex conjugates, thus $P_1, P_2 \notin Q(\zeta_m)^+$. Therefore $p \equiv 3$ or $5 \pmod{8}$ does not split in $K_m^+ = Q(\zeta_m)^+$. So for $p \equiv 3$ or $5 \pmod{8}$ 2 a primitive root of p , Lemma 18.1 holds.

Thus $|Z^+/\mathbb{Z}^2| = 2^{n-\dim(\text{sgn } Z)}$ while $|E^+/\mathbb{Z}^2| = 2^{n-\dim(\text{sgn } E)}$ (see [4], p. 378). Since $E \supset Z$ then $\dim(\text{sgn } E) \geq \dim(\text{sgn } Z)$ and so it follows that $|Z^+/\mathbb{Z}^2| > |E^+/\mathbb{Z}^2|$.

Lemma 18.2

Let K^+ be a real finite abelian extension of Q . If there exists an imaginary abelian extension K of Q such that $[K:K^+] = 2$ and K is an unramified extension of K^+ then $(E^+ : E^2) > 1$.

Proof: see Garbanati [5], p. 169.

Theorem 18

For $K_m^+ = Q(\zeta_m)^+$, $m = p^\alpha \cdot 2^\beta$, $\alpha \geq 1$, $\beta \geq 2$ with $p \equiv 3$ or $5 \pmod{8}$ and 2 a primitive root of p , then $d_m \geq 1$.

Proof: Since m is not a prime power, therefore K_m/K_m^+ is unramified ([13], p. 16) and so by lemma 18.2 $(E^+ : E^2) > 1$. By lemma 18.1 we have $|z^+/z^2| > |E^+/E^2| > 1$. Thus there exists $\mu \in \mathbb{Z}$ with $\mu >> 0$, $\mu \notin \mathbb{Z}^2$ and lemma 17.1 implies that $d_m \geq 1$.

Lemma 19.1

If each prime p of \mathbb{Q} which ramifies in K_m^+ does not split and if $|z^+/z^2| = 1$ then h is odd.

Proof: see Garbanati [4], p. 379.

Theorem 19

If $K_{p^\alpha}^+ = \mathbb{Q}(\zeta_{p^\alpha})^+$, p an odd prime, then the only prime that ramifies is p and it does not split. If h_p^+ is even then lemma 19.1 implies that $|z^+/z^2| > 1$ and so by lemma 17.1 we have $d_m \geq 1$.

Gras has established a criterion for the parity of the class number of abelian extensions K/\mathbb{Q} of odd degree. The criterion involves the signature of the cyclotomic units.

Let $\mu \in E$, μ is 2-primary if the extension $K(\sqrt{\mu})/K$ is non-ramified for prime ideals not dividing 2.

Let $\mathbb{Z}_0 = \{\eta \in \mathbb{Z}, \eta \text{ is 2-primary}\}$.

Theorem 20

h is even if and only if

$$(z^+/z^2) \cap (\mathbb{Z}_0/z^2) \neq (1)$$

Proof: see Gras [6], p. 41.

Theorem 20 implies that when $|z^+/z^2| = 1$ then h is odd, while h even implies $|z^+/z^2| > 1$.

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APPENDIX-TABLES

TABLE 1

RANK OF MATRIX OF SIGNATURES OF C_m^n

For each odd integer q , $3 < q < 125$, the rank of the matrix of signatures of units of the unit group C_m^n ($m = q \cdot 2^n$ $n = 0, 2, 3, 4, \dots$) was calculated on a VAX computer. The program was written in Fortran IV by Richard Parker and later modified to handle odd values of m . The results for prime values were checked by comparison with the results from Davis' Thesis ([3], p. 70).

For each value of q the results for the matrices associated with C_m^n , $m = q \cdot 2^n$ are arranged as follows.

For each value of n , the first column p_n gives the order of the matrix M , while the second column r_n gives the rank of M and the third column d_n gives the difference of the two, i.e. $d_n = p_n - r_n$.



TABLE 1: C_m^n

n	p_n	r_n	d_n																			
0	1	1	0	2	2	0	3	3	0	3	3	0	5	5	0	6	6	0	4	3	1	
1	2	2	1	4	3	1	6	5	1	6	5	1	10	9	1	12	11	1	8	5	3	
2	3	4	3	7	8	7	12	10	2	12	11	1	20	19	1	24	23	1	16	11	5	
3	4	8	7	16	15	1	24	21	3	24	23	1	40	39	1	48	47	1	32	23	9	
4	5	16	15	1	32	31	1	48	45	3	48	47	1	80	79	1	96	95	1	64	55	9
5	6	32	31	1	64	63	1	96	93	3	96	95	1	160	159	1	192	191	1	128	119	9
6	7	64	63	1	128	127	1	192	189	3	192	191	1	320	319	1	320	319	1	256	247	9
7	8	128	127	1	256	255	1															
8	9	256	255	1																		

n	p_n	r_n	d_n																			
0	9	9	0	6	5	1	11	11	0	10	10	0	9	9	0	14	11	3	15	15	0	
1	2	18	17	1	12	9	3	22	21	1	20	19	1	18	17	1	28	24	4	30	27	3
2	3	36	35	1	24	19	5	44	48	2	40	39	1	36	35	1	56	49	7	60	54	6
3	4	72	71	1	48	40	8	88	85	3	80	79	1	72	71	1	112	99	13	120	112	8
4	5	144	143	1	96	87	9	176	173	3	160	159	1	144	143	1	224	211	13	240	228	12
5	6	288	287	1	192	183	9				320	319	1	288	287	1				320	315	5

TABLE 1: (cont'd)

$q = 5, /$	$q = 3, /$	$q = 3, 13$	$q = 41$	$q = 43$	$q = 3^2 \cdot 5$	$q = 47$	$q = 7^2$
p_n	r_n	d_n	p_n	r_n	d_n	p_n	r_n
0	12	11	1	18	18	0	12
1	24	21	3	36	35	1	24
2	48	43	5	72	71	1	48
3	96	87	9	144	143	1	96
4	192	175	17	288	287	1	192
5	384	367	17				

q = 6/7				q = 3.23				q = 7/1				q = 73				q = 3.52				q = 7.11				q = 79				q = 34			
n	p _n	r _n	d _n	p _n	r _n	d _n	p _n	r _n	d _n	p _n	r _n	d _n	p _n	r _n	d _n	p _n	r _n	d _n	p _n	r _n	d _n	p _n	r _n	d _n	p _n	r _n	d _n	p _n	r _n	d _n	
0	33	33	0	22	21	1	35	35	0	36	36	0	20	19	1	30	25	5	39	39	0	27	27	0	27	27	0	27	27	0	
2	66	65	1	44	41	3	70	69	1	72	67	5	40	37	3	60	49	11	78	77	1	54	53	1	54	53	1	54	53	1	
3	132	131	1	88	83	5	140	138	2	144	136	8	80	75	5	120	99	21	156	154	2	108	107	1	108	107	1	108	107	1	
4	264	263	1	176	168	8	280	277	3				160	151	9	240	216	24				216	215	1	216	215	1	216	215	1	

TABLE 1: (cont'd)

	q = 3 ² .11			q = 101			q = 103			q = 3.5.7			q = 107			q = 109			q = 3.37			q = 113		
n	p _n	r _n	d _n	p _n	r _n	d _n	p _n	r _n	d _n	p _n	r _n	d _n	p _n	r _n	d _n	p _n	r _n	d _n	p _n	r _n	d _n	p _n	r _n	d _n
0	30	29	1	50	50	0	51	51	0	24	21	3	53	53	0	54	54	0	36	34	2	56	53	3
2	60	57	3	100	99	1	102	101	1	48	39	9	106	105	1	108	105	3	72	67	5	112	105	7
3	120	116	4	200	199	1	204	202	2	96	79	17	212	211	1	216	211	5	144	136	8	224	211	13
4	240	235	5				192	165	27				192	165	27				288	279	9			

	q = 5.23			q = 3 ² .13			q = 7.17			q = 11 ²			q = 3.41			q = 5 ³			q = 163			q = 331		
n	p _n	r _n	d _n	p _n	r _n	d _n	p _n	r _n	d _n	p _n	r _n	d _n	p _n	r _n	d _n	p _n	r _n	d _n	p _n	r _n	d _n	p _n	r _n	d _n
0	44	43	1	36	33	3	48	47	1	55	55	0	40	35	5	50	50	0	81	79	2	165	165	0
2	88	85	3	72	63	9	96	91	5	110	109	1	80	67	13	100	99	1	162	159	3	330	319	11
3	176	171	5	144	128	16	192	183	9	220	219	1	160	135	25	200	199	1						

TABLE 2

RANK OF MATRIX OF SIGNATURES OF C_m

For each odd integer q , $3 \leq q \leq 57$, the rank of the matrix of signatures of units of the unit group C_m ($m = q \cdot 2^n$, $n = 0, 2, 3, 4, \dots$) i.e. Ramachandra's units, was calculated on a VAX computer.

For each value of q the results for the matrices associated with C_m , $m = q \cdot 2^n$ are arranged in the following way. For each value of n , the first column p_n gives the order of the matrix M , while the second column r_n gives the rank of M and the third column d_n gives the difference of the two, i.e. $d_n = p_n - r_n$.

TABLE 2: C_m : RAMACHANDRA'S UNITS

q = 5.7				q = 3.7				q = 3.13				q = 41				q = 43				q = 32.5				q = 47				q = 3.17			
n	p_n	r_n	d_n	p_n	r_n	d_n	p_n	r_n	d_n	p_n	r_n	d_n	p_n	r_n	d_n	p_n	r_n	d_n	p_n	r_n	d_n	p_n	r_n	d_n	p_n	r_n	d_n	p_n	r_n	d_n	
0	12	11	1	18	0	12	10	2	20	0	21	21	0	12	T-1	12	23	0	16	23	0	16	15	1							
2	24	21	3	36	35	1	24	19	5	40	38	2	42	39	3	24	21	3	46	45	1	32	27	5							
3	48	43	5	72	71	1	48	39	9	80	77	3	84	79	5	48	43	5	92	89	3	64	55	9							
4	96	87	9	144	143	1	96	87	9	160	155	5	168	163	5	96	87	9	184	177	7	128	111	17							

$q = 53$	$q = 51$	$q = 3.19$	$q = 89$						
n	p_n	r_n	d_n	p_n	r_n	d_n	p_n	r_n	d_n
0	26	26	0	20	18	2	18	17	1
2	52	51	1	40	35	5	36	33	3
3	104	103	1	80	71	9	72	67	5
4	160	151	9	144	139	5	176	167	9

TABLE 3

Number of x , for which $x(p) = 1$ in the expression

$$[E_m^+ : C_m^+] = h_m^+ \prod_{x \neq 1} \prod_{p|m} (1 - (p)),$$

$$m = q \cdot 2^n, n = 0, 2, 3, 4, \dots$$

We observe that the # x for which $x(p) = 1$ is less than the value of d_n .

TABLE 3 : NUMBER OF X s.t. $X(p) = 1$

n/q	<u>7</u>	<u>17</u>	<u>23</u>	<u>31</u>	<u>41</u>	<u>43</u>	<u>47</u>	<u>71</u>	<u>73</u>	<u>79</u>	<u>15</u>
0	0	0	0	0	0	0	0	0	0	0	0
2	0	1	0	2	1	2	0	0	3	0	2
3	1	2	1	3	2	2	1	1	4	1	2
4	1	4	1	5	2	2	3	1	4	3	2
5	1	4	1	9	2	2	3	1	4	3	2
6	1	4	1	9	2	2	3	1	4	3	2
7	1	4	1	9	2	2	3	1	4	3	2
8	1	4	1	9	2	2	3	1	4	3	2
9	1	4	1	9	2	2	3	1	4	3	2

(Number of $X \bmod m$ ($m = q \cdot 2^n$) for which $X(p) = 1$)